



嘉實國際資產管理  
Harvest Global Investments

# HARVEST CSI SMALLCAP 500 INDEX ETF

(AN UMBRELLA UNIT TRUST ESTABLISHED IN HONG KONG)  
(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)

SEMI-ANNUAL REPORT  
18TH MAY 2015 TO 30TH JUNE 2015

[www.harvestglobal.com.hk](http://www.harvestglobal.com.hk)

**HARVEST CSI SMALLCAP 500 INDEX ETF  
(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

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**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**REPORT OF THE MANAGER TO THE UNITHOLDERS**

**Fund Performance**

A summary of the performance of the Sub-Fund<sup>1</sup> is given below (as at 30 June 2015):

**Harvest CSI Smallcap 500 Index ETF**

	1H-2015 (without dividend reinvested)
CSI 500 Index <sup>2</sup>	-7.41%
Harvest CSI 500 Index ETF NAV-to-NAV <sup>3</sup> (RMB Counter)	-9.01%
Harvest CSI 500 Index ETF Market-to-Market <sup>4</sup> (RMB Counter)	-12.79%
Harvest CSI 500 Index ETF NAV-to-NAV <sup>3</sup> (HKD Counter)	-9.06%
Harvest CSI 500 Index ETF Market-to-Market <sup>4</sup> (HKD Counter)	-8.65%

Source: Harvest Global Investments Limited, Bloomberg

1 Past performance figures shown are not indicative of the future performance of the Fund.

2 Price Index in RMB. An investor cannot invest directly in an index and the index performance returns do not reflect management fees, transaction costs or other expenses, which would reduce performance returns.

3 Unit's NAV in RMB/HKD. Units in the Fund are issued and redeemed at NAV and the Fund's returns have been calculated from NAV.

4 Market closing price in RMB/HKD. Market returns are calculated using historical market closing prices of the ETF, and do not represent the returns you would receive if you trade units at other times.

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**REPORT OF THE MANAGER TO THE UNITHOLDERS (continued)**

**Market overview**

On the macroeconomic side, after experiencing a downward spiral in the first quarter and a weak stabilization in the second quarter, the Chinese economy successfully maintained the GDP growth at the rate of 7%. Some highlights in the economic structure to be noted: the downside room for the consumption has been significantly narrowed and its contribution to the economic growth was enhanced greatly; due to the drop of economic growth rate and more efforts from government, energy-intensive and non eco-friendly industries continued shrinking, the rise of value added in traditional industries and asset-heavy industries shows sluggish ; net exports accounted more for the economy growth and the proportion of trade surplus increased. With the burst of bull market, the financial industry has experienced a rapid expansion, which is not only stimulated by financial reform, but also makes an important contribution to improving the periodic economic growth. However, the booming of capital market also has some “squeeze effect” to the real economy.

For the equity market, earlier driven by the market liquidity from easing monetary policies and new fund inflows, the China A-share witnessed a sharp rally. SSE Composite Index has seen a biggest surge of 59.7% since the beginning of this year. From late June, de-leveraging process arose from the market behavior and government measures triggered a sharp slide of the A-share market which results in the breakout of systematic crisis during the deep corrections. In the first half year, sectors such as IT, light manufacturing, textile & apparel and media led the gains, but a modest rise for banks and non-bank financial sectors.

As for the outlook of the second half year, with the spur of the government’s supportive policies, the macroeconomic will likely keep a relatively low but stable growth; price level will see a slow upturn, easing monetary policy will continue and the reform programme is coming into force gradually; the profitability of real economy will be held at a relatively low level, which may be difficult to substantially improved. The market liquidity is expected to stay loose, but in the light of limitation of incremental funds, the overall stock market will continue turbulence.

Harvest Global Investments Limited  
28 August 2015

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**HARVEST CSI SMALLCAP 500 INDEX ETF**

**STATEMENT OF FINANCIAL POSITION (UNAUDITED) (continued)**

As at 30 June 2015

30 June 2015

*RMB*

**ASSETS**

**NON-CURRENT ASSETS**

Deposit reserve 56,000

**CURRENT ASSETS**

Investments 97,773,837

Interest receivable 91

Other receivables 1,171,121

Cash and cash equivalents 532,534

**Total assets** 99,533,583

**LIABILITIES**

**CURRENT LIABILITIES**

Management fee payable 60,539

Trustee and registrar fees payable 10,090

Establishment costs payable 1,058,508

Other accounts payable 69,031

**Total liabilities** 1,198,168

**EQUITY**

**Net assets attributable to unitholders** 98,335,415

**Number of units in issue** 12,500,000

**Net assets attributable to unitholders per unit** 7.87

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**HARVEST CSI SMALLCAP 500 INDEX ETF**

**STATEMENT OF COMPREHENSIVE INCOME (UNAUDITED) (continued)**

For the period from 18 May 2015 (date of inception) to 30 June 2015

	Period from 18 May 2015 (date of inception) to 30 June 2015 <i>RMB</i>
<b>INCOME</b>	
Dividend income	238,735
Interest income	3,522
Net loss on investments	(3,094,513)
Net foreign exchange gain	1
Other income	187,333
	<hr/>
<b>Total net loss</b>	(2,664,922)
	-----
<b>EXPENSES</b>	
Management fee	(80,709)
Trustee and registrar fees	(13,452)
Transaction costs on investments	(128,771)
Audit fee	(44,617)
Safe custody and bank charges	(522)
Other operating expenses	(65,560)
	<hr/>
<b>Total operating expenses</b>	(333,631)
	-----
Operating loss	(2,998,553)
<b>TAXATION</b>	
- Taxation	(26,032)
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<b>Total comprehensive loss for the period</b>	(3,024,585)
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**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**HARVEST CSI SMALLCAP 500 INDEX ETF**

**STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS (UNAUDITED)**  
**(continued)**

For the period from 18 May 2015 (date of inception) to 30 June 2015

	Period from 18 May 2015 (date of inception) to 30 June 2015 <i>RMB</i>
<b>Net assets attributable to unitholders at the beginning of the period</b>	-
Proceeds from subscription of units	101,360,000
Net increase from unit transactions	101,360,000
Total comprehensive loss for the period	(3,024,585)
<b>Net assets attributable to unitholders at the end of the period</b>	98,335,415
<b>Number of units in issue at the beginning of the period</b>	-
Units issued	12,500,000
<b>Number of units in issue at the end of the period</b>	12,500,000

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**HARVEST CSI SMALLCAP 500 INDEX ETF**

**STATEMENT OF CASH FLOWS (UNAUDITED) (continued)**  
For the period from 18 May 2015 (date of inception) to 30 June 2015

	Period from 18 May 2015 (date of inception) to 30 June 2015 <i>RMB</i>
<b>OPERATING ACTIVITIES</b>	
Payment for purchase of investments	(114,302,647)
Proceeds from sale of investments	13,434,297
Dividend received	238,735
Interest received	3,431
Other income received	187,333
Management fee paid	(20,170)
Trustee and registrar fees paid	(3,362)
Establishment costs paid	1,058,508
Transaction costs paid	(128,771)
Taxation paid	(26,032)
Other operating expenses paid	(1,212,788)
Deposit reserve paid	(56,000)
<b>Net cash used in operating activities</b>	<b>(100,827,466)</b>
<b>FINANCING ACTIVITIES</b>	
Proceeds from subscription of units	101,360,000
<b>Net cash generated from financing activities</b>	<b>101,360,000</b>
Increase in cash and cash equivalents	532,534
Cash and cash equivalents at the beginning of the period	-
Cash and cash equivalents at the end of the period	532,534
<b>Analysis of balances of cash and cash equivalents</b>	
Bank balances	532,534



**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%)</b>			
ACCELINK TECHNOLOGIES CO LTD A SHR ORD CNY1	2,500	120,200	0.12%
ADVANCED TECHNOLOGY & MATERIALS CO LTD A SHR ORD CNY1	10,000	143,800	0.15%
AN HUI WENERGY CO LTD A SHS ORD CNY1	12,300	262,728	0.27%
ANGEL YEAST CO LTD ORD CNY1 CC	5,000	173,900	0.18%
ANHUI GOLDEN SEED WINERY CO LTD A SHS ORD CNY1	7,500	105,000	0.11%
ANHUI GUJING DISTILLERY CO LTD A SHR ORD CNY1	2,500	116,700	0.12%
ANHUI HELI CO LTD ORD CNY1 CC	10,000	167,300	0.17%
ANHUI HENGYUAN COAL INDUSTRY AND ELECTRIC POWER CO LTD ORD CNY1 CC	10,000	91,500	0.09%
ANHUI JIANGHUAI AUTO CO ORD CNY1 CC	19,800	278,784	0.28%
ANHUI JIANGNAN CHEMICAL INDUSTRY CO LTD SHS A ORD CNY1	9,800	129,164	0.13%
ANHUI XINHUA MEDIA CO LTD ORD CNY1 CC	5,000	145,850	0.15%
ANHUI ZHONGDING SEALING PARTS CO LTD SHS A ORD CNY1	12,500	309,375	0.31%
ANXIN TRUST CO LTD ORD CNY1 CC	7,500	373,650	0.38%
ANYUAN COAL INDUSTRY GROUP CO LTD ORD CNY1 CC	7,500	72,525	0.07%
AVIC HEAVY MACHINERY CO LTD A SHR ORD CNY1	9,400	257,842	0.26%
BAOJI TITANIUM INDUSTRY CO LTD A SHRS ORD CNY1	5,000	130,350	0.13%
BEFAR GROUP CO LTD ORD CNY1 CC	15,000	134,550	0.14%
BEIHAI BEIHAI PORT CO LTD A ORD CNY1	1,900	40,413	0.04%
BEIJING CAPITAL DEVELOPMENT CO LTD A SHR ORD CNY1	18,800	362,652	0.37%
BEIJING CENTERGATE TECH HLDG CO LTD ORD CNY1	12,500	175,750	0.18%
BEIJING GEHUA CATV NETWORK ORD CNY1 CC	15,000	472,500	0.48%
BEIJING HAOHUA ENERGY RESOURCE CO LTD A SHR ORD CNY1	10,000	114,000	0.12%
BEIJING HOMYEAR CAPITAL HOLDINGS CO LTD ORD CNY1 CC	17,500	267,050	0.27%
BEIJING HUALUBAINA FILM & TV CO LTD A SHR ORD CNY1	7,200	271,872	0.28%
BEIJING JINGYUNTONG TECHNO ORD CNY1 CC	5,000	104,900	0.11%
BEIJING LIER HIGH TEMPERATURE MTERIALS CO LTD ORD CNY1	10,000	86,400	0.09%
BEIJING ORIENTAL YUHONG WATERPROOF TECHNOLOGY CO LTD A SHS ORD NPV	10,000	257,000	0.26%
BEIJING SANYUAN FOODS CO-A ORD CNY1	10,000	112,900	0.11%
BEIJING SEVENSTAR ELECTRONIC CO LTD A SHRS ORD CNY1	4,800	182,400	0.19%
BEIJING SHOUHANG RESOURCES A SHRS ORD CNY1	7,500	207,750	0.21%
BEIJING SHUNXIN AGRICULTURE CO LTD A SHR ORD CNY1	5,000	114,550	0.12%
BEIJING SIFANG AUTOMATION ORD CNY1 CC	2,500	76,250	0.08%
BEIJING SJ ENVIRONMENTAL PROTECTION AND NEW MATERIAL CO LTD A SHS ORD CNY1	8,100	272,970	0.28%
BEIJING SPC ENVIRONMENTAL PROTECTION TECH CO LTD A SHS ORD CNY1	10,200	210,834	0.21%
BEIJING TEAMSUN TECHNOLOGY ORD CNY1 CC	13,800	660,192	0.67%
BEIJING TIAN TAN BIOLOGICAL ORD CNY1 CC	5,000	188,300	0.19%

