

## *Biographical details of directors and senior management*

### ■ EXECUTIVE DIRECTORS ■

**Mr. Tang Yu Lap**, aged 54, is the Chairman of the Group and founder of Hantec Holdings Limited, the ultimate holding company in which he is also the Chairman. Mr. Tang is responsible for overall strategic planning of the Group and development of overseas markets. Mr. Tang is also the member of The Chinese People's Political Consultative Conference Beijing Committee, council member of the Beijing Chinese Overseas Friendship Association, professor of Beijing Chinese Cultural Institute, honorary chairman of Dong Guan Chinese Overseas Friendship Association, honorary adviser of Association Des Residents En France D'Origine Indo, honorary chairman of Taiwan Business Association (HK) Limited, member of The Council of Taiwanese Chambers of Commerce in Asia, fellow member of The Hong Kong Institute of Directors, member of the Hong Kong Securities Institute, member of the Hong Kong Financial Markets Association, member of The Hong Kong Polytechnic University Advisory Committee on Business Studies and visiting professor of the international finance department of the College of Arts and Science of Beijing Union University. He is the husband of Ms. Ng Chiu Mui.

Mr. Tang has over 30 years experience in the financial services field and has held senior positions and been in-charge of capital market and treasury functions in various local and international banks.

**Mr. Tang Ping Sum**, aged 48, is the Deputy Chairman and General Manager of the Group. Mr. Tang assumes overall responsibility for the Group's operations and strategic business development, and he is directly in charge of corporate finance department, fund management department and compliance & internal audit department. He has approximately 17 years of experience in the financial services industry, particularly in operational, financial, internal control, and risk management aspects of the business. Prior to joining the Group in 1998, Mr. Tang worked for regulatory body and multi-national corporation in financial services industry in Hong Kong and overseas respectively.

Mr. Tang graduated from the University of Western Australia with a Bachelor of Commerce Degree and holds a Master of Applied Finance Degree from Macquarie University, Australia. He is a fellow member of the Hong Kong Institute of Certified Public Accountants, a member of CPA Australia, the Hong Kong Securities Institute, a director of the Institute of Securities Dealers, and a member of the advisory board of Society of Registered Financial Planners. Mr. Tang is a responsible officer registered with SFC under SFO to carry out dealing in securities, advising on corporate finance and providing asset management services.

**Mr. Sze Chong Hoi**, aged 54, is the Deputy General Manager of the Group. Mr. Sze joined the Group in 1994 and is in charge of the marketing department of the Group and is responsible for formulating market development plans and managing all account executives of the Group. Prior to joining the Group, he worked for M W Marshall (Hong Kong) Limited and was a head of the Foreign Exchange Department of Hua Chiao Commercial Bank Limited. Mr. Sze has over 22 years of experience in foreign exchange trading business.

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**Mr. Lam Ngok Fung**, aged 45, is the Director of Strategic Planning and Analysis of the Group and is in charge of its investment advisory division. Prior to joining the Group in 1999, Mr. Lam held professional and management positions with various Hong Kong and international financial institutions in the domain of investment banking and portfolio management for more than 10 years. Mr. Lam graduated from the University of Hong Kong with a Bachelor of Social Science Degree in management and received a Master of Business Administration Degree in finance from the University of British Columbia. Mr. Lam is a Chartered Financial Analyst and a member of The Hong Kong Society of Financial Analysts.

**Ms. Ng Chiu Mui**, aged 52, is the Deputy General Manager of the Group and is a director of Hantec Capital Limited and Hantec International Limited. Ms. Ng is responsible for information technology and research department as well as oversea investment management. Ms. Ng is also a director of Hantec Holdings Limited. Ms. Ng obtained higher certificate in business studies and banking from the Hong Kong Polytechnic University in 1978 & 1983 respectively. Ms. Ng attended the advanced management program organised by the Wharton School of Business, University of Pennsylvania in 1988 and obtained a bachelor degree of marketing and management from Curtin University of Technology, Australia in 2001. Prior to joining the Group in 1999, Ms. Ng held executive positions in the banking industry and possessed in-depth experience in international trade, foreign exchange, treasury, marketing and account management. She is the wife of the Chairman of the Group.

