



Biographical Details of Directors and Senior Management

DIRECTORS

Executive Directors

Mr. HUNG Hon Man, aged 48, is the Chairman of the Company and founder of the Group. He is responsible for the formulation of corporate strategy and the future direction of the Group. Mr. Hung is also responsible for overseeing the credit operation of the Group. Mr. Hung possesses over 12 years of experience in the securities and real estate industries in Hong Kong and Taiwan. He is also a member of The Chinese Gold & Silver Exchange Society.

Mr. CHAM Wai Ho, Anthony, aged 56, is the Deputy Chairman of the Company. He is responsible for the formulation of corporate strategy and future direction of the Group, and is responsible for the overall management of the Group. Mr. Cham possesses over 35 years of experience in the banking, financial, securities and real estate industries. Before joining the Group in September 1991, he held senior executive positions in various international banks, which include Citibank, National Bank of Detroit and Security Pacific National Bank. Mr. Cham is a member of The Chinese Gold & Silver Exchange Society.

Mr. SHUM Kin Wai, Frankie, aged 57, is the managing director and a co-founder of the Group. He is responsible for the formulation of corporate strategy, and for the dealing, settlement and credit operations of the Group. He has been registered as a dealer under the Securities Ordinance since May 1989. Mr. Shum has been responsible for the dealing operations for securities trading in four local securities firms since 1971. Mr. Shum has over 30 years of experience in the securities business.

Mr. WONG Sheung Kwong, aged 54, is a co-founder of the Group. He is responsible for the sales and marketing activities of the Group. Mr. Wong has over 30 years of experience in the securities industry. Mr. Wong has been responsible for the dealing operations for securities trading in local securities firm since 1971. He had also worked as a dealer for The Chinese Gold & Silver Exchange Society. He has been registered as a dealer under the Securities Ordinance since December 1993.

Mr. CHENG Wai Ho, aged 39, is a dealing director of Get Nice Investment Limited. Mr. Cheng has been registered as a dealer under the Securities Ordinance since 1993. He takes up the management role in the supervision of the human resources department of the Group. He oversees the overall implementation of procedures to ensure all relevant staff is properly registered under the Securities Ordinance before performing their duties. Mr. Cheng has over 19 years' working experience in the securities industry. Prior to joining the Group, he had been a dealing director of three other securities firms for more than six years. He is a member of the Hong Kong Securities Professionals Association, the Hong Kong Stockbrokers Association Limited, the Hong Kong Securities Institutes and the Institute of Securities Dealers Limited.

Mr. HUNG Sui Kwan, aged 33, is the finance director of the Company. He is responsible for overseeing the internal audit, accounting, finance function and corporate finance business of the Group. Mr. Hung Sui Kwan is a Certified Public Accountant and has about ten years' experience in accounting and audit field. He worked for an international accounting firm for three years and has been a sole proprietor of a local certified public accountant firm since 1997. He is a nephew of Mr. Hung Hon Man. Mr. Hung Sui Kwan has been registered as an investment adviser under the Securities Ordinance since May 2001.

Independent Non-executive Directors

Mr. LIU Chun Ning, Wilfred, aged 41, is an executive director of Liu Chong Hing Bank Limited who is in charge of the securities business of Liu Chong Hing Bank Limited and an independent non-executive director of S.A.S. Dragon Holdings Limited, whose shares are listed on The Stock Exchange of Hong Kong Limited. Mr. Liu holds a bachelor degree in economics from the University of Newcastle-upon-Tyne (UK).

Mr. LIN Zhi Hang, aged 62, is an independent non-executive director of the Company. Mr. Lin graduated from the Central University of Finance Banking in 1963 with a bachelor degree in international finance. He joined the Tianjin branch of Bank of China in 1963 and was responsible for overseeing the settlement and credit activities for international trade and international finance research work. Mr. Lin was formerly the deputy officer, the director of the institute of international finance and the general manager of the international business affairs department during his service with Bank of China. In 1990, he was seconded to take up the duty as deputy general manager of the Hong Kong-Macau regional office of Bank of China and was in charge of supervising the loan and remittance activities, the retail business of international trade settlement and the overall business planning for group of Bank of China in Hong Kong. Mr. Lin was also accredited the senior economist by Bank of China.



Biographical Details of Directors and Senior Management (continued)

SENIOR MANAGEMENT

Mr. LEUNG Wai Chuen, Sdentent, aged 43, is a futures dealing director and is responsible for the Group's futures trading. He has 19 years' working experience in the futures business and is a registered dealer under the Commodities Trading Ordinance.

Ms. LAM Fung Sin, aged 31, is the compliance officer. She is an associate member of the Chartered Association of Certified Accountants. She worked for about three and a half years from October 1994 to April 1998 in the Regulation Division (formerly known as Compliance Division) of The Stock Exchange of Hong Kong Limited ("Stock Exchange") and held the position of senior supervisor at the time of her leaving the Stock Exchange.

As a compliance officer of the Group, Ms. Lam is responsible for ensuring compliance by the staff of the Group with the applicable rules of the Stock Exchange and the Securities Ordinance, making recommendations to improve the internal control system of the Group and monitoring the trading accounts. In addition, Ms. Lam also has to report to the independent non-executive Directors on a half-yearly basis. She is also one of the members of the credit review committee of the Group.

Mr. YIU Chi Ming, Louis, aged 42, is the director of the corporate finance department and is registered as an investment adviser and a dealing director under the Securities Ordinance. Mr. Yiu holds a master's degree in accounting from Curtin University of Technology in Australia and a professional diploma in Company Secretaryship and Administration from the Hong Kong Polytechnic. He has been an associate member of The Institute of Chartered Secretaries & Administrators of England since 1988 and an associate member of the Hong Kong Institute of Company Secretaries since 1994. Mr. Yiu has over ten years of experience in investment banking, corporate finance advisory work, placing, underwriting and sub-underwriting activities.

Ms. CHOW Chuen Ho, aged 37, is the administration manager of the Group responsible for office administration and human resources. She has over 16 years of working experience in the financial industry.