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**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
BEIJING WANGFUJING DEPT ST ORD CNY1 CC	5,000	157,500	0.16%
BESTTONE HOLDING CO LTD A ORD CNY1 CC	5,000	144,400	0.15%
BETTER LIFE COMMERCIAL CHAIN SHARE CO LTD A SHS ORD CNY1	5,060	123,464	0.13%
BLACK PEONY CO LTD ORD CNY1 CC	7,500	116,400	0.12%
BOHAI LEASING CO LTD A SHS ORD CNY1	25,000	236,500	0.24%
BRIGHT OCEANS INTER-TELECOM CORP A SHS ORD CNY1	9,500	189,335	0.19%
CAMEL GROUP COMPUTER LIMITED ORD CNY1 CC	10,000	282,000	0.29%
CCS SUPPLY CHAIN MANAGEMENT CO LTD A ORD CNY1 CC	5,000	143,300	0.15%
CEFC ANHUI INTERNATIONAL HOLDINGS CO LTD A SHRS ORD CNY1	10,000	440,800	0.45%
CHACHA FOOD CO LTD A SHRS ORD CNY1	3,850	63,063	0.06%
CHANGCHUN GAS CO LTD ORD CNY1 CC	4,600	55,062	0.06%
CHANGCHUN HIGH & NEW TECHNOLOGY INDUSTRIES (GROUP) INC A SHS ORD CNY1	2,500	325,000	0.33%
CHANGJIANG & JINGGONG BUIL ORD CNY1 CC	21,840	159,650	0.16%
CHANGJIANG PUBLISHING & MEDIA A SHRS ORD CNY1	12,500	173,750	0.18%
CHANGYUAN GROUP LTD ORD CNY1 CC	16,100	331,177	0.34%
CHANGZHENG ENGINEERING CO -A SHRS ORD CNY1	1,700	67,014	0.07%
CHENGDU B-RAY MEDIA CO LTD A SHR ORD CNY1	15,500	240,715	0.24%
CHENGDU HUAZE COBALT & NICKEL MATERIALS CO LTD A SHRS ORD CNY1	2,500	51,425	0.05%
CHENGDU XINZHU ROAD&BRIDGE MACHINERY CO LTD SHS A ORD CNY1	7,500	121,950	0.12%
CHINA ANIMAL HUSBANDRY ORD CNY1 CC	2,500	70,250	0.07%
CHINA AUTOMOTIVE ENGINEERI ORD CNY1 CC	7,300	94,973	0.10%
CHINA AVIATION OPTICAL-ELECTRICAL TECHNOLOGY CO LTD A SHRS ORD CNY1	6,500	214,500	0.22%
CHINA CYTS TOURS HLDG CO ORD CNY1 CC	10,000	211,200	0.21%
CHINA ENTERPRISES CO LTD SHS A ORD CNY1	27,500	311,025	0.32%
CHINA FIBERGLASS CO LTD ORD CNY1 CC	7,500	202,950	0.21%
CHINA GREATWALL COMPUTER SHENZEN CO LTD A SHR ORD CNY1	15,000	323,100	0.33%
CHINA MEHECO CO LTD ORD CNY1 CC	7,500	182,550	0.19%
CHINA NATIONAL MEDICINES ORD CNY1 CC	5,000	251,950	0.26%
CHINA NATIONAL SOFTWARE & SERVICE CC ORD CNY1	5,000	177,400	0.18%
CHINA NONFERROUS METAL INDUSTRY'S FOREIGN ENGINEERING AND CONSTRUCTION CO LTD A SHR ORD CNY1	12,500	245,375	0.25%
CHINA RAILWAY ERJU CO LTD ORD CNY1 CC	15,000	251,550	0.26%
CHINA RAILWAY TIELONG CONT ORD CNY1 CC	20,000	308,600	0.31%
CHINA RESOURCES DOUBLE CRA ORD CNY1 CC	7,500	195,300	0.20%
CHINA SCHOLARS GROUP CO LTD A SHRS ORD CNY1	13,500	360,180	0.37%

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**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
CHINA SECURITY & FIRE CO LTD ORD CNY1 CC	14,800	457,468	0.47%
CHINA SHIPPING DEVELOPMENT CO LTD A SHRS CNY1	30,025	385,521	0.39%
CHINA SPORTS IND GROUP CO ORD CNY1 CC	15,000	429,750	0.44%
CHINA TELEVISION MEDIA LTD ORD CNY1 CC	2,500	80,675	0.08%
CHINA WAFER LEVEL CSP CO LTD A SHRS ORD CNY1 CC	2,500	122,275	0.12%
CHINA-KINWA HIGH TECHNOLOGY CO LTD A SHS ORD CNY1 CC	25,000	343,250	0.35%
CHONGQING BREWERY CO ORD CNY1 CC	5,000	112,600	0.11%
CHONGQING DEPARTMENT STORE ORD CNY1 CC	2,500	80,450	0.08%
CHONGQING GAS GROUP CORP L ORD CNY1	3,500	44,240	0.04%
CHONGQING IRON & STEEL CO LTD A SHS ORD CNY1	23,700	134,853	0.14%
CHONGQING JIULONG ELECTRIC POWER CO LTD A SHS ORD CNY1	400	12,216	0.01%
CHONGQING ZONGSHEN POWER MACHINERY CO LTD A SHR ORD CNY1	15,000	258,750	0.26%
CHUYING AGRO-PASTORAL CO LTD SHS A ORD CNY1	9,200	176,640	0.18%
CINDA REAL ESTATE CO LTD ORD CNY1 CC	11,500	94,760	0.10%
CITIC HEAVY INDUSTRIES CO LTD A SHR ORD CNY1	18,700	304,810	0.31%
CITIC OFFSHORE HELICOPTER ORD CNY1	10,000	177,800	0.18%
CITYCHAMP DARTONG CO LTD ORD CNY1 CC	22,500	223,200	0.23%
CMST DEVELOPMENT CO LTD ORD CNY1 CC	20,000	322,000	0.33%
CNHTC JINAN TRUCK CO LTD A SHS ORD CNY1	2,500	72,050	0.07%
COFCO BIOCHEMICAL CO LTD A SHR ORD CNY1	17,500	329,875	0.34%
COFCO PROPERTY (GROUP) CO LTD A SHR ORD CNY1	20,000	377,800	0.38%
COFCO TUNHE CO LTD A SHS ORD CNY1	22,500	468,225	0.48%
COSCO SHIPPING CO LTD ORD CNY1 CC	17,500	283,325	0.29%
CPI YUANDA ENVIRONMENTAL ORD CNY1 CC	4,600	140,484	0.14%
CSG HOLDING CO LTD A SHR ORD CNY1	27,500	366,850	0.37%
CSSC OFFSHORE AND MARINE ENGINEERING (GROUP) CO LTD ORD CNY1	5,000	268,700	0.27%
DA AN GENE CO LTD A ORD CNY1	9,000	398,700	0.41%
DALIAN HUARUI HEAVY INDUSTRY GROUP CO LTD A SHRS ORD CNY1	7,500	100,200	0.10%
DALIAN PORT PDA CO LTD A SHS ORD CNY1	22,500	162,000	0.16%
DASHANG GROUP CO LTD ORD CNY1 CC	7,300	403,544	0.41%
DATANG TELECOM TECHNOLOGY CO LTD A SHR ORD CNY1	7,500	265,800	0.27%
DATONG COAL INDUSTRY CO LTD A SHS ORD CNY1	17,500	161,700	0.16%
DAWNING INFORMATION INDUSTRY CO LTD ORD CNY1	1,800	155,862	0.16%

