

## DIRECTORS

### Executive Directors

**Mr. Lam Kwok Hing**, aged 39, is the Chairman of the Group. He is responsible for the implementation of the overall strategic planning and direction and steering of the overall development of the Group. Mr. Lam is the founder of the Group and is a dealing director of the subsidiaries, Karl-Thomson Securities Company Limited and Karl-Thomson Commodities Company Limited. He has over twenty two years of experience in the securities, futures and options industry. He has been a member of the Hong Kong Securities Institute and is a registered dealer under both the Securities Ordinance and the Commodities Trading Ordinance. He is also the Deputy Chairman and Managing Director of Asia Tele-Net and Technology Corporation Limited, a company whose shares are listed on the SEHK. Prior to the establishment of the Group, Mr. Lam had worked in a local securities house for nine years.

**Mr. Nam Kwok Lun**, aged 44, is the Deputy Chairman of the Group. He is responsible for overall strategic planning and operations and in charge of the execution and further development of the Group's expansion plan. He is a co-founder of the Group and is also a dealing director of the subsidiaries, Karl-Thomson Securities Company Limited and Karl-Thomson Commodities Company Limited. He is in charge of the stockbroking, futures and options broking and the securities margin financing business. Mr. Nam has over fourteen years of experience in the securities, futures and options and securities margin financing industry. He has been a member of the Hong Kong Securities Institute and is a registered dealer under both the Securities Ordinance and the Commodities Trading Ordinance. Prior to the establishment of the Group, Mr. Nam was a director of a property development company.

### Independent Non-executive Directors

**Mr. Ng Chi Kin David**, aged 42, is a professional accountant with over nineteen years of experience and is a fellow member of the Hong Kong Society of Accountants, member of CPA Australia, Hong Kong Institute of Company Secretaries and Institute of Chartered Secretaries and Administrators in the United Kingdom.

**Mr. Chen Wei-Ming Eric**, aged 39, is a director of several general trading companies in Hong Kong. Mr. Chen holds a Bachelor of Science degree in Business Administration from the Boston University in Massachusetts, USA and is engaged in the chemical trading business.

## 董事

### 執行董事

**藍國慶先生**，39歲，本集團主席，負責實施本集團整體策略性計劃及方向之實施。藍先生亦為本集團創辦人兼附屬公司高信證券有限公司及高信商品期貨有限公司之交易董事，於證券、期貨及期權業擁有逾二十二年經驗，為香港證券專業學會成員及證券條例與商品交易條例下之註冊交易商，亦為一間於聯交所上市之公司亞洲聯網科技有限公司副主席兼董事總經理。於成立本集團前，藍先生於本地一間證券公司任職達九年。

**藍國倫先生**，44歲，本集團副主席，負責整體策略性計劃及業務，並負責執行及進一步發展本集團之擴展計劃。藍先生亦為本集團協辦人兼附屬公司高信證券有限公司及高信商品期貨有限公司之交易董事，負責證券買賣、期貨及期權買賣業務及證券保證金融資業務，於證券、期貨、期權及證券保證金融資行業擁有逾十四年經驗，為香港證券專業學會成員及證券條例與商品交易條例下之註冊交易商。於成立本集團前，藍先生曾擔任一間物業發展公司之董事。

### 獨立非執行董事

**伍志堅先生**，42歲，為專業會計師，擁有逾十九年經驗，為香港會計師公會資深會員、澳洲會計師公會註冊會計師、香港公司秘書公會及英國特許秘書及行政人員公會會員。

**陳偉明先生**，39歲，為香港數家貿易公司董事，持有美國麻省波士頓大學工商管理學學士學位，並從事化學品貿易業務。

## SENIOR MANAGEMENT

**Ms. Wu Mang Ching Agnes**, aged 37, is the Deputy General Manager of the Group and the Director of Karl-Thomson Securities Company Limited. She oversees the daily operations and is responsible for supervising the research team of the Group. She holds a Bachelor of Arts degree in Economics from the University of Leicester in the United Kingdom and a Master of Science in Finance degree from the City University of Hong Kong. She possesses over nine years of experience in the field of equity research and more than six years of experience in investment management. She is currently a program host of Metro Finance radio and provides comments regularly on television and in financial pages of newspapers and websites as an equity market commentator. She gives seminars and tuitions on equity analysis occasionally for various organizations and academic institutions. She joined the Group in February 2000.

**Ms. Lui Choi Yiu Angela**, aged 29, is the Financial Controller and Company Secretary of the Group and the Director of Karl Thomson Financial Advisory Limited. She is responsible for overall financial planning and management of the Group. Ms. Lui graduated with a Bachelor of Science degree in Accounting from Azusa Pacific University in California, USA and is currently a member of the American Institute of Certified Public Accountants and an associate member of the Hong Kong Society of Accountants. Prior to joining the Group, Ms. Lui had over four years of experience in audits in one of the leading international certified public accounting firms and accounting, finance and management in a local securities firm. She joined the Group in June 2000.

