

# *Biographical Details of Directors and Senior Management*

## **EXECUTIVE DIRECTORS**

**Mr. Tang Yu Lap**, aged 52, is the Chairman of the Group and founder of Hantec Holdings Limited, the ultimate holding company in which he is also the Chairman. Mr. Tang is responsible for overall strategic planning of the Group and development of overseas markets. Mr. Tang is also the member of The Chinese People's Political Consultative Conference Beijing Committee, council member of the Beijing Chinese Overseas Friendship Association, professor of Beijing Chinese Cultural Institute, honorary chairman of Dong Guan Chinese Overseas Friendship Association, honorary adviser of Association Des Residents En France D'Origine Indo, honorary chairman of Taiwan Business Association (HK) Limited, council member of The Council of Taiwanese Chambers of Commerce in Asia and World Taiwanese Chambers of Commerce, fellow of The Hong Kong Institute of Directors, member of the Hong Kong Securities Institute, member of the Hong Kong Financial Markets Association and member of The Hong Kong Polytechnic University Advisory Committee on Business Studies.

Mr. Tang has over 30 years experience in the financial services field and has held senior positions and been in-charge of capital market and treasury functions in various local and international banks. Currently Mr. Tang is an executive director of a financial company holding money broker license in Taiwan and an investment company whose shares are listed in the Stock Exchange of Hong Kong.

**Mr. Tang Ping Sum**, aged 46, is the Deputy Chairman and General Manager of the Group. Mr. Tang assumes overall responsibility for the listed group's operations and strategic business development, and is directly in charge of the corporate finance division and the asset management division. He has approximately 15 years of experience in the financial services industry, in particular the operational, financial, internal control, and risk management aspects of the business. Prior to joining the Group in 1998, Mr. Tang worked for a regulatory body in the financial services industry in Hong Kong.

Mr. Tang graduated from the University of Western Australia with a Bachelor of Commerce Degree and holds a Master of Applied Finance Degree from Macquarie University, Australia. He is a fellow member of the Hong Kong Society of Accountants, a member of CPA Australia, the Hong Kong Institute of Directors, the Hong Kong Securities Institute, the Institute of Securities Dealers, and the advisory board of Society of Registered Financial Planners. Currently, he is a director of Taiwan Business Association (HK) Limited and an independent non-executive director of another company whose shares are listed in the Stock Exchange of Hong Kong.

**Mr. Man Kong Yui**, aged 43, is the Deputy General Manager of the Group responsible for corporate planning, business promotion, supporting operations and information technology development. He is also a director of Hantec Holdings Limited. Mr. Man has held various senior positions with prominent banks and international financial institutions and has extensive experience in bullion, futures and foreign exchange business. Mr. Man holds a Bachelor's Degree in Business Administration from the Chinese University of Hong Kong and has over 24 years of experience in securities and financial industries.

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**Mr. Sze Chong Hoi**, aged 52, is the Deputy General Manager of the Group. Mr. Sze joined the Group in 1994 and is in charge of the marketing department of the Group and is responsible for formulating market development plans and managing all account executives of the Group. Prior to joining the Group, he worked for M W Marshall (Hong Kong) Limited and was a head of the Foreign Exchange Department of Hua Chiao Commercial Bank Limited. Mr. Sze has over 20 years of experience in foreign exchange trading business.

**Ms. Chan Na Wah**, aged 48, is the Deputy General Manager of the Group. Ms. Chan is in charge of the foreign exchange dealing and proprietary trading of the Group. Prior to joining the Group in 1998, Ms. Chan was the senior director, Regional Head of Collateralised Foreign Exchange in the Treasury Division of American Express Bank Limited, Hong Kong Branch. She was also the Vice President/Senior Advisor of the Risk Management Division of the Hong Kong Office of Bank of America and was responsible for the risk management of the bank's foreign exchange business. Ms. Chan has over 21 years of experience in foreign exchange trading business.

**Mr. Lam Ngok Fung**, aged 43, is the Director of Strategic Planning and Analysis of the Group and is in charge of its investment advisory division. Prior to joining the Group in 1999, Mr. Lam held professional and management positions with various Hong Kong and international financial institutions in the domain of investment banking and portfolio management for more than 10 years. Mr. Lam graduated from the University of Hong Kong with a Bachelor of Social Science Degree in management and received a Master of Business Administration Degree in finance from the University of British Columbia. Mr. Lam is a Chartered Financial Analyst and a member of the Association for Investment Management and Research.

### **NON-EXECUTIVE DIRECTORS**

**Mr. Fan Wai Ming**, aged 55, is a non-executive Director and one of the founders of the Group. Mr. Fan is also a director of Hantec Holdings Limited. Mr. Fan also ran his own trading business which he founded in 1974.