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**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
DAZHONG TRANSPORTATION GRP ORD CNY1 CC	15,000	249,750	0.25%
DMG ENTERTAINMENT & MEDIA CO LTD ORD CNY1	4,500	144,045	0.15%
DONGFENG AUTOMOBILE CO LTD A SHS ORD CNY1	17,500	221,900	0.23%
DONGGUAN SOUYUTE FASHION CO LTD A SHR ORD CNY1	7,500	141,600	0.14%
DONGJIANG ENVIRONMENTAL CO LTD A SHR ORD NPV	6,250	132,187	0.13%
EAST CHINA ENGINEERING SCI & TECHNOLOGY CO LTD CL A ORD CNY1	5,000	111,800	0.11%
EASTERN COMMUNICATIONS CO LTD ORD CNY1 CC	7,500	123,675	0.13%
EASTERN GOLD JADE CO LTD A SHRS ORD CNY1	4,500	241,965	0.25%
EGING PHOTOVOLTAIC TECHNOLOGY CO LTD A SHR ORD CNY1 CC	5,000	89,500	0.09%
ELEC-TECH INTERNATIONAL CO LTD A SHR ORD CNY1	20,000	229,600	0.23%
ENN ENERGY CHEMICALS CO LTD A SHRS ORD CNY1	5,000	85,550	0.09%
ETERNAL ASIA SUPPLY CHAIN MANAGEMENT LTD A SHR ORD CNY1	11,500	841,225	0.86%
FANGDA SPECIAL STEEL TECH ORD CNY1 CC	12,500	113,000	0.11%
FAWER AUTOMOTIVE PARTS LTD A ORD CNY1	7,500	86,775	0.09%
FEILO ACOUSTICS CO LTD ORD CNY1 CC	15,000	308,550	0.31%
FENGFAN STOCK LTD CO ORD CNY1 CC	6,900	292,422	0.30%
FIBERHOME TELECOMMUNICATION TECHNOLOGIES CO LTD A SHR ORD CNY1	10,600	280,476	0.29%
FOCUS TECHNOLOGY CO LTD ORD CNY1	1,200	115,200	0.12%
FOSHAN ELECTRICAL & LIGHT CO LTD SHS A ORD CNY1	15,990	183,405	0.19%
FOSHAN PLASTICS GROUP CO LTD A SHS ORD CNY1	17,500	210,000	0.21%
FOUNDER TECHNOLOGY GROUP CORP A SHR ORD CNY1	47,500	432,250	0.44%
FUJIAN NEWLAND COMPUTER CO LTD A SHS ORD CNY1	13,500	359,100	0.37%
FUJIAN SEPTWOLVES INDUSTRY INC A SHR ORD CNY1	10,000	210,000	0.21%
FUJIAN STAR NET COMMUNICATION CO LTD ORD A SHRS CNY1	7,300	234,330	0.24%
FUJIAN SUNNER DEVELOPMENT CO LTD A SHS ORD CNY1	10,000	203,000	0.21%
FUJIAN ZHONGFU INDUSTRIES COMPANY LIMITED A SHRS ORD CNY1	12,100	360,096	0.37%
GANSU JINGYUAN COAL INDUSTRY AND ELECTRICITY POWER CO LTD A SHRS ORD CNY1	5,000	70,500	0.07%
GANSU QILIANSHAN CEMENT GR ORD CNY1 CC	12,500	132,375	0.13%
GEM CO LTD A SHS ORD CNY1	15,000	228,150	0.23%
GEM-YEAR INDUSTRIAL CO LTD ORD CNY1 CC	10,000	158,200	0.16%
GEOJADE PETROLEUM CORP ORD CNY1 CC	19,800	302,544	0.31%
GOLDLEAF JEWELRY CO LTD A SHS ORD CNY1	7,500	173,475	0.18%
GREATWALL INFORMATION INDUSTRY CO LTD A SHRS ORD CNY1	15,000	523,200	0.53%
GUANGDONG ADVERTISING GROUP CO LTD A SHRS ORD CNY1	14,700	371,322	0.38%
GUANGDONG BAOLIHUA NEW ENERGY STOCK CO LTD A SHS ORD CNY1	24,500	309,925	0.32%
GUANGDONG CHJ INDUSTRY CO LTD A SHRS ORD CNY1	9,200	180,136	0.18%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings	Fair value RMB	% of net assets
<b>CHINA (100%) (continued)</b>			
GUANGDONG ELLINGTON ELECTRONICS TECHNOLOGY CO LTD A SHRS ORD CNY1	2,500	76,650	0.08%
GUANGDONG FENGHUA ADVANCED TECHNOLOGY (HOLDING) CO LTD A CNY1	12,500	156,000	0.16%
GUANGDONG GOWORLD CO LTD A SHRS ORD CNY1	7,500	100,950	0.10%
GUANGDONG GUANGZHOU DAILY MEDIA CO LTD A SHS ORD CNY1	11,680	171,696	0.17%
GUANGDONG GUANHAO HIGHTEC ORD CNY1 CC	17,300	266,247	0.27%
GUANGDONG HAID GROUP CO LTD A SHR ORD CNY1	14,000	193,060	0.20%
GUANGDONG HEC TECHNOLOGY H ORD CNY1 CC	22,300	220,547	0.22%
GUANGDONG HIGHSUN GROUP CO LTD A SHS ORD CNY1	7,500	161,175	0.16%
GUANGDONG TAPAI GROUP CO LTD A SHR ORD CNY1	7,500	129,450	0.13%
GUANGDONG WEIHUA CORP A SHR ORD CNY1	7,500	101,700	0.10%
GUANGDONG XINBAO ELECTRICAL AP - A ORD NPV	2,500	47,000	0.05%
GUANGDONG YIHUA TIMBER IND ORD CNY1 CC	25,000	561,000	0.57%
GUANGDONG ZHONGSHENG PHARMACEUTICAL A ORD CNY1	5,000	140,950	0.14%
GUANGXI LIUGONG MACHINERY CO LTD A SHR ORD CNY1	17,500	206,850	0.21%
GUANGZHOU BAIYUN INTERNATI ORD CNY1 CC	10,000	169,000	0.17%
GUANGZHOU GUANGRI STOCK CO LTD A SHS ORD CNY1	7,500	149,700	0.15%
GUANGZHOU PEARL RIVER PIANO GROUP CO LTD ORD CNY1	5,000	101,400	0.10%
GUANGZHOU ZHUJIANG BREWERY CO A SHRS ORD CNY1	5,000	132,000	0.13%
GUILIN SANJIN PHARMACEUTICAL CO LTD A SHR ORD CNY1	5,000	127,800	0.13%
GUIRENNIAO CO LTD A SHS ORD CNY1 CC	2,500	104,450	0.11%
GUIZHOU BAILING GROUP PHAR ORD CNY1	3,800	237,728	0.24%
GUIZHOU CHANGZHENG TIACHEN ORD CNY1 CC	9,800	186,200	0.19%
GUIZHOU SPACE APPLIANCE CO A SHRS ORD CNY1	6,500	170,300	0.17%
GUIZHOU XINBANG PHARMACEUTICAL CO LTD A SHR ORD CNY1	12,300	219,924	0.22%
GUIZHOU YIBAI PHARMACEUTICAL CO LTD A SHS ORD CNY1	6,400	372,032	0.38%
GUOMAI TECHNOLOGIES INC SHA A ORD CNY1	8,700	139,200	0.14%
HAIKOU AGRIC & IND & TRADE (LUONIUSHAN) CO LTD A SHRS ORD CNY1	19,800	185,922	0.19%
HAIMA INV GR CO LTD ORD CNY1 A SHR	22,300	187,097	0.19%
HAINAN HAIYAO CO LTD A SHS ORD CNY1	10,000	499,700	0.51%
HANG ZHOU GREAT STAR INDUSTRIAL CO LTD A SHARE ORD CNY1	9,800	181,104	0.18%
HANGZHOU BINJIANG REAL ESTATE GROUP CO LTD A ORD CNY1	11,500	211,715	0.22%
HANGZHOU HANGYANG CO LTD A ORD CNY1	5,000	66,100	0.07%
HANGZHOU ROBAM APPLIANCES COMPANY LIMITED A SHS ORD CNY1	5,000	191,800	0.19%
HANGZHOU SILAN MICROELECTRONICS CO LTD A SHR ORD CNY1	15,030	138,727	0.14%
HARBIN BOSHI AUTOMATION CO LTD A ORD NPV	2,400	126,720	0.13%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
HARBIN GLORIA PHARMACEUTICALS CO LTD A SHRS ORD CNY1	7,300	212,065	0.22%
HARBIN HATOU INVESTMENT CO LTD ORD CNY1 CC	7,500	121,500	0.12%
HARBIN PHARMACEUTICAL GROUP CO LTD A SHS ORD CNY1	23,300	280,532	0.29%
HEBEI CHENGDE LOLO CO LTD A SHS ORD CNY1	11,150	202,930	0.21%
HEFEI DEPARTMENT STORE GROUP CO LTD A SHS ORD CNY1	12,500	153,125	0.16%
HEFEI RONGSHIDA SANYO ELE ORD CNY1 CC	5,000	79,450	0.08%
HEILONGJIANG INTERCHINA WATHER CO LTD A SHS ORD CNY1	32,300	251,940	0.26%
HENAN DAYOU ENERGY CO LTD A SHR ORD CNY1	6,800	60,792	0.06%
HENAN PINGGAO ELECTRIC CO ORD CNY1 CC	15,000	338,700	0.34%
HENAN SENYUAN ELECTRIC CO LTD A SHS ORD CNY1	6,400	137,472	0.14%
HENAN SHENHUO COAL & POWER CO LTD A SHR ORD CNY1	25,000	235,500	0.24%
HENGDIAN GROUP DMEGC MAGNETICS CO LTD A SHR ORD CNY1	5,000	156,400	0.16%
HENGKANG MEDICAL GROUP CO A ORD CNY1	7,500	333,450	0.34%
HENGTONG OPTIC-ELECTRIC CO LTD A SHRS ORD CNY1	5,800	213,440	0.22%
HENGYI PETROCHEMICAL CO LTD A SHR ORD CNY1	7,500	95,700	0.10%
HISENSE KELON ELECTRICAL HOLDINGS CO LTD CL A ORD CNY1	7,500	91,500	0.09%
HITHINK FLUSH INFORMATION NETWORK CO LTD A SHS ORD CNY1	4,400	378,356	0.38%
HONGFA TECHNOLOGY CO LTD A SHS ORD CNY1	5,000	156,700	0.16%
HUADIAN HEAVY INDUSTRY CO LTD ORD NPV	4,700	74,777	0.08%
HUAFA INDUSTRIAL CO LTD ZH ORD CNY1 CC	15,000	271,500	0.28%
HUAGONG TECH CO LTD A SHS ORD CNY1	12,500	222,625	0.23%
HUAPONT-NUTRICHEM CO LTD A SHR ORD CNY1	18,850	244,673	0.25%
HUAXIN CEMENT CO LTD ORD CNY1 CC	5,000	52,850	0.05%
HUAYUAN PROPERTY CO LTD ORD CNY1 CC	12,500	100,375	0.10%
HUBEI AVIATION PRECISION MACHINERY TECHNOLOGY CO LTD A SHR ORD CNY1	6,500	181,025	0.18%
HUBEI FUXING SCIENCE AND TECHNOLOGY CO LTD A SHR ORD CNY1	12,500	176,375	0.18%
HUBEI HONGCHENG GENERAL A ORD CNY1	4,800	141,504	0.14%
HUBEI XINGFA CHEMICALS GRP ORD CNY1 CC	7,500	129,225	0.13%
HUBEI YIHUA CHEMICAL INDUSTRY CO LTD A SHR ORD CNY1	20,000	184,600	0.19%
HUMANWELL HEALTHCARE GROUP CO LTD A SHS ORD CNY1	9,200	340,860	0.35%
HUNAN CORUN NEW ENERGY CO LTD A SHRS ORD CNY1	15,000	255,300	0.26%
HUNAN ER-KANG PHARMACEUTI -A SHRS ORD CNY1	7,400	252,266	0.26%
HUNAN GOLD CORPOARTION LIMITED A SHRS ORD CNY1	15,000	186,450	0.19%
HUNAN JIANGNAN RED ARROW CO LTD A SHRS ORD CNY1	5,000	130,300	0.13%
HUOLINHE OPENCUT COAL INDUSTRY CORP LTD A SHS ORD CNY1	7,500	123,600	0.13%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
HYBIO PHARMACEUTICAL CO LTD A SHS ORD CNY1	9,100	294,203	0.30%
INNER MONGOLIA JINYU GROUP CO LTD A SHRS ORD CNY1	5,800	412,496	0.42%
INNER MONGOLIA PINGZHUANG ENERGY CO LTD A SHR ORD CNY1	9,800	91,336	0.09%
INNER MONGOLIA XINGYE MINING CO LTD A SHS ORD CNY1	7,500	123,825	0.13%
INSIGMA TECHNOLOGY CO LTD A SHRS ORD CNY1	16,600	313,574	0.32%
JANGHO GROUP CO LTD A SHRS ORD CNY1	10,000	148,800	0.15%
JIANGLING MOTORS CORP LTD A SHR ORD CNY1	5,000	183,500	0.19%
JIANGSU CHANGJIANG ELECTRONICS TECHNOLOGY CO LTD A SHR ORD CNY1	15,900	303,849	0.31%
JIANGSU CHENGXING PHOSPH ORD CNY1 CC	7,500	114,225	0.12%
JIANGSU GUOTAI INTERNATIONAL GROUP GUOMAO CO LTD A SHR ORD CNY1	4,600	120,612	0.12%
JIANGSU HONGTU HIGH TECHNO ORD CNY1 CC	20,000	328,400	0.33%
JIANGSU KANION PHARMACEUTICAL CO LTD A SHR ORD CNY1	7,582	211,007	0.21%
JIANGSU KINGS LUCK BREWERY JSC CO LTD A SHRS ORD CNY1 CC	2,500	92,725	0.09%
JIANGSU NONGHUA INTELLIGENT AGRICULTURAL TECH CO LTD A SHRS ORD CNY1	17,500	180,950	0.18%
JIANGSU SUNRAIN SOLAR ENER ORD CNY1 CC	5,200	76,440	0.08%
JIANGSU SUNSHINE CO LTD A SHR ORD CNY1	30,000	248,700	0.25%
JIANGSU WUZHONG INDUSTRIAL CO LTD A SHR ORD CNY1	12,500	459,375	0.47%
JIANGSU YABANG DYESTUFF CO ORD CNY1	3,500	100,310	0.10%
JIANGSU YUEDA INVESTMENT C ORD CNY1 CC	15,000	234,450	0.24%
JIANGSU YUYUE MEDICAL EQUIPMENT CO LTD ORD CNY1	5,496	369,331	0.38%
JIANGSU ZHONGNAN CONSTRUCTION GROUP CO LTD SHS A ORD CNY1	7,500	149,775	0.15%
JIANGSU ZHONGTIAN TECHNOLOGIES CO LTD A SHR ORD CNY1	12,600	286,398	0.29%
JIANGSU ZONGYI CO LTD ORD CNY1 CC	17,500	341,950	0.35%
JIANGZHONG PHARMACEUTICAL CO LTD A SHR ORD CNY1	5,068	163,139	0.17%
JIANXIN MINING CO LTD A SHRS ORD CNY1	7,500	128,025	0.13%
JIAOZUO WANFANG ALUMIMUM MANUFACTURING CO LTD A SHR ORD CNY1	14,600	159,432	0.16%
JIHUA GROUP CORP LTD ORD CNY1 CC	32,500	491,400	0.50%
JILIN YATAI GROUP CO LTD ORD CNY1 CC	39,800	505,858	0.51%
JILIN ZIXIN PHARMACEUTICAL INDUSTRIAL CO LTD A SHRS ORD CNY1	5,000	86,500	0.09%
JINKE PROPERTIES GROUP CO LTD A SHR ORD CNY1	35,000	241,850	0.25%
JINLING PHARMACEUTICAL CO LTD A SHR ORD CNY1	7,500	146,100	0.15%
JOINCARE PHARMACEUTICAL GR ORD CNY1 CC	12,500	189,500	0.19%
JOINTO ENERGY INVESTMENT C A SHRS ORD CNY1	10,900	160,012	0.16%
JOYOUNG CO LTD A SHR ORD CNY1	7,500	145,875	0.15%
JULI SLING CO LTD A SHR ORD CNY1	12,500	118,375	0.12%
JULONG CO LTD ORD CNY1	5,000	202,000	0.21%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
KAILE TECHNOLOGY CO LTD ORD CNY1 CC	10,000	164,500	0.17%
KAILUAN ENERGY CHEMICAL CO LTD A SHS ORD CNY1	12,500	107,750	0.11%
KEDA CLEAN ENERGY CO LTD ORD CNY1 CC	12,500	370,500	0.38%
KINGDREAM PUBLIC LIMITED-A SHRS ORD CNY1	2,500	84,625	0.09%
KINGFA SCI. & TECH. CO LTD A SHR ORD CNY1	31,200	371,904	0.38%
KPC PHARMACEUTICALS INC A SHRS ORD CNY1	5,000	184,650	0.19%
LANZHOU LS HEAVY EQUIPMENT CO LTD A SHRS ORD CNY1	3,800	70,262	0.07%
LIANHE CHEMICAL TECHNOLOGY CO LTD A SHRS ORD CNY1	12,500	280,000	0.28%
LIFAN INDUSTRY (GROUP) CO LTD A ORD CNY1	7,600	120,004	0.12%
LIVZON PHARMACEUTICAL GROUP INC A SHR ORD CNY1	2,500	169,575	0.17%
LONCIN MOTOR CO LTD ORD CNY1 CC	7,500	188,250	0.19%
LUOLAI HOME TEXTILE CO LTD A SHR ORD CNY1	6,150	111,253	0.11%
LUTHAI TEXTILE CO LTD CL A ORD CNY1	10,000	139,000	0.14%
LUXI CHEMICAL GROUP CO LTD A SHS ORD CNY1	22,500	224,100	0.23%
MAANSHAN IRON AND STEEL CO LTD A SHRS ORD CNY1	48,400	257,488	0.26%
MACROLINK REAL ESTATE CO LTD A SHRS ORD CNY1	12,500	170,250	0.17%
MARKOR INTL HOME FURNITURE CO LTD A SHRS ORD CNY1	7,500	112,050	0.11%
MAYINGLONG PHARMACEUTICAL GROUP CO LTD A SHR ORD CNY1	5,000	160,450	0.16%
MEIDU HOLDINGS CO LTD ORD CNY1 CC	32,500	345,800	0.35%
MESNAC CO LTD A SHR ORD CNY1	12,500	288,750	0.29%
MILORD REAL ESTATE DEVELOPMENT GROUP CO LTD A SHS ORD CNY1	37,500	252,000	0.26%
MINMETALS DEVELOPMENT CO LTD A SHR ORD CNY1	8,700	284,229	0.29%
NANJING CENTRAL EMPORIUM ORD CNY1 CC	5,000	69,350	0.07%
NANJING GAOKE CO LTD ORD CNY1 CC	12,500	243,750	0.25%
NANJING REDSUN CO LTD A SHR ORD CNY1	5,000	111,850	0.11%
NANTONG JIANGSHAN AGROCHEM ORD CNY1 CC	2,500	78,100	0.08%
NAVINFO CO LTD ORD CNY1	11,200	490,560	0.50%
NEWAY VALVE SUZHOU CO LTD - A SHRS ORD CNY1	4,600	114,954	0.12%
NINGBO HUAXIANG ELECTRIC CO LTD A SHR ORD CNY1	10,000	207,900	0.21%
NINGBO JOYSON ELECTRONIC CORP A ORD CNY1	5,000	191,550	0.19%
NINGBO SHANSHAN CO LTD ORD CNY1 CC	5,000	143,000	0.15%
NINGBO YUNSHENG (GROUP) CO ORD CNY1 CC	6,900	242,121	0.25%
NINGXIA ORIENT TANTALUM IND CO LTD A SHS ORD CNY1	5,000	74,000	0.08%
NORTH CHINA PHARMACEUTICAL CO LTD A SHR ORD CNY1	17,500	212,450	0.22%
NORTH ELECTRO-OPTIC CO LTD A SHR ORD CNY1	2,500	70,400	0.07%



