

## ADDITIONAL INFORMATION

### Directors' interests

As at 30 June 2002 the interests of the Directors in securities of the Bank and its associated corporations disclosed in accordance with the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited ("the Listing Rules") were detailed below.

	Personal Interests	Family Interests	Corporate Interests	Other Interests	Total Interests
<b>Number of Ordinary Shares of HK\$5 each in the Bank</b>					
The Honourable Lee Quo-Wei	1,091,516	1,094,783	350,990 <sup>(1)</sup>	158,152 <sup>(2)</sup>	2,695,441
Mr D G Eldon	300	–	–	–	300
Mr John C C Chan	–	–	–	1,000 <sup>(3)</sup>	1,000
Dr Ho Tim	3,480,252	–	–	–	3,480,252
Mr Roger K H Luk	1,983	2,500	–	–	4,483
Mr W K Mok	1,625	–	–	–	1,625
<b>Number of Ordinary Shares of US\$0.50 each in HSBC Holdings plc</b>					
The Honourable Lee Quo-Wei	1,704,306	1,276,224	74,003 <sup>(1)</sup>	1,696,986 <sup>(2)</sup>	4,751,519
Mr D G Eldon	–	13,440	–	–	13,440
Mr Vincent H C Cheng	24,658	49,620	–	–	74,278
Mr John C C Chan	14,283	–	–	3,000 <sup>(3)</sup>	17,283
Dr Ho Tim	102,687	–	–	–	102,687
Mr Jenkin Hui	9,037	24,342	1,001,269 <sup>(4)</sup>	–	1,034,648
Dr the Hon Eric K C Li	–	18,132	79,622 <sup>(5)</sup>	–	97,754
Mr Roger K H Luk	49,311	2,400	–	–	51,711
Mr Raymond C F Or	59,658	30,398	–	–	90,056
Mr S C Penney	10,719	–	–	–	10,719

Notes:

- (1) The Honourable and Mrs Lee Quo-Wei together were entitled to control no less than one-third of the voting power at general meetings of a private company which beneficially held all of those shares referred to above as his corporate interests.
- (2) 1,633,660 shares in HSBC Holdings plc were held by a charitable foundation which is exempt from tax under section 88 of the Inland Revenue Ordinance and of which The Honourable and Mrs Lee Quo-Wei were members of the Board of Trustees. 158,152 shares in the Bank and 63,326 shares in HSBC Holdings plc were held by two trusts of which Mrs Lee was one of the two trustees. Neither The Honourable Lee Quo-Wei nor Mrs Lee was a beneficiary of the shareholdings mentioned in this note.
- (3) 1,000 shares in the Bank and 3,000 shares in HSBC Holdings plc were held by a trust of which Mr and Mrs John C C Chan were beneficiaries.
- (4) Mr Jenkin Hui was entitled to control no less than one-third of the voting power at general meetings of a private company which beneficially held all of those shares referred to above as his corporate interests.
- (5) Dr the Hon Eric K C Li was entitled to control no less than one-third of the voting power at general meetings of a private company which beneficially held all of those shares referred to above as his corporate interests.

At 30 June 2002, The Honourable Lee Quo-Wei had a family interest in HK\$2,500,000 Subordinated Collared Floating Rate Notes 2003 issued by The Hongkong and Shanghai Banking Corporation Limited.

At 30 June 2002, the undermentioned Directors held options to acquire the number of ordinary shares of US\$0.50 each in HSBC Holdings plc set against their respective names. These options were granted for nil consideration by HSBC Holdings plc.

	Options held at 30 June 2002	Options exercised during the first half of the year (ordinary shares of US\$0.50 each)	Exercise price per share in pence	Date granted	Exercisable from	Exercisable until
Mr D G Eldon	36,000	–	217.27	7 Mar 1995	7 Mar 1998	7 Mar 2005
	40,500	–	333.34	1 Apr 1996	1 Apr 1999	1 Apr 2006
Mr Vincent H C Cheng	1,875	–	539.80	1 Apr 1999	1 Aug 2004	31 Jan 2005
	1,119	–	602.99	10 Apr 2000	1 Aug 2005	31 Jan 2006
Mr Roger K H Luk	1,248	–	539.80	1 Apr 1999	1 Aug 2004	31 Jan 2005
	1,679	–	602.99	10 Apr 2000	1 Aug 2005	31 Jan 2006
Mr W K Mok	3,126	–	539.80	1 Apr 1999	1 Aug 2004	31 Jan 2005
Mr Raymond C F Or	21,000	–	627.67	16 Mar 1998	16 Mar 2001	16 Mar 2008
	1,875	–	539.80	1 Apr 1999	1 Aug 2004	31 Jan 2005
	1,119	–	602.99	10 Apr 2000	1 Aug 2005	31 Jan 2006
Mr S C Penney	18,000	–	217.27	7 Mar 1995	7 Mar 1998	7 Mar 2005
	21,000	–	333.34	1 Apr 1996	1 Apr 1999	1 Apr 2006
	22,500	–	501.60	24 Mar 1997	24 Mar 2000	24 Mar 2007
	20,250	–	627.67	16 Mar 1998	16 Mar 2001	16 Mar 2008
	1,875	–	539.80	1 Apr 1999	1 Aug 2004	31 Jan 2005
	1,119	–	602.99	10 Apr 2000	1 Aug 2005	31 Jan 2006

At 30 June 2002, there were conditional awards of shares under the HSBC Holdings plc Restricted Share Plan made in favour of the undermentioned Directors for the number of ordinary shares of US\$0.50 each in HSBC Holdings plc set against their respective names:

Mr D G Eldon	218,000
Mr Vincent H C Cheng	112,132
Mr Roger K H Luk	47,787
Mr W K Mok	44,217
Mr Raymond C F Or	80,126
Mr S C Penney	45,759

No right to subscribe for equity or debt securities of the Bank has been granted by the Bank to, nor have any such rights been exercised by, any person during the half year ended 30 June 2002.