**Mr. Chan Lap Kwan**, aged 29, is the manager of the Group and Director of Karl-Thomson Securities Company Limited. He is responsible for the daily operations, administration and management of the Group. He holds a Bachelor of Business (Banking and Finance) degree from University of South Australia. He has more than six years of experience in the securities, futures and options industry and is a registered dealer under the Securities Ordinance and a registered dealer's representative under the Commodities Trading Ordinance. He joined the Group in July 1997.

## 高級管理層

**胡孟青小姐**，37歲，本集團副總經理兼高信證券有限公司董事，負責監管本集團日常營運及監管本集團之研究部。胡小姐持有英國列斯特大學經濟學學士學位及香港城市大學財務學碩士學位。胡小姐擁有超過九年證券研究經驗及超過六年投資管理經驗。胡小姐現時為新城財經台擔任節目主持及經常於電視、網站及報章之財經版發表股市評論。胡小姐亦不時參與不同組織及教育機構舉辦之證券分析講座及教學活動。胡小姐於二零零零年二月加入本集團。

**雷彩姚小姐**，29歲，本集團財務總監、公司秘書兼高信融資服務有限公司董事，負責本集團整體財務策劃及管理。雷小姐持有美國洛杉磯Azusa Pacific University會計學學士學位，目前為美國特許會計師公會及香港會計師公會會員。加盟本集團之前，雷小姐於一間國際會計師行擔任稽核及於一間本地證券公司擔任會計、金融及管理逾四年。雷小姐於二零零零年六月加入本集團。

**陳立群先生**，29歲，本集團經理兼高信證券有限公司董事，負責本集團之日常營運、行政及管理，持有南澳洲大學商業（銀行業及財經）學學士學位，在證券、期貨及期權業擁有逾六年經驗，並為證券條例下之註冊交易商及商品交易條例下之註冊交易商代表。陳先生於一九九七年七月加入本集團。

**Mr. Sung Wing Yiu**, aged 46, is the Director of Karl-Thomson Securities Company Limited. He is responsible for the management and development of internet trading services, securities, futures and other derivatives business of the Group. He holds a Bachelor of Social Science degree in Government & Public Administration from the Chinese University of Hong Kong and a Master of Business Administration degree from the University of Hong Kong. He is also an associate member of the Institute of Chartered Secretaries and Administrators in the United Kingdom. Mr. Sung has over sixteen years of experience in the securities and futures industry and held senior positions in regional financial institutions in Hong Kong. He joined the Group in February 2001.

**Mr. Chan Dao Chong**, aged 55, is the manager of the Kwun Tong branch and is responsible for the daily operations and implementation of the internal control procedures of the Kwun Tong branch. He has more than twelve years of experience in the securities, futures and options industry and is a registered dealer's representative under both the Securities Ordinance and the Commodities Trading Ordinance. He joined the Group in 1991.

**Mr. Chu Wai Man**, aged 47, is the manager of the Shatin branch and is responsible for the daily operations and implementation of the internal control procedures of the Shatin branch. He has more than thirteen years of experience in the securities, futures and options industry. He is a registered dealer's representative under both the Securities Ordinance and the Commodities Trading Ordinance. He joined the Group in 1990.

## INVESTMENT BANKING GROUP

**Mr. Chow Ka Wo Alex**, aged 36, is the Director of Karl Thomson Financial Advisory Limited. He is a registered investment advisor under the Securities Ordinance and responsible for the establishment and operation of the Group's investment banking business since joining the Group in March 2002. Prior to joining the Group, Mr. Chow had worked at various international investment banks. He holds a Bachelor of Arts degree in Applied Mathematics and Economics from the University of California at Berkeley and a Master of Arts degree in Economics from the Cornell University in the United States.

宋榮耀先生，46歲，高信證券有限公司董事，負責管理及發展本集團之互聯網買賣服務、證券、期貨及其他相關業務，持有香港中文大學社會科學系公共及行政學學士學位及香港大學工商管理學碩士學位，亦為英國特許秘書及行政人員公會會員。宋先生在證券及期貨業擁有逾十六年經驗，並曾在香港金融機構擔任要職。宋先生於二零零一年二月加入本集團。

陳道庄先生，55歲，觀塘分公司經理，負責觀塘分公司之日常營運及執行內部監控程序，於證券、期貨及期權業擁有逾十二年經驗，並為證券條例及商品交易條例下之註冊交易商代表。陳先生於一九九一年加入本集團。

朱維民先生，47歲，沙田分公司經理，負責沙田分公司之日常營運及執行內部監控程序，於證券、期貨及期權業擁有逾十三年經驗，並為證券條例及商品交易條例下之註冊交易商代表。朱先生於一九九零年加入本集團。