**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
NORTH NAVIGATION CONTROL T ORD CNY1 CC	7,500	340,275	0.35%
NORTHERN UNITED PUBLISHING & M A SHRS ORD CNY1	5,000	71,100	0.07%
ORG PACKAGING CO LTD A SHS ORD CNY1	11,900	309,757	0.31%
ORIENT GROUP INC ORD CNY1 CC	27,500	339,075	0.34%
ORIENTAL ENERGY CO LTD A ORD CNY1	5,800	173,014	0.18%
PALM LANDSCAPE ARCHITECTURE CO LTD ORD CNY1	5,000	145,100	0.15%
PINGDINGSHAN TIANAN COAL ORD CNY1 CC	25,000	189,250	0.19%
PKU HEALTHCARE CORP LTD A SHRS ORD CNY1	6,900	142,968	0.15%
PUBANG LANDSCAPE ARCHITECTURE CO LTD A SHR ORD NPV	12,476	121,017	0.12%
PUYANG REFRACTORIES GROUP CO LTD A SHRS ORD CNY1	7,500	70,950	0.07%
QINGHAI HUZHU BARLEY WINE A ORD CNY1	5,000	145,900	0.15%
RAINBOW DEPARTMENT STORE A SHR ORD CNY1	2,500	42,500	0.04%
RENHE PHARMACY CO LTD A SHR ORD CNY1	15,575	267,423	0.27%
RISING NONFERROUS METAL SHARE CO A SHS ORD CNY1	2,700	158,382	0.16%
RIZHAO PORT CO LTD A SHS ORD CNY1	32,500	263,575	0.27%
RONGXIN POWER ELECTRONIC CO LTD A SHR ORD CNY1	7,500	166,875	0.17%
SANQUAN FOOD CO LTD A SHS ORD CNY1	7,500	110,250	0.11%
SHAAN XI PROVINCIAL NATURAL GAS CO LTD A SHR ORD NPV	5,000	77,450	0.08%
SHAANXI BROADCAST & TV NET ORD CNY1 CC	6,900	117,093	0.12%
SHAANXI INTERNATIONAL TRUST CO LTD CL A ORD CNY1	10,000	140,700	0.14%
SHANDONG CHENMING PAPER HLDGS LTD A SHR ORD CNY1	20,000	179,400	0.18%
SHANDONG DENGHAI SEEDS CO LTD A SHRS ORD CNY1	6,250	110,563	0.11%
SHANDONG HIMILE MECH SCI&TECH CO LTD A SHRS ORD CNY1	7,500	161,850	0.16%
SHANDONG HUALU HENGSHENG ORD CNY1 CC	15,000	278,400	0.28%
SHANDONG HUMON SMELTING CO LTD A SHS ORD CNY1	12,500	149,625	0.15%
SHANDONG JINJING SCIENCE ORD CNY1 CC	22,300	153,424	0.16%
SHANDONG MOLONG PETROLEUM MACHINERY CO LTD A SHS ORD CNY1	5,000	60,050	0.06%
SHANDONG NANSHAN ALUMINUM ORD CNY1 CC	40,300	406,627	0.41%
SHANDONG SUN PAPER INDUSTRY CO LTD A SHR ORD CNY1	17,300	138,400	0.14%
SHANGHAI 2345 NETWORK HOLDINGS GROUP CO LTD ORD CNY1	5,300	220,268	0.22%
SHANGHAI 3F NEW MATERIALS ORD CNY1 CC	5,000	101,400	0.10%
SHANGHAI AEROSPACE AUTOMOBILE ELECTROMECHANICAL CO LTD SHA A ORD CNY1	17,700	283,731	0.29%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
SHANGHAI AJ CORPORATION ORD CNY1 CC	22,750	380,608	0.39%
SHANGHAI BELLING CO LTD ORD CC CNY1	12,500	305,625	0.31%
SHANGHAI CHLOR-ALKALI CHEM CO LTD ORD CNY1 CC	5,000	67,900	0.07%
SHANGHAI DAZHONG PUBLIC UT ORD CNY1 CC	41,350	404,403	0.41%
SHANGHAI DINGLI TECH DEV (GRP) CO ORD CNY1	4,000	119,520	0.12%
SHANGHAI EAST-CHINA COMPUTER CO LTD A SHRS ORD CNY1	2,500	138,475	0.14%
SHANGHAI ELECTRIC POWER CO LTD A SHRS ORD CNY1	14,800	312,280	0.32%
SHANGHAI HAIXIN GROUP CO LTD A SHS ORD CNY1	12,500	158,250	0.16%
SHANGHAI INDUSTRIAL DEVEL ORD CNY1 CC	10,000	156,500	0.16%
SHANGHAI JINJIANG INTERNAT ORD CNY1 CC	5,000	149,000	0.15%
SHANGHAI JINQIAO EXPORT P ORD CNY1 CC	5,000	121,450	0.12%
SHANGHAI KAIBAO PHARMACEUTICAL CO LTD A SHS ORD CNY1	10,000	155,500	0.16%
SHANGHAI KEHUA BIO-ENGINEERING CO LTD A SHR ORD CNY1	7,500	319,950	0.33%
SHANGHAI KINGSTAR WINNING SOFTWARE COMPANY LIMITED SHS A ORD CNY1	7,500	390,000	0.40%
SHANGHAI LANSHENG CORP ORD CNY1 CC	5,000	193,150	0.20%
SHANGHAI M&G STATIONERY INC A SHRS ORD NPV	1,300	52,078	0.05%
SHANGHAI MALING AQUARIUS ORD CNY1 CC	10,000	129,400	0.13%
SHANGHAI MECHANICAL AND EL ORD CNY1 CC	7,500	247,050	0.25%
SHANGHAI METERSBONWE FASHION & ACCESSORIES CO LTD A SHS ORD NPV	20,700	245,502	0.25%
SHANGHAI NEW WORLD CO LTD A SHRS ORD CNY1	8,500	142,375	0.14%
SHANGHAI PUDONG ROAD&BRIDG ORD CNY1 CC	12,500	203,875	0.21%
SHANGHAI SHIMAO CO LTD A SHRS ORD CNY1	11,250	146,588	0.15%
SHANGHAI XINHUA MEDIA CO LTD ORD CNY1 CC	12,300	204,057	0.21%
SHANGHAI YUYUAN TOURIST MART CO LTD A SHS ORD CNY1	20,500	329,025	0.33%
SHANGHAI ZHANGJIANG HIGH ORD CNY1 CC	17,500	509,425	0.52%
SHANGHAI ZHENHUA HEAVY INDUSTRY CO LTD A SHR ORD CNY1	35,000	367,500	0.37%
SHANGHAI ZHIXIN ELECTRIC C ORD CNY1 CC	15,000	234,750	0.24%
SHANTOU DONGFENG PRINTING CO LTD ORD CNY1 CC	5,000	101,000	0.10%
SHANTUI CONSTRUCTION MACHINE CO LTD A SHR CNY1	22,300	197,801	0.20%
SHANXI COAL INTERNATIONAL ENERGY CO LTD A SHR ORD CNY1	22,300	189,773	0.19%
SHANXI GUOXIN ENERGY CORP A SHRS ORD CNY1 SHANGHAI	5,500	100,485	0.10%
SHENGDA MINING CO LTD A SHRS ORD CNY1	2,500	62,350	0.06%
SHENGHE RESOURCES A SHRS ORD CNY1	5,000	127,550	0.13%
SHENGYI TECHNOLOGY CO LTD ORD CNY1 CC	17,500	178,325	0.18%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
SHENYANG INGENIOUS DEVELOPMENT CO A SHR ORD CNY1	25,000	306,250	0.31%
SHENZHEN ACCORD PHARMACEUTICAL CO LTD A ORD CNY1	2,500	186,275	0.19%
SHENZHEN DESAY BATTERY TECH CO LTD A SHS ORD CNY1	2,500	119,250	0.12%
SHENZHEN EVENWIN PRECISION TECH CO LTD CL A ORD CNY1	5,000	166,550	0.17%
SHENZHEN FENDA TECHNOLOGY CO LTD ORD NPV	3,780	103,799	0.11%
SHENZHEN FUANNA BEDDING AND FURNISHING CO LTD ORD CNY1	7,500	92,550	0.09%
SHENZHEN GAS CORPORATION LTD A SHS ORD CNY1	13,300	139,650	0.14%
SHENZHEN GLORY MEDICAL CO LTD A SHR ORD CNY1	3,600	156,204	0.16%
SHENZHEN GRANDLAND DECORATION GROUP CO LTD A SHRS ORD CNY1	5,000	136,500	0.14%
SHENZHEN HIFUTURE ELECTRIC CO LTD A SHS ORD CNY1	12,500	194,000	0.20%
SHENZHEN HONGTAO DECORATION CO LTD A SHS ORD CNY1	7,500	233,625	0.24%
SHENZHEN HUAQIANG INDUSTRY CO LTD A SHS ORD CNY1	4,000	228,280	0.23%
SHENZHEN INVENGO TECHNOLOGY JSC LTD A SHRS ORD CNY1	12,500	272,000	0.28%
SHENZHEN JINJIA COLOR PRINT A SHRS ORD CNY1	20,000	384,400	0.39%
SHENZHEN KAIFA TECHNOLOGY CO LTD A SHR ORD CNY1	11,500	175,375	0.18%
SHENZHEN KINGDOM SCI-TECH CO LTD A ORD CNY1	3,200	395,072	0.40%
SHENZHEN NEPTUNUS BIOENGINEERING CO LTD A SHS ORD CNY1	12,500	275,000	0.28%
SHENZHEN TAT FOOK TECHNOLOGY CO LTD A SHS ORD CNY1	7,500	209,625	0.21%
SHENZHEN TONGE (GROUP) CO LTD SHR A ORD CNY1	7,500	181,875	0.18%
SHENZHEN TOPWAY VIDEO A SHS ORD CNY1	3,250	77,220	0.08%
SHENZHEN WORLD UNION PROPERTIES CONSULTANCY CO LTD A SHRS ORD CNY1	12,500	268,000	0.27%
SHENZHEN YANTIAN PORT HOLDINGS COMPANY LTD A CNY1	17,500	247,800	0.25%
SHENZHEN ZHENYE (GROUP) CO LTD A SHR ORD CNY1	20,000	271,400	0.28%
SHINVA MEDICAL INSTRUMENT ORD CNY1 CC	4,600	269,698	0.27%
SHUANGLIANG ECOENERGY SYS ORD CNY1 CC	7,500	179,625	0.18%
SHUNFA HENGYE CORPORATION A SHR ORD CNY1	10,000	80,900	0.08%
SICHUAN CHENGFEI INTEGRATION TECHNOLOGY CO LTD A SHS ORD CNY1	3,500	178,465	0.18%
SICHUAN EM TECHNOLOGY CO LTD ORD CNY1 CC	7,500	85,650	0.09%
SICHUAN HEBANG CORPORATION LIMITED CNY1 CC	7,500	156,000	0.16%
SICHUAN ROAD & BRIDGE GROUP CO LTD A SHS ORD CNY1	30,662	200,223	0.20%
SICHUAN TIANQI LITHIUM ORD CNY1	3,200	197,760	0.20%
SICHUAN TUOPAI SHEDE WINE CO LTD A SHS ORD CNY1	5,000	130,550	0.13%
SIEYUAN ELECTRIC CO LTD A SHR ORD CNY1	7,500	138,000	0.14%
SINOCHEM INTERNATIONAL CO LTD CL A ORD CNY1	21,100	368,828	0.38%
SINOMA INTERNATIONAL ENGINEERING CO LTD A SHR ORD CNY1	11,100	162,282	0.16%
SINOMACH AUTOMOBILE CO LTD ORD CNY1 CC	5,000	189,650	0.19%
SINOTRANS AIR TRANSPORT ORD CNY1 CC	7,500	218,700	0.22%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