### Substantial interests in share capital

The register maintained by the Bank pursuant to the Securities (Disclosure of Interests) Ordinance recorded that, as at 30 June 2002, the following corporations had interests (as defined in that Ordinance) in the Bank set opposite their respective names:

Name of Corporation	Number of Ordinary Shares of HK\$5 each in the Bank (Percentage of total)
The Hongkong and Shanghai Banking Corporation Limited	1,199,816,037 (62.76%)
HSBC Asia Holdings BV	1,199,816,037 (62.76%)
HSBC Asia Holdings (UK)	1,199,816,037 (62.76%)
HSBC Holdings BV	1,226,510,848 (64.15%)
HSBC Finance (Netherlands)	1,226,510,848 (64.15%)
HSBC Holdings plc	1,227,020,925 (64.18%)

The Hongkong and Shanghai Banking Corporation Limited is a wholly-owned subsidiary of HSBC Asia Holdings BV, which is a wholly-owned subsidiary of HSBC Asia Holdings (UK), which in turn is a wholly-owned subsidiary of HSBC Holdings BV. HSBC Holdings BV is a wholly-owned subsidiary of HSBC Finance (Netherlands), which in turn is wholly-owned by HSBC Holdings plc. Accordingly, The Hongkong and Shanghai Banking Corporation Limited's interests are recorded as the interests of HSBC Asia Holdings BV, HSBC Asia Holdings (UK), HSBC Holdings BV, HSBC Finance (Netherlands) and HSBC Holdings plc.

The Directors regard HSBC Holdings plc to be the beneficial owner of 1,188,057,371 ordinary shares in the Bank (62.14%).

### **Purchase, sale or redemption of the Bank's listed securities**

During the six months ended 30 June 2002, there was no purchase, sale or redemption by the Bank, or any of its subsidiaries, of the Bank's listed securities.

### **Remuneration**

There have been no material changes to the information disclosed in the Annual Report 2001 in respect of the remuneration of employees and remuneration policies.

### **Code of best practice**

None of the Directors is aware of information that would reasonably indicate that the Bank is not, or was not for any part of the accounting period for the first half of 2002, in compliance with the Code of Best Practice adopted by the Bank, which includes all the guidelines set out in Appendix 14 of the Listing Rules.

### **Recommendations on interim financial disclosure by authorised institutions incorporated in Hong Kong**

The information in the interim report for the half year ended 30 June 2002 complies with the module on "Interim Financial Disclosure by Locally Incorporated Authorised Institutions" under the Supervisory Policy Manual issued by the Hong Kong Monetary Authority in November 2001.

### **Hong Kong Statement of Standard Accounting Practice 25 "Interim Financial Reporting" ("HKSSAP 25")**

The information in the interim report for the half year ended 30 June 2002 complies with HKSSAP 25.

### **Register of shareholders**

The Register of Shareholders of the Bank will be closed on Tuesday, 27 August 2002 and Wednesday, 28 August 2002, during which no transfer of shares can be registered. In order to qualify for the first interim dividend, all transfers, accompanied by the relevant share certificates, must be lodged with the Bank's Registrars, Computershare Hong Kong Investor Services Limited (formerly known as Central Registration Hong Kong Limited), Shops 1712-1716, 17th Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong, for registration not later than 4.00 pm on Monday, 26 August 2002. The first interim dividend will be payable on Wednesday, 4 September 2002 to shareholders on the Register of Shareholders of the Bank on Wednesday, 28 August 2002.

### **Copies of the interim report**

Further copies of the interim report may be obtained from the Company Secretary Department, Hang Seng Bank Limited, Level 10, 83 Des Voeux Road Central, Hong Kong; or from Hang Seng Bank's website <http://www.hangseng.com>.

#### 註冊辦事處

香港德輔道中八十三號

電話：(852) 2198 1111

圖文傳真：(852) 2868 4047

直線電報：73311 73323 75225 63030

環球財務電訊：HASE HK HH

電報：HASEBA

網址：<http://www.hangseng.com>

#### 股份登記處

香港中央證券登記有限公司

香港灣仔皇后大道東一八三號

合和中心十九樓一九零一至五室

#### 美國預託證券託管處

The Bank of New York (紐約銀行)

American Depositary Receipts

101 Barclay Street, 22W

New York NY 10286

USA

網址：<http://www.adrbny.com>

(美國境內免費電話)：1-888-269-2377

#### Registered Office

83 Des Voeux Road Central, Hong Kong

Telephone: (852) 2198 1111

Facsimile: (852) 2868 4047

Telex: 73311 73323 75225 63030

SWIFT: HASE HK HH

Cable: HASEBA

Website: <http://www.hangseng.com>

#### Registrars

Computershare Hong Kong Investor Services Limited

Rooms 1901-5, Hopewell Centre, 19th Floor

183 Queen's Road East, Wanchai, Hong Kong

#### Depositary

The Bank of New York

American Depositary Receipts

101 Barclay Street, 22W

New York NY 10286

USA

Website: <http://www.adrbny.com>

(Toll free): 1-888-269-2377

攝影：Kevin Orpin  
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