**Ms. Poon Wai Ming**, aged 59, is the Deputy General Manager of the Group responsible for overseeing the human resources and administration department. Ms. Poon holds a Master Degree in Business Administration of University of Canberra and possesses over 30 years of experience in the banking industry and had held various senior executive positions in local and overseas banks.

### ■ NON-EXECUTIVE DIRECTORS ■

**Mr. Man Kong Yui**, aged 45, was re-designated non-executive director on 1st March 2004. He was responsible for the business operation in securities and futures of the Group when he was executive director of the Group. He is also a director of Hantec Holdings Limited. Mr. Man has held various senior positions with prominent banks and international financial institutions and has extensive experience in bullion, futures and foreign exchange business. Mr. Man holds a Bachelor's Degree in Business Administration from the Chinese University of Hong Kong and has over 25 years of experience in securities and financial industries.

**Mr. Fong Wo, Felix**, aged 54, is a practicing solicitor in Hong Kong and qualified in Canada and England. He is also appointed by the Ministry of Justice of China as one of the China-Appointed Attesting Officers in Hong Kong. Mr. Fong is a partner of Fong & Ng, a law firm in Hong Kong who has practiced law for over 24 years, including 8 years in Toronto. Mr. Fong is a member of Guangdong Provincial Committee of Chinese People's Political Consultative Conference, a director of China Overseas Friendship Association and a director of Shanghai Chinese Overseas Friendship Association. He is also the vice chairman of the Hong Kong Liquor Licensing Board, a member of the Hong Kong Broadcasting Authority, a member of the Country & Marine Parks Board and a member of the Hong Kong Football Betting and Lotteries Commission and a director of the Hong Kong Basic Law Institute. Mr. Fong is a member of the first Selection Committee for the purposes of electing the Chief Executive for Hong Kong Special Administrative Region and a founding member of Canadian International School of Hong Kong.

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### ■ INDEPENDENT NON-EXECUTIVE DIRECTORS ■

**Mr. Chung Shui Ming, Timpson**, *GBS, JP* aged 53, is an executive director and CEO of Shima China Holdings Limited and other than Hantec Investment Holdings Limited, he is an independent non-executive director of 4 listed companies in Hong Kong. He is also a member of National Committee of the 10th Chinese People's Political Consultative Conference, a Council Member and the Deputy Chairman of the City University of Hong Kong and a member of Hong Kong Housing Authority and Chairman of its Finance Sub-Committee. Formerly, he was Chairman of Hong Kong Housing Society and the Chief Executive of the Hong Kong Special Administrative Region Government Land Fund Trust. Mr. Chung holds a Master of Business Administration Degree from the Chinese University of Hong Kong and is a Fellow Member of the Hong Kong Institute of Certified Public Accountants and Association of Chartered Certified Accountants.

**Mr. Yu Man Woon**, aged 54, is currently the assistant general manager of a local bank. He obtained a Master Degree in Business Administration from the University of Minnesota and has over 25 years of experience in banking and finance with various international financial institutions.

**Mr. Cheng Wing Chi**, aged 53, is currently a Honorary Advisor of Chinese Bankers Club, Hong Kong, member of Board of Governors of The Hong Kong Marrow Match Foundation and manager of a local firm of solicitors and notaries. Mr. Cheng has over 30 years experience in the banking industry and held senior executive positions with a bank in Hong Kong before his retirement.

