

# Directors and Senior Management

## EXECUTIVE DIRECTORS

**Mr. Yip Man Fan**, aged 50, is the chairman of the Company and the founder of the Group. Mr. Yip is also a director of TFML, TFL, TSCL, Tanrich Financial Group (China) Limited ("TFC") and a responsible officer of TFL. Mr. Yip has been in the securities and futures broking businesses for over 22 years. He is responsible for the business development, corporate strategies and policies of the Group. Mr. Yip was the past President of Lions Club of the Peak, Hong Kong. He has also received the Melvin Jones Fellow award for dedicated Humanitarian Services of Lions Clubs International Foundation.

**Mr. Kwok Kam Hoi**, aged 52, is the deputy chairman of the Company and the chief executive of the Group. Mr. Kwok is also a director of every subsidiary of the Company. He joined the Group in October 1995. He is responsible for the business development, corporate strategies, policies and overall management of the Group. He is a member of the risk control committee and credit control committee. Mr. Kwok has actively contributed to the Group's restructuring and business growth. Before joining the Group, he was a vice president of J.P. Morgan & Co. Incorporated where he has worked for 16 years. Mr. Kwok is a graduate of the Chinese University of Hong Kong in business management. He is also a member of the Hong Kong Securities Institute and a member of the financial services committee of Hong Kong Coalition of Service Industries, which is the Service Policy Think-Tank of the Hong Kong General Chamber of Commerce.

**Mr. Tsunoyama Toru**, aged 49, is an executive director of the Company and its subsidiaries, TFML and TFIN. He joined the Group in May 1991 and is an advisor on the Japanese commodity futures activities of the Group. He is also a member of the risk control committee and the credit control committee. He has over 25 years of experience in the commodity futures field. Mr. Tsunoyama is a law graduate of Kyoto Sangyo University, Japan.

## NON-EXECUTIVE DIRECTORS

**Mr. Lam, Andy Siu Wing, JP**, aged 53, has been a non-executive director of the Company since October 2001. Mr. Lam is an American Certified Public Accountant, a Certified Fraud Examiner, a Chartered Secretary and a Chartered Marketer. He holds a master degree in business administration from Oklahoma City University, USA. Mr. Lam has over 19 years of experience in finance, corporate administration, marketing and strategic planning. He has been appointed by Hong Kong Government as a Justice of the Peace and sits on a number of boards and committees. Mr. Lam had served as a member of the Administrative Appeals Board, Urban Services Appeals Board, Board of Review (Inland Revenue Ordinance) and an adjudicator of Immigration Tribunal. Currently he is a member of the Hong Kong Housing Authority Audit Sub-committee, a member of the Action Committee Against Narcotics, an adjudicator of the Registration of Persons Tribunal, and an adjudicator of the Obscene Articles Tribunal. Mr. Lam has also sat on the board of several Hong Kong listed companies.

**Mr. Ma Chiu Cheung, Andrew**, aged 62, has been a non-executive director of the Company since October 2001. Mr. Ma is a director of Andrew Ma DFK (CPA) Limited. He has about 32 years of experience in accounting and finance. He received his bachelor degree majoring in economics from London School of Economics and Political Science in England. Mr. Ma is a fellow member of the Institute of Chartered Accountants in England & Wales and a fellow of the Hong Kong Institute of Certified Public Accountants (Practising). He was the past president of Rotary Club of Hong Kong Island West. Currently he is the President of The Hong Kong Federation of Youth Groups, vice president of Chiu Yang Residents Association Limited, vice-president of Hong Kong Club Chow Chamber of Commerce Limited. Mr. Ma is also the registered manager of Chiu Yan Primary School of Hong Kong, Chiu Chow Association Secondary School and Chiu Sheung School, Hong Kong.

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## SENIOR MANAGEMENT

**Mr. Pun Tit Shan**, aged 43, is the director and responsible officer of TSCL and TFL. He is also a member of credit control committee. Mr. Pun has over 20 years experience in the financial field. Prior to joining the Group in April 2002, he was the dealing director of BOCI Commodities and Futures Limited and the vice president of BOCI Securities Limited. Mr. Pun had been a director of the Board of Hong Kong Futures Exchange Limited (“HKFE”) and Hong Kong Stock Exchange Options Clearing House Limited for 4 years. Besides, Mr. Pun was a panel member of Derivatives Market Consultative Panel of Hong Kong Exchange and Clearing Limited and a committee member of Professional Education Committee and Membership Committee of Hong Kong Securities Institute.

**Mr. Chan Lee Yeung**, aged 54, is the director and responsible officer of TSCL and TAML. Mr. Chan has been in the securities business for over 32 years. He joined the Group in July 1991.

**Ms. Lau Yim Ling, Elaine**, aged 42, is a senior vice president of the sales division of TFL. She is also a director and responsible officer of TFL. Ms. Lau joined the Group in November 1990 and has over 17 years experience in futures business. She holds a master degree in business administration from Newport University, USA.