As at 30 June 2015

**Harvest CSI Smallcap 500 Index ETF**

	Holdings	Fair value RMB	% of net assets
<b>CHINA (100%) (continued)</b>			
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD A SHRS ORD CNY1	5,000	382,350	0.39%
STANLEY FERTILIZER CO LTD ORD CNY1	5,000	143,750	0.15%
SUFA TECHNOLOGY INDUSTRY CO LTD A SHS ORD CNY1	5,000	164,250	0.17%
SUNGROW POWER SUPPLY CO LTD CL A ORD CNY1	8,000	244,560	0.25%
SUNING UNIVERSAL CO LTD A SHS ORD CNY1	17,500	252,525	0.26%
SUNSHINE CITY GROUP CO LTD A SHRS ORD CNY1	10,000	207,800	0.21%
SUREKAM CORP A ORD CNY1	3,100	134,199	0.14%
SUZHOU ANJIE TECHNOLOGY CO LTD A SHR ORD CNY1	2,500	60,875	0.06%
SUZHOU DONGSHAN PRECISION MANUFACTURING CO LTD A SHS ORD CNY1	7,500	165,825	0.17%
SUZHOU NEW DISTRICT HI TECH ORD CNY1 CC	12,700	144,653	0.15%
TAIYUAN HEAVY INDUSTRY CO LTD A ORD CNY1 CC	37,300	331,970	0.34%
TANGSHAN JIDONG CEMENT CO LTD A SHR ORD CNY1	11,000	144,650	0.15%
TANGSHAN PORT GROUP CO LTD ORD CNY1 CC	17,700	242,313	0.25%
TANGSHAN SANYOU CHEMICAL I ORD CNY1 CC	20,000	226,000	0.23%
TELLING TELECOMMUNICATION HOLDING CO LTD A SHS ORD CNY1	15,000	222,750	0.23%
THAIHOT GROUP CO LTD A ORD CNY1	7,500	256,500	0.26%
TIANJIN BENEFO TEJING ELEC ORD CNY1 CC	5,000	116,550	0.12%
TIANJIN CAPITAL ENVIRON ORD CNY1 CC	10,000	127,500	0.13%
TIANJIN JINBIN DEVELOPMENT CO LTD A SHS ORD CNY1	27,500	262,350	0.27%
TIANJIN LISHENG PHARMACEUTICAL CO LTD A SHRS ORD CNY1	2,500	114,200	0.12%
TIANJIN TEDA CO LTD A SHR ORD CNY1	22,500	229,050	0.23%
TIANJIN TIANYAO PHARM ORD CNY1 CC	12,500	121,125	0.12%
TIANJIN ZHONG XIN PHARM CO ORD CNY1 CC	5,000	125,950	0.13%
TIANMA BEARING GROUP CO LTD A SHRS ORD CNY1	15,000	159,750	0.16%
TIBET MINERAL DEVELOPMENT CO LTD SHS A ORD CNY1	7,500	142,500	0.14%
TIBET URBAN DEVELOPMENT ORD CNY1 CC	7,300	128,553	0.13%
TIME PUBLISHING AND MEDIA ORD CNY1 CC	5,000	108,550	0.11%
TONGFANG GUOXIN ELECTRONIC CO LTD A SHS ORD CNY1	4,900	235,200	0.24%
TONGKUN GROUP CO LTD A SHR ORD NPV	5,065	101,097	0.10%
TOP ENERGY CO LTD A SHS ORD CNY1 CC	10,000	97,100	0.10%
UNITED ELECTRONICS CO LTD A SHRS ORD CNY1	3,300	188,595	0.19%
V V FOOD & BEVERAGE CO ORD CNY1 CC	17,500	177,625	0.18%
VISUAL CHINA GROUP CO LTD ORD CNY1	5,000	205,300	0.21%
VTRON TECHNOLOGIES LTD A SHR ORD CNY1	10,000	310,000	0.32%
WENFENG GREAT WORLD CHAIN DEV CORP ORD CNY1 CC	12,500	122,125	0.12%
WOLONG ELECTRIC GROUP CO LTD A ORD CNY1	12,577	224,248	0.23%
WUHAN DEPARTMENT STORE GROUP CO LTD A CNY1	5,000	102,500	0.10%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings	Fair value RMB	% of net assets
<b>CHINA (100%) (continued)</b>			
WUHAN FINGU ELECTRONIC TECHNOLOGY CO LTD A ORD CNY1	5,000	134,900	0.14%
WUHAN KAIDI ELECTRIC POWER CO LTD A SHR ORD CNY1	17,300	253,445	0.26%
WUHU TOKEN SCIENCE CO LTD A SHR ORD CNY1	7,000	192,500	0.20%
WUS PRINTED CIRCUIT KUNSHAN CO A SHRS ORD CNY1	17,500	146,125	0.15%
WUXI LITTLE SWAN CO LTD A SHR ORD CNY1	5,000	119,500	0.12%
XIAMEN FARATRONIC CO LTD ORD CNY1 CC	2,500	77,375	0.08%
XIAMEN INTL TRADE GROUP ORD CNY1 CC	25,000	281,000	0.29%
XIAN LONGI SILICON MATERIALS ORD CNY1 CC	20,000	314,800	0.32%
XI'AN SHAANGU POWER CO LTD ORD CNY1 CC	15,000	175,650	0.18%
XIANDAI INVESTMENT CO LTD ORD CNY1	15,000	153,900	0.16%
XIANGTAN ELECTRIC MANUFACTURING CO LTD A ORD CNY1 CC	9,200	208,288	0.21%
XIANGXUE PHARMACEUTICAL CO LTD A SHR ORD CNY1	9,750	300,300	0.31%
XINGHUI AUTO MODEL CO LTD A SHR ORD CNY1	10,100	151,601	0.15%
XINGJIANG QINGSONG BUILDIN ORD CNY1 CC	12,500	122,750	0.12%
XINJIANG GUANNONG FRUIT & ORD CNY1 CC	10,000	138,300	0.14%
XINJIANG TIANFU ENERGY CO ORD CNY1 CC	12,500	175,875	0.18%
XINJIANG TIANSHAN CEMENT CO LTD A SHRS ORD CNY1	12,500	146,500	0.15%
XINJIANG URBAN CONSTRUCTIO ORD CNY1 CC	12,500	187,625	0.19%
XINJIANG ZHONGTAI CHEMICAL (GROUP) CO LTD A SHR ORD CNY1	20,000	203,800	0.21%
YABAO PHARMACEUTICAL GROUP ORD CNY1 CC	12,500	169,250	0.17%
YANTAI CHANGYU PIONEER WINE CO LTD A ORD CNY1	2,800	136,612	0.14%
YANTAI SPANDEX CO LTD A SHR ORD CNY1	7,500	120,750	0.12%
YELAND GROUP CO LTD A ORD CNY1	30,000	311,400	0.32%
YGSOFT INC A SHS ORD CNY1	7,555	236,245	0.24%
YINTAI RESOURCES CO LTD A ORD CNY1	10,000	172,300	0.18%
YOTRIO GROUP CO LTD A SHS ORD NPV	6,580	248,066	0.25%
YOUR MART CO LTD A SHS ORD CNY1	7,500	128,700	0.13%
YOUZU INTERACTIVE CO LTD ORD CNY1	1,100	102,036	0.10%
YUAN LONGPIN HIGH-TECH AGRICULTURE CO LTD A ORD CNY1	15,000	406,500	0.41%
YUNNAN LINCANG XINYUAN GERMANIUM INDUSTRIAL CO LTD A SHR ORD CNY1	10,000	235,100	0.24%
ZHANGZHOU PIENZHEHUANG PHARM CO LTD A SHS ORD CNY1	4,100	273,347	0.28%
ZHANGZIDAO GROUP CO LTD A SHR ORD CNY1	4,600	90,896	0.09%
ZHEFU HOLDING GROUP CO LTD A ORD CNY1	22,500	307,800	0.31%
ZHEJIANG AOKANG SHOES CO LTD A SHRS ORD CNY1	2,500	78,050	0.08%
ZHEJIANG CHINA LIGHT & TEXTILE INDUSTRY CO LTD ORD CNY1 CC	14,950	200,031	0.20%
ZHEJIANG CONBA PHARMACEUTICAL CO LTD A SHR ORD CNY1	10,300	233,810	0.24%
ZHEJIANG CRYSTAL-OPTECH CO LTD ORD CNY1	7,500	234,375	0.24%
ZHEJIANG DA DIAGNOSTICS CO LTD SHRS A ORD CNY1	3,300	277,002	0.28%
ZHEJIANG DUN'AN ARTIFICIAL ENVIRONMENT CO LTD A SHS ORD CNY1	10,000	144,900	0.15%