## 投資銀行部

周家和先生，36歲，高信融資服務有限公司董事，周先生為證券條例下之註冊投資顧問，自二零零二年三月加入本集團，負責本集團之企業融資及結構財務業務之成立及營運。加盟本集團之前，周先生曾於多間國際投資銀行工作。周先生持有美國柏克萊加利福尼亞大學應用數學及經濟系文學學士學位及於美國紐約康乃爾大學取得經濟學碩士學位。

## ASSET MANAGEMENT GROUP

**Mr. Ngan Chak Keung Ricky**, aged 30, is the Director of Karl Thomson Investment Consultants Limited and the President of Global Investment Strategy of Karl-Thomson Securities Company Limited. He is responsible for conducting global economic research and individual stock research. He is also responsible for the supervision of fund management, financial advisory services and financial planning team. He graduated with a Bachelor of Business Administration degree in Finance, Investment and Banking Management from the University of Wisconsin in Madison, USA. He is also the Chartered Financial Analyst under the Association for Investment Management and Research. Prior to joining the Group, Mr. Ngan has over five years of experience in the field of foreign exchange, financial planning and asset management industry. He joined the Group in July 2001.

**Mr. Chu Chi Ping**, aged 38, is the Director of Karl Thomson Asset Management Limited and Karl Thomson Capital Limited. He is responsible for developing asset management in Greater China and supervising overseas fund management business. He holds a Higher Diploma from Tung Nan Institute of Technology of Taiwan. Mr. Chu was the senior officer of the subsidiaries of American Express Bank Limited and Citibank Limited and he was also appointed as the executive director of few Taiwan and Hong Kong listed companies. Mr. Chu had twelve years of experience in the field of asset management in financial and large-scale corporations. He joined the Group in July 2001.

## TECHNOLOGIES DEVELOPMENT GROUP

**Mr. Cheng Chun Ming**, aged 27, is the Technical Project Manager of the Group. He is responsible for the overall planning and management of the internet trading platform and network infrastructure of the Group. He graduated with a Bachelor of Cognitive Science (with Honors) Degree and a Master of Philosophy (Psychology) in the Social Science from the University of Hong Kong. Mr. Cheng has over three years of experience in software development, web server management, database management, back office operation and network administration. Prior to joining the Group, Mr. Cheng involved in the implementation of network infrastructure, internet applications development and database design in a local interior design firm. He joined the Group in February 2001.

## 資產管理部

顏澤強先生，30歲，高信投資顧問有限公司董事兼高信證券環球投資策略總裁，負責進行環球經濟研究及撰寫個別股票之研究報告。顏先生亦負責監管基金管理、融資顧問服務及財務策劃小組。顏先生持有美國威斯康辛大學麥迪遜校區之工商管理學學士學位，主修財務、投資及銀行管理，並為投資管理研究協會認可之特許金融分析師。加盟本集團之前，顏先生於外匯、財務策劃及資產管理業務方面擁有逾五年經驗。顏先生於二零零一年七月加入本集團。

瞿吉平先生，38歲，高信資產管理有限公司及Karl Thomson Capital Limited董事，負責大中華地區資產管理業務發展及主管離岸投資基金之業務。瞿先生持有台灣東南技術學院高級文憑畢業。瞿先生曾任職美國運通及美商花旗銀行附屬機構之高級主管及台灣與香港上市公司之執行董事，有十二年之金融及大型機構的資產管理經驗。瞿先生於二零零一年七月加入本集團。

## 科技發展部

鄭俊洺先生，27歲，本集團之科技項目經理，負責整體策劃及管理本集團之互聯網交易平台及網絡架構。鄭先生持有香港大學社會科學之認知科學榮譽學士學位及哲學(心理學)碩士學位。鄭先生在軟件開發、網頁伺服器管理、資料庫管理、後勤運作及網絡管理方面，積逾三年經驗。加入本集團前，鄭先生在一家本地室內設計公司，參與網絡基建、互聯網應用開發及資料庫設計之執行工作。鄭先生於二零零一年二月加入本集團。

**Mr. Ho Tang Yiu**, aged 26, is the Senior System Analyst of the Group. He is responsible for the system infrastructure design, the implementation of the internet trading platform and webmastering of corporate websites of the Group. He holds a Bachelor of Arts (with Honors) Degree in Computing and a Master of Science in Electronic Commerce from the Hong Kong Polytechnic University. Mr. Ho has over three years of experience in the software development, internet and intranet applications, system support and network administration. Prior to joining the Group, Mr. Ho involved in web server and database management in a local information provider company. He joined the Group in February 2001.

賀騰躍先生，26歲，本集團之高級系統分析員，負責為本集團設計系統基建、執行互聯網貿易平台及監管公司網站。賀先生持有香港理工大學電腦榮譽文學學士學位及電子商貿科學碩士學位。賀先生在軟件開發、互聯網及內聯網應用、系統支援及網絡管理方面，積逾三年經驗。加入本集團前，賀先生於一家本地資訊供應公司，參與網頁伺服器及資料庫管理工作。賀先生於二零零一年二月加入本集團。