**Mr. Lee Hung Kwong, Simon**, aged 39, is a senior vice president of the sales division of TFL. He is also a director and responsible officer of TFL. Mr. Lee joined the Group in November 1990 and has about 18 years experience in futures business. He holds a diploma in business management jointly awarded by Hong Kong Management Association and Lingnan University, Hong Kong.

**Mr. Cheung Nai Shun, Robert**, aged 45, is a senior vice president of the sales division of TFL. Mr. Cheung joined the Group in November 1990 and has been in the futures business for over 18 years.

**Ms. Li Wai Kuen**, aged 44, is the director and responsible officer of Tanrich Capital Limited (“TCL”). Before joining the Group in October 2002, Ms. Li worked for the Listing Division of the SEHK, CEF Capital Limited, Yuanta Securities (Hong Kong) Company Limited and CSC Asia Limited. Ms. Li has over 13 years experience in the field of corporate finance and holds a master degree in business administration from York University, Canada.

**Mr. Sit Kar Kin, Kenneth**, aged 38, is the director and responsible officer of TCL. Mr. Sit joined the Group in March 2003 and has over 11 years experience in corporate finance, with emphasis in PRC deals and projects. Prior to joining the Group, Mr. Sit had worked for a number of investment banks including Kingsway Capital Limited, BOCI Asia Limited, China Everbright Capital Limited and Nikko Securities Co., (Asia) Limited. Mr. Sit holds a master degree in finance from Bradford University, UK and a bachelor degree from Bath University, U.K.

**Mr. Choi Hok Chung, Galen**, aged 44, is the director and executive vice president of TAML. Mr. Choi has over 22 years of experience in life insurance industry. He graduated from University of Hong Kong in 1982 and is a fellow member of Life Management Institute.

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## SENIOR MANAGEMENT *(Continued)*

**Mr. Zhang Shu Zhi**, aged 38, is the director of TFC. Mr. Zhang is also a general manager in China Nuclear Investments Limited, Shenzhen Nuclear Investments Holding Limited and Hong Kong Nuclear Investments Holding Limited. He has over 15 years experience in financial and investment businesses. Mr. Zhang holds a master degree in accountancy from The Hong Kong Polytechnic University and an undergraduate degree in economic from The Wuhan University.

**Ms. Tsang Pui Yee, Vanessa**, aged 29, is the secretary of the Company and the senior manager of the Group's finance and accounting division. She is also a member of the risk control committee and the credit control committee. She joined the Group in February 2001 and has over 7 years of experience in finance and accounting. She is a member of the Association of Chartered Certified Accountants and an associate of the Hong Kong Institute of Certified Public Accountants. She also holds a master degree in corporate finance from The Hong Kong Polytechnic University.

**Mr. Wan Chuck Pui, Mark**, aged 37, is the associate director of the Group's research division. Mr. Wan joined the Group in April 1992 and has over 12 years of financial services research experience. He is a graduate of Keele University, England in economics.

**Ms. Wong Lai Ping**, aged 44, is the associate director of the Group's human resources and corporate services division. She joined the Group in June 1990 and has over 22 years' experience in the area of administration and human resources. Ms. Wong holds a diploma in business management jointly awarded by Hong Kong Management Association and Lingnan University, Hong Kong.

**Ms. Tsang Chee Ping, Catherine**, aged 35, is the senior manager of the Group's legal and compliance division. She is also a member of the risk control committee. Ms. Tsang has 12 years of experience in the auditing and regulatory compliance areas. Prior to joining the Group in February 2004, Ms Tsang worked for the Securities and Futures Commission for over five years. Ms. Tsang holds a bachelor degree and a master degree in business administration from Coventry University and the University of Birmingham, UK respectively. She is also a member and associate of the Association of Chartered Certified Accountants and the Hong Kong Institute of Certified Public Accountants respectively.

**Ms. Chan Lai Ling**, aged 41, is the senior manager of the TFL's settlement division. Ms. Chan joined the Group in November 1990 and has over 16 years' experience in futures settlement.

**Ms. Wong Yuen Ling**, aged 36, is the manager of TSCL's settlement division. Ms. Wong joined the Group in January 1999 and has over 14 years' experience in securities settlement.

**Mr. Chu Siu Fai, Alan**, aged 38, is the manager of the Group's information technology division. Mr. Chu has been in the IT field for over 14 years. Prior the joining the Group in July 2001, he worked for Hang Seng Bank Ltd., ABN-AMRO Bank and Orix Asia Ltd. holding the positions from senior analyst Programmer to assistant manager. Mr. Chu holds a bachelor degree in computing science from University of Ulster, Northern Ireland and a bachelor degree in economics from University of London, England and a master degree in business administration from Open University of Hong Kong.