

# EQUITY CHANGES AND SHAREHOLDERS

## I. CHANGE OF SHARE CAPITAL

### (1) Table of changes in the share capital of the Company

Unit: 0'000 shares

Item	Beginning of the year	Increase / (decrease) (+/-)	End of the year
1. Non-circulating shares	26,652	—	26,652
(1) State-owned shares	20,530	—	20,530
(2) Public legal person shares			
2. Circulating shares			
(1) Domestic listed A Shares	14,360	—	14,360
(2) Overseas listed H Shares	25,795	—	25,795
3. Total number of shares	<u>87,337</u>	<u>—</u>	<u>87,337</u>

Note: There was no changes in the total share capital of the Company during the reporting period.

### (2) Issuing and listing of shares

The Company did not issue any shares in any form during the past three years prior to the end of the reporting period.

## II. SHAREHOLDERS

### (1) Total number of shareholders at the end of the reporting period

Total number of Shareholders at the end of the reporting period was 55,097, of which, 55,001 held A Shares and 96 held H Shares.

### (2) Shareholdings of the top ten holders of circulating shares of the Company

#### Shareholders of the top ten shareholders of the Company

Name of shareholders (full name)	Number of circulating shares held at the year end	Type of shares (A, B, H Shares or others)
HKSCC NOMINESS LTD.	255,323,998	H Shares
Chen Ziting	370,169	A Shares
Yang Lu	320,000	A Shares
Zheng Shufen	289,400	A Shares
Luo Shibin	254,610	A Shares
Wen Lan	248,200	A Shares
Nie Kaixuan	236,332	A Shares
Liu Wenhua	235,000	A Shares
Yu Dan	231,690	A Shares
Liang Ganghui	219,200	A Shares

Explanation for the relationship among the aforesaid shareholders or action in concert:

The Company is not aware of any connected relationship among the top ten shareholders or party acting in concert.

## EQUITY CHANGES AND SHAREHOLDERS (Continued)

### II. SHAREHOLDERS (Continued)

#### (3) Shareholdings of the top ten shareholders of the Company at the end of the reporting period.

Unit: '000 shares

Names of shareholders (full name)	Increase / decrease (+, -) in the year	Number of shares held at the end of the year	Percentage of total shares (%)	Type of shares	Number of shares pledged or frozen	Nature of shareholder
HKSCC Nominees Limited	0	257,950	29.54	Circulating	Unknown	H Shares
New Northeast Electric Investment Co., Ltd.	0	230,000	26.33	Non-circulating	No	State-owned legal person shares
Shanghai Bao Yu Real Estate Investment Consultant Co., Ltd.	0	53,000	6.07	Non-circulating	50,000 Pledged	Legal person Shares
Shenyang Xintai Shengda Equipment Co., Ltd.	0	36,520	4.18	Non-circulating	No	State-owned legal person shares
Shanghai Xin Rang Property Co., Ltd.	0	12,580	1.44	Non-circulating	No	Legal person Shares
Shanghai Rongfu Indoor Decoration Co., Ltd.	0	10,980	1.26	Non-circulating	No	Legal person Shares
Shenyang Lida Construction Engineering Co., Ltd.	0	10,000	1.14	Non-circulating	No	Legal person Shares
Shanghai Kunling Industrial & Trading Co., Ltd.	-1,650	9,103	1.04	Non-circulating	No	Legal person Shares
Shanghai Buxin Industrial & Trading Co., Ltd.	0	8,000	0.92	Non-circulating	No	Legal person Shares
Industrial Bank Shenyang Trust and Investmnet Ltd.	0	7,100	0.81	Non-circulating	No	Legal person Shares

Explanation for the connected relationships or action in concert among the top ten shareholders

As far as the Company is aware, there are no connected relationships among the top ten shareholders or party acting in concert within the meaning of the regulations on "The method of Disclosure of Information on Changes in Shareholding of Listed Companies".

## EQUITY CHANGES AND SHAREHOLDERS *(Continued)*

### II. SHAREHOLDERS *(Continued)*

#### **(4) Information about the Largest shareholder**

New Northeast Electric Investment Co., Ltd. was incorporated on 8 February 2002. Its legal representative is Tian Li. Its registered capital is RMB135 million. As far as the shareholding structure is concerned, New Northeast Electric (Shenyang) Development Co., Ltd. contributed RMB67 million, representing 49.6% of the registered capital; Tian Li, the natural person, contributed RMB44 million, representing 32.6% of the registered capital; and Shenzhen Dongrun Investment Company Limited contributed RMB24 million, representing 17.8% of the registered capital.

Scope of business: investment holding, trading of motors and spare parts, electrical and mechanical equipment, and building materials, ferrous materials and science development (excluding license, sole proprietary rights and sole distribution rights and restricted items).

Apart from the Company, the controlling shareholder does not hold over 5% interests in any other listed companies.

#### **(5) Actual controller of the controlling shareholder of the Company**

As far as the shareholding structure of New Northeast Electric (Shenyang) Development Co., Ltd. is concerned RMB65.5 million was contributed by Xu Ming, the natural person, representing 62.38% of the registered capital RMB39 million was contributed by Tian Li, the natural person, representing 37.14% of the registered capital and RMB500,000 was contributed by Liaoning Suntime Electric Trade Limited, representing 0.48% of the registered capital.

#### **(6) During the reporting period, the Company did not have any other legal person shareholder who holds over 10% (including 10%) of the Company's shares.**