**Professor Nyaw Mee Kau**, aged 61, is the Vice-President and Chair Professor of Management and concurrently serves as Director of Hong Kong Institute of Business Studies, Lingnan University. He was also appointed Advisory/Visiting Professor at several leading universities in Chinese Mainland including Nanjing University, South China University of Technology and Northeastern University. Prior to joining Lingnan University in 1995, Prof. Nyaw was Chair Professor of Management at The Chinese University of Hong Kong (CUHK). He had held various administrative positions at CUHK, including Chairman of Department of Management, Associate Dean, BBA Director, MBA Director and PhD Director in the Business Faculty during various periods.

Prof. Nyaw obtained his B.Soc.Sc. magna cum laude from The Chinese University of Hong Kong with a major in Economics and minor in Accounting and Finance, MA from Vanderbilt University in U.S.A., MBA from the University of British Columbia, and Ph.D. from Simon Fraser University in Canada. He was the recipient of many awards/scholarships and fellowships including the prestigious Yale-New Haven Academic Distinction Scholarship (New Asia College, CUHK), Ford Foundation Scholarship (Vanderbilt University) and West Germany Government DAAD Scholarship (declined).

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### ■ SENIOR MANAGEMENT ■

**Mr. Lau Mun Chung**, aged 40, is the Financial Controller and Company Secretary of the Group responsible for accounting, operational control, settlement, company secretarial and all finance related matters of the Group. Mr. Lau holds a Bachelor of Social Science Degree from the University of Hong Kong and is a fellow member of the Association of Chartered Certified Accountants, an associate member of the Hong Kong Institute of Certified Public Accountants and a graduate of The Hong Kong Institute of Company Secretaries.

**Ms. Tang Mun May**, aged 46, is the Chief Accountant of the Group. Ms. Tang is a fellow member of the Association of Chartered Certified Accountants and the Hong Kong Institute of Certified Public Accountants and holds a Master Degree in Business Administration. Ms. Tang has over 18 years of experience in auditing, accounting and is responsible for overseeing all accounting and finance functions of the Group.

**Ms. Lau Yuk Ping**, aged 39, is Head of Compliance and Internal Audit of the Group responsible for overseeing the compliance and internal audit function of the Group. Prior to her joining to the Group in August 1999, Ms. Lau worked in the compliance division of the Stock Exchange of Hong Kong. Ms. Lau holds a Bachelor's Degree in Business from Monash University, Australia and is a fellow member of the Association of Chartered Certified Accountants and an associate member of the Hong Kong Institute of Certified Public Accountants. She has over 10 years of experience in regulatory and compliance matters.

**Mr. Lai Ka Chu**, aged 36, is a Director of Hantec Capital Limited and is in charge of the Group's corporate financial advisory division. Mr. Lai graduated from the University of Sheffield in England with a Master's Degree in Business Administration. He is an associate member of the Hong Kong Institute of Bankers and The Chartered Institute of Marketing of England. Prior to joining the Group in 1999, he worked for the corporate finance division of a securities firm for over five years and has involved in various flotation, takeover and financial advisory exercises.

**Ms. Lee Kai Lam, Cecilia**, aged 44, joined the Group in May 1998. Ms. Lee is a Director of Hantec Asset Management Limited. Ms. Lee has over 10 years of experience in the fund management field. She started as an assistant investment analyst with the International Maritime Carriers Group in 1988 and became an investment analyst, fund manager and senior fund manager at Euro Pacific Advisers Limited from 1989 to 1998. During her employment with Euro Pacific Advisers Limited, Ms. Lee was responsible for managing authorised mutual funds for French and Japanese investors investing in the Asia Pacific stock markets. Ms. Lee graduated from the London School of Economics (University of London) with a Bachelor's degree in Economics and obtained a Master's degree in Business Administration from the University of Wales.

**Mr. Law Kai Yee**, aged 45, joined the Group in 1995 and is in charge of the Group's China business division. Mr. Law is responsible for exploring business in the Mainland China. He possesses over 20 years of experience in financial services such as securities dealing, money brokerage and asset management.