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**INVESTMENT PORTFOLIO (Unaudited) (continued)**

*As at 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>CHINA (100%) (continued)</b>			
ZHEJIANG FUCHUNJIANG HYDROPOWER ORD CNY1	7,300	133,955	0.14%
ZHEJIANG GUYUELONGSHAN SHA ORD CNY1 CC	10,000	153,200	0.16%
ZHEJIANG HAIYUE CO LTD ORD CNY1 CC	6,900	176,088	0.18%
ZHEJIANG HISUN PHARMACEUTICAL CO LTD A SHS ORD CNY1	9,800	184,142	0.19%
ZHEJIANG HUAFENG SPANDEX CO LTD A SHS ORD CNY1	19,800	179,586	0.18%
ZHEJIANG HUAHAI PHARMACEUT ORD CNY1 CC	9,200	287,500	0.29%
ZHEJIANG JUHUA CO ORD CNY1 CC	20,000	220,400	0.22%
ZHEJIANG MATERIAL INDUSTRI ORD CNY1 CC	12,500	298,500	0.30%
ZHEJIANG MEDICINE CO LTD CL A ORD CNY1	15,052	199,891	0.20%
ZHEJIANG MING JEWELRY CO LTD A SHRS ORD CNY1	5,000	114,500	0.12%
ZHEJIANG ORIENT HLDGS CO ORD CNY1 CC	7,500	254,175	0.26%
ZHEJIANG RUNTU CO LTD A SHS ORD CNY1	7,500	179,775	0.18%
ZHEJIANG SANHUA CO LTD A SHRS ORD CNY1	16,100	184,989	0.19%
ZHEJIANG SATELLITE PETROCHEMICAL CO LTD A SHR ORD CNY1	4,600	69,644	0.07%
ZHEJIANG WANMA CO LTD A SHRS ORD CNY1	10,000	341,500	0.35%
ZHEJIANG XIANJU PHARMACEUTICAL CO A SHRS ORD CNY1	7,500	129,900	0.13%
ZHEJIANG XINAN CHEMICAL A SHR ORD CNY1	12,599	175,630	0.18%
ZHEJIANG YANKON GROUP CO L ORD CNY1 CC	18,650	151,625	0.15%
ZHENGZHOU COAL MINING MACH ORD CNY1 CC	17,500	190,925	0.19%
ZHONGHONG HOLDING CO LTD A SHRS A ORD CNY1	36,800	222,272	0.23%
ZHONGLI SCIENCE AND TECHNOLOGY GROUP CO LTD A SHR ORD CNY1	5,000	166,950	0.17%
ZHONGSHAN BROAD OCEAN MOTOR CO A SHRS ORD CNY1	10,400	133,328	0.14%
ZHONGSHAN PUBLIC UTILITIES GROUP CO LTD CL A ORD CNY1	7,500	220,725	0.22%
ZHONGTIAN URBAN DEVELOPMENT GROUP CO LTD A SHRS ORD CNY1	37,500	472,500	0.48%
ZHUHAI HOKAI MEDICAL INSTRUMENT CO LTD A SHS ORD CNY1	9,770	291,048	0.30%
ZHUZHOU TIMES NEW MATERIAL ORD CNY1 CC	10,000	251,000	0.26%
ZIBO QIXIANG TENGDA CHEMICAL CO LTD A SHS ORD CNY1	6,101	104,571	0.11%
<b>Total investments</b>		<b>97,773,837</b>	<b>99.43%</b>
<b>Other net assets</b>		<b>561,578</b>	<b>0.57%</b>
<b>Net assets attributable to unitholders at 30 June 2015</b>		<b>98,335,415</b>	<b>100.00%</b>
<b>Total investments, at cost</b>		<b>103,022,411</b>	

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments</b>					
<b>Listed equities</b>					
ACCELINK TECHNOLOGIES CO LTD A SHR ORD CNY1	-	2,500	-	-	2,500
ADVANCED TECHNOLOGY & MATERIALS CO LTD A SHR ORD CNY1	-	10,000	-	-	10,000
AN HUI WENERGY CO LTD A SHS ORD CNY1	-	12,300	-	-	12,300
ANGEL YEAST CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
ANHUI GOLDEN SEED WINERY CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
ANHUI GUJING DISTILLERY CO LTD A SHR ORD CNY1	-	2,500	-	-	2,500
ANHUI HELI CO LTD ORD CNY1 CC	-	10,000	-	-	10,000
ANHUI HENGYUAN COAL INDUSTRY AND ELECTRIC POWER CO LTD ORD CNY1 CC	-	10,000	-	-	10,000
ANHUI JIANGHUAI AUTO CO ORD CNY1 CC	-	19,800	-	-	19,800
ANHUI JIANGNAN CHEMICAL INDUSTRY CO LTD SHS A ORD CNY1	-	5,200	4,600	-	9,800
ANHUI XINHUA MEDIA CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
ANHUI ZHONGDING SEALING PARTS CO LTD SHS A ORD CNY1	-	12,500	-	-	12,500
ANXIN TRUST CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
ANYUAN COAL INDUSTRY GROUP CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
AVIC HEAVY MACHINERY CO LTD A SHR ORD CNY1	-	9,400	-	-	9,400
BAOJI TITANIUM INDUSTRY CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
BEFAR GROUP CO LTD ORD CNY1 CC	-	15,000	-	-	15,000
BEIHAI BEIHAI PORT CO LTD A ORD CNY1	-	1,900	-	-	1,900
BEIJING CAPITAL DEVELOPMENT CO LTD A SHR ORD CNY1	-	18,800	-	-	18,800
BEIJING CENTERGATE TECH HLDG CO LTD ORD CNY1	-	12,500	-	-	12,500

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015 (date of inception)</b>	<b>Additions</b>	<b>Corporate action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
BEIJING GEHUA CATV NETWORK ORD CNY1 CC	-	15,000	-	-	15,000
BEIJING HAOHUA ENERGY RESOURCE CO LTD A SHR ORD CNY1	-	10,000	-	-	10,000
BEIJING HOMYEAR CAPITAL HOLDINGS CO LTD ORD CNY1 CC	-	17,500	-	-	17,500
BEIJING HUALUBAINA FILM & TV CO LTD A SHR ORD CNY1	-	7,200	-	-	7,200
BEIJING JINGYUNTONG TECHNO ORD CNY1 CC	-	5,000	-	-	5,000
BEIJING LIER HIGH TEMPERATURE MTERIALS CO LTD ORD CNY1	-	5,000	5,000	-	10,000
BEIJING ORIENTAL YUHONG WATERPROOF TECHNOLOGY CO LTD A SHS ORD NPV	-	5,400	4,600	-	10,000
BEIJING SANYUAN FOODS CO- A ORD CNY1	-	10,000	-	-	10,000
BEIJING SEVENSTAR ELECTRONIC CO LTD A SHRS ORD CNY1	-	4,800	-	-	4,800
BEIJING SHOUHANG RESOURCES A SHRS ORD CNY1	-	7,500	-	-	7,500
BEIJING SHUNXIN AGRICULTURE CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000
BEIJING SIFANG AUTOMATION ORD CNY1 CC	-	2,500	-	-	2,500
BEIJING SJ ENVIRONMENTAL PROTECTION AND NEW MATERIAL CO LTD A SHS ORD CNY1	-	8,100	-	-	8,100
BEIJING SPC ENVIRONMENTAL PROTECTION TECH CO LTD A SHS ORD CNY1	-	5,600	4,600	-	10,200
BEIJING TEAMSUN TECHNOLOGY ORD CNY1 CC	-	13,800	-	-	13,800
BEIJING TIANTAN BIOLOGICAL ORD CNY1 CC	-	5,000	-	-	5,000
BEIJING URBAN CONSTRUCTION ORD CNY1 CC	-	12,500	-	(12,500)	-



**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015 (date of inception)</b>	<b>Additions</b>	<b>Corporate action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
BEIJING WANGFUJING DEPT ST ORD CNY1 CC	-	5,000	-	-	5,000
BESTTONE HOLDING CO LTD A ORD CNY1 CC	-	5,000	-	-	5,000
BETTER LIFE COMMERCIAL CHAIN SHARE CO LTD A SHS ORD CNY1	-	4,600	460	-	5,060
BLACK PEONY CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
BOHAI LEASING CO LTD A SHS ORD CNY1	-	12,500	12,500	-	25,000
BRIGHT OCEANS INTER- TELECOM CORP A SHS ORD CNY1	-	9,500	-	-	9,500
CAMEL GROUP COMPUTER LIMITED ORD CNY1 CC	-	10,000	-	-	10,000
CCS SUPPLY CHAIN MANAGEMENT CO LTD A ORD CNY1 CC	-	5,000	-	-	5,000
CEFC ANHUI INTERNATIONAL HOLDINGS CO LTD A SHRS ORD CNY1	-	10,000	-	-	10,000
CHACHA FOOD CO LTD A SHRS ORD CNY1	-	2,700	1,150	-	3,850
CHANGCHUN GAS CO LTD ORD CNY1 CC	-	4,600	-	-	4,600
CHANGCHUN HIGH & NEW TECHNOLOGY INDUSTRIES (GROUP) INC A SHS ORD CNY1	-	2,500	-	-	2,500
CHANGJIANG & JINGGONG BUIL ORD CNY1 CC	-	10,800	11,040	-	21,840
CHANGJIANG PUBLISHING & MEDIA A SHRS ORD CNY1	-	12,500	-	-	12,500
CHANGYUAN GROUP LTD ORD CNY1 CC	-	16,100	-	-	16,100
CHANGZHENG ENGINEERING CO -A SHRS ORD CNY1	-	1,700	-	-	1,700
CHENGDU B-RAY MEDIA CO LTD A SHR ORD CNY1	-	15,500	-	-	15,500
CHENGDU HUAZE COBALT & NICKEL MATERIALS CO LTD A SHRS ORD CNY1	-	2,500	-	-	2,500

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
CHENGDU XINZHU ROAD&BRIDGE MACHINERY CO LTD SHS A ORD CNY1	-	7,500	-	-	7,500
CHINA ANIMAL HUSBANDRY ORD CNY1 CC	-	2,500	-	-	2,500
CHINA AUTOMOTIVE ENGINEERI ORD CNY1 CC	-	5,000	2,300	-	7,300
CHINA AVIATION OPTICAL- ELECTRICAL TECHNOLOGY CO LTD A SHRS ORD CNY1	-	5,000	1,500	-	6,500
CHINA CYTS TOURS HLDG CO ORD CNY1 CC	-	10,000	-	-	10,000
CHINA ENTERPRISES CO LTD SHS A ORD CNY1	-	27,500	-	-	27,500
CHINA FIBERGLASS CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
CHINA GREATWALL COMPUTER SHENZEN CO LTD A SHR ORD CNY1	-	15,000	-	-	15,000
CHINA MEHECO CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
CHINA MERCHANTS ENGY SHIPPING CO LTD A SHS ORD CNY1	-	40,000	-	(40,000)	-
CHINA NATIONAL MEDICINES ORD CNY1 CC	-	5,000	-	-	5,000
CHINA NATIONAL SOFTWARE & SERVICE CC ORD CNY1	-	5,000	-	-	5,000
CHINA NONFERROUS METAL INDUSTRY'S FOREIGN ENGINEERING AND CONSTRUCTION CO LTD A SHR ORD CNY1	-	12,500	-	-	12,500
CHINA RAILWAY ERJU CO LTD ORD CNY1 CC	-	15,000	-	-	15,000
CHINA RAILWAY TIELONG CONT ORD CNY1 CC	-	20,000	-	-	20,000
CHINA RESOURCES DOUBLE CRA ORD CNY1 CC	-	7,500	-	-	7,500
CHINA SCHOLARS GROUP CO LTD A SHRS ORD CNY1	-	13,500	-	-	13,500
CHINA SECURITY & FIRE CO LTD ORD CNY1	-	14,800	-	-	14,800