**Mr. Fong Wo, Felix**, aged 52, is a practising solicitor in Hong Kong. He is also qualified in Canada and England and a PRC-appointed attesting officer. He is a partner of Fong & Ng, a law firm in Hong Kong. Mr. Fong has practised law for over 20 years, including eight years in Toronto. He is a member of the Hong Kong Broadcasting Authority and Hong Kong Country and Marine Parks Board and a member of the first Selection Committee for the Chief Executive of the Hong Kong Special Administrative Region and a Founding Governor of the Canadian International School. He is a Director of Basic Law Institute, a member of Guangdong Provincial Committee of Chinese People's Political Consultative Conference and a director of China Overseas Friendship Association.

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## **INDEPENDENT NON-EXECUTIVE DIRECTORS**

**Mr. Fan Sheung Tak**, aged 63, is a fellow member of the Association of Chartered Certified Accountants, the Hong Kong Society of Accountants, the Institute of Management Consultancy and the Taxation Institute of Hong Kong. He is a founder and senior partner of Fan, Mitchell & Co., certified public accountants.

**Mr. Yu Man Woon**, aged 52, is currently the assistant general manager of a local bank. He obtained a Master Degree in Business Administration from the University of Minnesota and has over 20 years of experience in banking and finance with various international financial institutions.

## **SENIOR MANAGEMENT**

**Ms. Poon Wai Ming**, aged 57, is the Deputy General Manager of the Group responsible for overseeing the human resources and administration department. Ms. Poon possesses over 30 years of experience in the banking industry and had held various senior executive positions in local and overseas banks.

**Mr. Lau Mun Chung**, aged 38, is the Financial Controller and Company Secretary of the Group responsible for accounting, operational control, settlement, company secretarial and all finance related matters of the Group. Mr. Lau holds a Bachelor's Degree of Social Science from the University of Hong Kong and is a fellow member of the Association of Chartered Certified Accountants and an associate member of the Hong Kong Society of Accountants.

**Ms. Tang Mun May**, aged 44, is the Chief Accountant of the Group. Ms. Tang is a fellow member of the Association of Chartered Certified Accountants and an associate member of the Hong Kong Society of Accountants and holds a Master Degree in Business Administration. Ms. Tang has over 16 years of experience in auditing, accounting and is responsible for overseeing all accounting and finance functions of the Group.

**Ms. Lau Yuk Ping**, aged 37, is an Associate Director of the Group responsible for overseeing the compliance function of the Group. Prior to her joining to the Group in August 1999, Ms. Lau worked in the compliance division of the Stock Exchange of Hong Kong. Ms. Lau holds a Bachelor's Degree in Business from Monash University, Australia and is a fellow member of the Association of Chartered Certified Accountants and an associate member of the Hong Kong Society of Accountants. She has over 10 years of experience in regulatory and compliance matters.

**Mr. Lai Ka Chu**, aged 34, is a Director of Hantec Capital Limited and is in charge of the Group's corporate financial advisory division. Mr. Lai graduated from the University of Sheffield in England with a Master's Degree in Business Administration. He is an associate member of the Hong Kong Institute of Bankers and The Chartered Institute of Marketing of England. Prior to joining the Group in 1999, he worked for the corporate finance division of a securities firm for over five years and has involved in various flotation, takeover and financial advisory exercises.

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**Ms. Lee Kai Lam, Cecilia**, aged 42, joined the Group in May 1998. Ms. Lee is a Director of Hantec Asset Management Limited and is in charge of the Group's fund management division. Ms. Lee has over 10 years of experience in the fund management field. She started as an assistant investment analyst with the International Maritime Carriers Group in 1988 and became an investment analyst, fund manager and senior fund manager at Euro Pacific Advisers Limited from 1989 to 1998. During her employment with Euro Pacific Advisers Limited, Ms. Lee was responsible for managing authorised mutual funds for French and Japanese investors investing in the Asia Pacific stock markets. Ms. Lee graduated from the London School of Economics (University of London) with a Bachelor's degree in Economics and obtained a Master's degree in Business Administration from the University of Wales.

**Mr. Law Kai Yee**, aged 43, joined the Group in 1995 and is a Director of HT Securities Limited, HT Futures Limited, and Hantec International Limited and is in charge of the Group's securities and commodities trading division. Mr. Law is responsible for monitoring the operations of the securities broking and commodities trading activities of the Group and supervises the account executives on daily securities and commodities dealing activities. He possesses over 20 years of experience in financial services such as securities dealing, money brokerage and asset management. He is a diploma holder in Advanced Securities Markets Analysis from the Chinese University of Hong Kong.