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
CHINA SHIPPING					
DEVELOPMENT CO LTD A SHRS CNY1	-	30,025	-	-	30,025
CHINA SPORTS IND GROUP CO ORD CNY1 CC	-	15,000	-	-	15,000
CHINA TELEVISION MEDIA LTD ORD CNY1 CC	-	2,500	-	-	2,500
CHINA WAFER LEVEL CSP CO LTD A SHRS ORD CNY1 CC	-	2,500	-	-	2,500
CHINA-KINWA HIGH TECHNOLOGY CO LTD A SHS ORD CNY1 CC	-	25,000	-	-	25,000
CHONGQING BREWERY CO ORD CNY1 CC	-	5,000	-	-	5,000
CHONGQING DEPARTMENT STORE ORD CNY1 CC	-	2,500	-	-	2,500
CHONGQING GAS GROUP CORP L ORD CNY1	-	3,500	-	-	3,500
CHONGQING IRON & STEEL CO LTD A SHS ORD CNY1	-	23,700	-	-	23,700
CHONGQING JIULONG ELECTRIC POWER CO LTD A SHS ORD CNY1	-	400	-	-	400
CHONGQING ZONGSHEN POWER MACHINERY CO LTD A SHR ORD CNY1	-	15,000	-	-	15,000
CHUYING AGRO-PASTORAL CO LTD SHS A ORD CNY1	-	9,200	-	-	9,200
CINDA REAL ESTATE CO LTD ORD CNY1 CC	-	11,500	-	-	11,500
CITIC HEAVY INDUSTRIES CO LTD A SHR ORD CNY1	-	18,700	-	-	18,700
CITIC OFFSHORE HELICOPTER ORD CNY1	-	10,000	-	-	10,000
CITYCHAMP DARTONG CO LTD ORD CNY1 CC	-	22,500	-	-	22,500
CMST DEVELOPMENT CO LTD ORD CNY1 CC	-	20,000	-	-	20,000
CNHTC JINAN TRUCK CO LTD A SHS ORD CNY1	-	2,500	-	-	2,500

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
COFCO BIOCHEMICAL CO LTD A SHR ORD CNY1	-	17,500	-	-	17,500
COFCO PROPERTY (GROUP) CO LTD A SHR ORD CNY1	-	20,000	-	-	20,000
COFCO TUNHE CO LTD A SHS ORD CNY1	-	22,500	-	-	22,500
COSCO SHIPPING CO LTD ORD CNY1 CC	-	17,500	-	-	17,500
CPI YUANDA ENVIRONMENTAL ORD CNY1 CC	-	4,600	-	-	4,600
CSG HOLDING CO LTD A SHR ORD CNY1	-	27,500	-	-	27,500
CSSC OFFSHORE AND MARINE ENGINEERING (GROUP) CO LTD ORD CNY1	-	5,000	-	-	5,000
DA AN GENE CO LTD A ORD CNY1	-	7,500	1,500	-	9,000
DALIAN HUARUI HEAVY INDUSTRY GROUP CO LTD A SHRS ORD CNY1	-	7,500	-	-	7,500
DALIAN PORT PDA CO LTD A SHS ORD CNY1	-	22,500	-	-	22,500
DANHUA CHEMICAL TECHNOLOGY CO LTD A SHS ORD CNY1	-	10,000	-	(10,000)	-
DASHANG GROUP CO LTD ORD CNY1 CC	-	7,300	-	-	7,300
DATANG TELECOM TECHNOLOGY CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
DATONG COAL INDUSTRY CO LTD A SHS ORD CNY1	-	17,500	-	-	17,500
DAWNING INFORMATION INDUSTRY CO LTD ORD CNY1	-	1,800	-	-	1,800
DAZHONG TRANSPORTATION GRP ORD CNY1 CC	-	15,000	-	-	15,000

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
DIGITAL CHINA INFO SER CO LTD A SHRS ORD CNY1	-	2,500	-	(2,500)	-
DMG ENTERTAINMENT & MEDIA CO LTD ORD CNY1	-	4,500	-	-	4,500
DONGFENG AUTOMOBILE CO LTD A SHS ORD CNY1	-	17,500	-	-	17,500
DONGGUAN SOUYUTE FASHION CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
DONGJIANG ENVIRONMENTAL CO LTD A SHR ORD NPV	-	2,500	3,750	-	6,250
EAST CHINA ENGINEERING SCI & TECHNOLOGY CO LTD CL A ORD CNY1	-	5,000	-	-	5,000
EAST MONEY INFORMATION CO LTD A SHR ORD CNY1	-	25,000	-	(25,000)	-
EASTERN COMMUNICATIONS CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
EASTERN GOLD JADE CO LTD A SHRS ORD CNY1	-	4,500	-	-	4,500
EGING PHOTOVOLTAIC TECHNOLOGY CO LTD A SHR ORD CNY1 CC	-	5,000	-	-	5,000
ELEC-TECH INTERNATIONAL CO LTD A SHR ORD CNY1	-	20,000	-	-	20,000
ENN ENERGY CHEMICALS CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
ETERNAL ASIA SUPPLY CHAIN MANAGEMENT LTD A SHR ORD CNY1	-	11,500	-	-	11,500
FANGDA SPECIAL STEEL TECH ORD CNY1 CC	-	12,500	-	-	12,500
FAWER AUTOMOTIVE PARTS LTD A ORD CNY1	-	7,500	-	-	7,500
FEILO ACOUSTICS CO LTD ORD CNY1 CC	-	15,000	-	-	15,000
FENGFAN STOCK LTD CO ORD CNY1 CC	-	6,900	-	-	6,900
FIBERHOME TELECOMMUNICATION TECHNOLOGIES CO LTD A SHR ORD CNY1	-	10,600	-	-	10,600
FOCUS TECHNOLOGY CO LTD ORD CNY1	-	2,500	-	(1,300)	1,200

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
FOSHAN ELECTRICAL & LIGHT CO LTD SHS A ORD CNY1	-	12,300	3,690	-	15,990
FOSHAN PLASTICS GROUP CO LTD A SHS ORD CNY1	-	17,500	-	-	17,500
FOUNDER TECHNOLOGY GROUP CORP A SHR ORD CNY1	-	47,500	-	-	47,500
FUJIAN NEWLAND COMPUTER CO LTD A SHS ORD CNY1	-	7,500	6,000	-	13,500
FUJIAN SEPTWOLVES INDUSTRY INC A SHR ORD CNY1	-	10,000	-	-	10,000
FUJIAN STAR NET COMMUNICATION CO LTD ORD A SHRS CNY1	-	5,000	2,300	-	7,300
FUJIAN SUNNER DEVELOPMENT CO LTD A SHS ORD CNY1	-	10,000	-	-	10,000
FUJIAN ZHONGFU INDUSTRIES COMPANY LIMITED A SHRS ORD CNY1	-	12,100	-	-	12,100
GANSU JINGYUAN COAL INDUSTRY AND ELECTRICITY POWER CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
GANSU QILIANSHAN CEMENT GR ORD CNY1 CC	-	12,500	-	-	12,500
GEM CO LTD A SHS ORD CNY1	-	15,000	-	-	15,000
GEM-YEAR INDUSTRIAL CO LTD ORD CNY1 CC	-	10,000	-	-	10,000
GEOJADE PETROLEUM CORP ORD CNY1 CC	-	19,800	-	-	19,800
GOLDLEAF JEWELRY CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
GREATWALL INFORMATION INDUSTRY CO LTD A SHRS ORD CNY1	-	15,000	-	-	15,000
GUANGDONG ADVERTISING GROUP CO LTD A SHRS ORD CNY1	-	14,700	-	-	14,700
GUANGDONG BAOLIHUA NEW ENERGY STOCK CO LTD A SHS ORD CNY1	-	24,500	-	-	24,500
GUANGDONG CHJ INDUSTRY CO LTD A SHRS ORD CNY1	-	9,200	-	-	9,200

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015</b> <b>(date of inception)</b>	<b>Additions</b>	<b>Corporate</b> <b>action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
GUANGDONG ELLINGTON ELECTRONICS TECHNOLOGY CO LTD A SHRS ORD CNY1	-	2,500	-	-	2,500
GUANGDONG FENGHUA ADVANCED TECHNOLOGY (HOLDING) CO LTD A CNY1	-	12,500	-	-	12,500
GUANGDONG GOLDEN HORSE TOURISM GROUP STOCK CO LTD A SHS ORD CNY1	-	10,000	-	(10,000)	-
GUANGDONG GOWORLD CO LTD A SHRS ORD CNY1	-	7,500	-	-	7,500
GUANGDONG GUANGZHOU DAILY MEDIA CO LTD A SHS ORD CNY1	-	7,300	4,380	-	11,680
GUANGDONG GUANHAO HIGHTEC ORD CNY1 CC	-	17,300	-	-	17,300
GUANGDONG HAID GROUP CO LTD A SHR ORD CNY1	-	10,000	4,000	-	14,000
GUANGDONG HEC TECHNOLOGY H ORD CNY1 CC	-	22,300	-	-	22,300
GUANGDONG HIGHSUN GROUP CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
GUANGDONG TAPAI GROUP CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
GUANGDONG WEIHUA CORP A SHR ORD CNY1	-	7,500	-	-	7,500
GUANGDONG XINBAO ELECTRICAL AP - A ORD NPV	-	2,500	-	-	2,500
GUANGDONG YIHUA TIMBER IND ORD CNY1 CC	-	25,000	-	-	25,000
GUANGDONG ZHONGSHENG PHARMACEUTICAL A ORD CNY1	-	5,000	-	-	5,000
GUANGXI LIUGONG MACHINERY CO LTD A SHR ORD CNY1	-	17,500	-	-	17,500
GUANGZHOU BAIYUN INTERNATI ORD CNY1 CC	-	10,000	-	-	10,000
GUANGZHOU DEVELOPMENT INDUSTRY (HOLDINGS) CO LTD A SHR ORD CNY1	-	17,592	-	(17,592)	-

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
GUANGZHOU GUANGRI STOCK CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
GUANGZHOU PEARL RIVER PIANO GROUP CO LTD ORD CNY1	-	5,000	-	-	5,000
GUANGZHOU ZHUJIANG BREWERY CO A SHRS ORD CNY1	-	5,000	-	-	5,000
GUILIN SANJIN PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000
GUIRENNIAO CO LTD A SHS ORD CNY1 CC	-	2,500	-	-	2,500
GUIZHOU BAILING GROUP PHAR ORD CNY1	-	5,000	-	(1,200)	3,800
GUIZHOU CHANGZHENG TIACHEN ORD CNY1 CC	-	9,800	-	-	9,800
GUIZHOU SPACE APPLIANCE CO A SHRS ORD CNY1	-	5,000	1,500	-	6,500
GUIZHOU XINBANG PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	5,400	6,900	-	12,300
GUIZHUO YIBAI PHARMACEUTICAL CO LTD A SHS ORD CNY1	-	6,400	-	-	6,400
GUOMAI TECHNOLOGIES INC SHA A ORD CNY1	-	8,700	-	-	8,700
HAIKOU AGRIC & IND & TRADE (LUONIUSHAN) CO LTD A SHRS ORD CNY1	-	19,800	-	-	19,800
HAIMA INV GR CO LTD ORD CNY1 A SHR	-	22,300	-	-	22,300
HAINAN EXPRESSWAY CO LTD A SHR ORD CNY1	-	17,500	-	(17,500)	-
HAINAN HAIYAO CO LTD A SHS ORD CNY1	-	10,000	-	-	10,000
HAINAN STRAIT SHIPPING CO A SHR ORD CNY1	-	2,500	-	(2,500)	-
HANG ZHOU GREAT STAR INDUSTRIAL CO LTD A SHARE ORD CNY1	-	9,800	-	-	9,800
HANGZHOU BINJIANG REAL ESTATE GROUP CO LTD A ORD CNY1	-	11,500	-	-	11,500



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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015</b> <b>(date of inception)</b>	<b>Additions</b>	<b>Corporate</b> <b>action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
HANGZHOU HANGYANG CO LTD A ORD CNY1	-	5,000	-	-	5,000
HANGZHOU ROBAM APPLIANCES COMPANY LIMITED A SHS ORD CNY1	-	5,000	-	-	5,000
HANGZHOU SILAN MICROELECTRONICS CO LTD A SHR ORD CNY1	-	15,030	-	-	15,030
HARBIN BOSHI AUTOMATION CO LTD A ORD NPV	-	2,400	-	-	2,400
HARBIN GLORIA PHARMACEUTICALS CO LTD A SHRS ORD CNY1	-	7,300	-	-	7,300
HARBIN HATOU INVESTMENT CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
HARBIN PHARMACEUTICAL GROUP CO LTD A SHS ORD CNY1	-	23,300	-	-	23,300
HAREON SOLAR TECHNOLOGY CL A ORD CNY1	-	11,600	18,400	(30,000)	-
HEBEI CHENGDE LOLO CO LTD A SHS ORD CNY1	-	7,700	3,450	-	11,150
HEFEI DEPARTMENT STORE GROUP CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500
HEFEI MEIYA OPTOELECTRONIC A ORD NPV	-	2,700	2,300	(5,000)	-
HEFEI RONGSHIDA SANYO ELE ORD CNY1 CC	-	5,000	-	-	5,000
HEILONGJIANG INTERCHINA WATHER CO LTD A SHS ORD CNY1	-	32,300	-	-	32,300
HENAN DAYOU ENERGY CO LTD A SHR ORD CNY1	-	6,800	-	-	6,800
HENAN PINGGAO ELECTRIC CO ORD CNY1 CC	-	15,000	-	-	15,000
HENAN REBECCA HAIR PRODUCT ORD CNY1 CC	-	15,000	-	(15,000)	-
HENAN SENYUAN ELECTRIC CO LTD A SHS ORD CNY1	-	5,000	3,200	(1,800)	6,400
HENAN SHENHUO COAL & POWER CO LTD A SHR ORD CNY1	-	25,000	-	-	25,000

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
HENGDIAN GROUP DMEGC MAGNETICS CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000
HENGKANG MEDICAL GROUP CO A ORD CNY1	-	7,500	-	-	7,500
HENGTONG OPTIC-ELECTRIC CO LTD A SHRS ORD CNY1	-	5,800	-	-	5,800
HENGYI PETROCHEMICAL CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
HISENSE KELON ELECTRICAL HOLDINGS CO LTD CL A ORD CNY1	-	7,500	-	-	7,500
HITHINK FLUSH INFORMATION NETWORK CO LTD A SHS ORD CNY1	-	4,400	-	-	4,400
HONGFA TECHNOLOGY CO LTD A SHS ORD CNY1	-	5,000	-	-	5,000
HUADIAN HEAVY INDUSTRY CO LTD ORD NPV	-	4,700	-	-	4,700
HUAFA INDUSTRIAL CO LTD ZH ORD CNY1 CC	-	15,000	-	-	15,000
HUAGONG TECH CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500
HUAPONT-NUTRICHEM CO LTD A SHR ORD CNY1	-	8,500	10,350	-	18,850
HUAXIN CEMENT CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
HUAYUAN PROPERTY CO LTD ORD CNY1 CC	-	12,500	-	-	12,500
HUBEI AVIATION PRECISION MACHINERY TECHNOLOGY CO LTD A SHR ORD CNY1	-	5,000	1,500	-	6,500
HUBEI FUXING SCIENCE AND TECHNOLOGY CO LTD A SHR ORD CNY1	-	12,500	-	-	12,500
HUBEI HONGCHENG GENERAL A ORD CNY1	-	4,800	-	-	4,800
HUBEI XINGFA CHEMICALS GRP ORD CNY1 CC	-	7,500	-	-	7,500
HUBEI YIHUA CHEMICAL INDUSTRY CO LTD A SHR ORD CNY1	-	20,000	-	-	20,000
HUMANWELL HEALTHCARE GROUP CO LTD A SHS ORD CNY1	-	9,200	-	-	9,200

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
HUNAN CORUN NEW ENERGY CO LTD A SHRS ORD CNY1	-	15,000	-	-	15,000
HUNAN DAKANG PASTURE FARM CO LTD A SHRS ORD CNY1	-	17,500	-	(17,500)	-
HUNAN ER-KANG PHARMACEUTI -A SHRS ORD CNY1	-	7,400	-	-	7,400
HUNAN GOLD CORPOARTION LIMITED A SHRS ORD CNY1	-	15,000	-	-	15,000
HUNAN JIANGNAN RED ARROW CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
HUOLINHE OPENCUT COAL INDUSTRY CORP LTD A SHS ORD CNY1	-	7,500	-	-	7,500
HYBIO PHARMACEUTICAL CO LTD A SHS ORD CNY1	-	9,100	-	-	9,100
INNER MONGOLIA JINYU GROUP CO LTD A SHRS ORD CNY1	-	5,800	-	-	5,800
INNER MONGOLIA PINGZHUANG ENERGY CO LTD A SHR ORD CNY1	-	9,800	-	-	9,800
INNER MONGOLIA XINGYE MINING CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
INSIGMA TECHNOLOGY CO LTD A SHRS ORD CNY1	-	16,600	-	-	16,600
INSPUR ELECTRONIC INFORMATION INDUSTRY CO LTD A SHRS ORD CNY1	-	10,000	-	(10,000)	-
JANGHO GROUP CO LTD A SHRS ORD CNY1	-	10,000	-	-	10,000
JIANGLING MOTORS CORP LTD A SHR ORD CNY1	-	5,000	-	-	5,000
JIANGSU CHANGJIANG ELECTRONICS TECHNOLOGY CO LTD A SHR ORD CNY1	-	15,900	-	-	15,900

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
JIANGSU CHENGXING PHOSPH ORD CNY1 CC	-	7,500	-	-	7,500
JIANGSU GUOTAI INTERNATIONAL GROUP GUOMAO CO LTD A SHR ORD CNY1	-	4,600	-	-	4,600
JIANGSU HONGTU HIGH TECHNO ORD CNY1 CC	-	20,000	-	-	20,000
JIANGSU KANION PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	7,582	-	-	7,582
JIANGSU KINGS LUCK BREWERY JSC CO LTD A SHRS ORD CNY1 CC	-	2,500	-	-	2,500
JIANGSU NONGHUA INTELLIGENT AGRICULTURAL TECH CO LTD A SHRS ORD CNY1	-	17,500	-	-	17,500
JIANGSU SUNRAIN SOLAR ENER ORD CNY1 CC	-	2,900	2,300	-	5,200
JIANGSU SUNSHINE CO LTD A SHR ORD CNY1	-	30,000	-	-	30,000
JIANGSU WUZHONG INDUSTRIAL CO LTD A SHR ORD CNY1	-	12,500	-	-	12,500
JIANGSU YABANG DYESTUFF CO ORD CNY1	-	3,500	-	-	3,500
JIANGSU YUEDA INVESTMENT C ORD CNY1 CC	-	15,000	-	-	15,000
JIANGSU YUYUE MEDICAL EQUIPMENT CO LTD ORD CNY1	-	5,033	463	-	5,496
JIANGSU ZHONGNAN CONSTRUCTION GROUP CO LTD SHS A ORD CNY1	-	7,500	-	-	7,500
JIANGSU ZHONGTIAN TECHNOLOGIES CO LTD A SHR ORD CNY1	-	12,600	-	-	12,600
JIANGSU ZONGYI CO LTD ORD CNY1 CC	-	17,500	-	-	17,500

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
JIANGZHONG					
PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	5,068	-	-	5,068
JIANXIN MINING CO LTD A SHRS ORD CNY1	-	7,500	-	-	7,500
JIAOZUO WANFANG					
ALUMIMUM MANUFACTURING CO LTD A SHR ORD CNY1	-	14,600	-	-	14,600
JIHUA GROUP CORP LTD ORD CNY1 CC	-	32,500	-	-	32,500
JILIN JI EN NICKEL INDUSTR ORD CNY1 CC	-	10,000	-	(10,000)	-
JILIN YATAI GROUP CO LTD ORD CNY1 CC	-	39,800	-	-	39,800
JILIN ZIXIN					
PHARMACEUTICAL INDUSTRIAL CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
JINKE PROPERTIES GROUP CO LTD A SHR ORD CNY1	-	35,000	-	-	35,000
JINLING PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
JOEONE CO LTD A SHR ORD CNY1	-	2,500	-	(2,500)	-
JOINCARE PHARMACEUTICAL GR ORD CNY1 CC	-	12,500	-	-	12,500
JOINTO ENERGY INVESTMENT C A SHRS ORD CNY1	-	10,900	-	-	10,900
JOYOUNG CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
JULI SLING CO LTD A SHR ORD CNY1	-	12,500	-	-	12,500
JULONG CO LTD ORD CNY1	-	5,000	-	-	5,000
KAILE TECHNOLOGY CO LTD ORD CNY1 CC	-	10,000	-	-	10,000
KAILUAN ENERGY CHEMICAL CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015</b> <b>(date of inception)</b>	<b>Additions</b>	<b>Corporate</b> <b>action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
KEDA CLEAN ENERGY CO LTD ORD CNY1 CC	-	12,500	-	-	12,500
KINGDREAM PUBLIC LIMITED- A SHRS ORD CNY1	-	2,500	-	-	2,500
KINGFA SCI. & TECH. CO LTD A SHR ORD CNY1	-	31,200	-	-	31,200
KPC PHARMACEUTICALS INC A SHRS ORD CNY1	-	5,000	-	-	5,000
LANCY COMPANY LIMITED A SHRS ORD CNY1	-	200	-	(200)	-
LANZHOU LS HEAVY EQUIPMENT CO LTD A SHRS ORD CNY1	-	3,800	-	-	3,800
LAO FENG XIANG CO LTD ORD CNY1 CC	-	2,500	-	(2,500)	-
LIANHE CHEMICAL TECHNOLOGY CO LTD A SHRS ORD CNY1	-	12,500	-	-	12,500
LIFAN INDUSTRY (GROUP) CO LTD A ORD CNY1	-	7,600	-	-	7,600
LIVZON PHARMACEUTICAL GROUP INC A SHR ORD CNY1	-	2,500	-	-	2,500
LONCIN MOTOR CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
LUOLAI HOME TEXTILE CO LTD A SHR ORD CNY1	-	2,700	3,450	-	6,150
LUTHAI TEXTILE CO LTD CL A ORD CNY1	-	10,000	-	-	10,000
LUXI CHEMICAL GROUP CO LTD A SHS ORD CNY1	-	22,500	-	-	22,500
MAANSHAN IRON AND STEEL CO LTD A SHRS ORD CNY1	-	48,400	-	-	48,400
MACROLINK REAL ESTATE CO LTD A SHRS ORD CNY1	-	12,500	-	-	12,500
MARKOR INTL HOME FURNITURE CO LTD A SHRS ORD CNY1	-	7,500	-	-	7,500
MAYINGLONG PHARMACEUTICAL GROUP CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
MEIDU HOLDINGS CO LTD					
ORD CNY1 CC	-	32,500	-	-	32,500
MESNAC CO LTD A SHR ORD CNY1	-	12,500	-	-	12,500
MILORD REAL ESTATE DEVELOPMENT GROUP CO LTD A SHS ORD CNY1	-	37,500	-	-	37,500
MINMETALS DEVELOPMENT CO LTD A SHR ORD CNY1	-	8,700	-	-	8,700
MUYUAN FOODSTUFF CO LTD A SHS ORD CNY1	-	2,500	2,500	(5,000)	-
NANJING CENTRAL EMPORIUM ORD CNY1 CC	-	2,500	2,500	-	5,000
NANJING GAOKE CO LTD ORD CNY1 CC	-	12,500	-	-	12,500
NANJING REDSUN CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000
NANTONG JIANGSHAN AGROCHEM ORD CNY1 CC	-	2,500	-	-	2,500
NAVINFO CO LTD ORD CNY1	-	11,200	-	-	11,200
NEWAY VALVE SUZHOU CO LTD - A SHRS ORD CNY1	-	4,600	-	-	4,600
NINGBO HUAXIANG ELECTRIC CO LTD A SHR ORD CNY1	-	10,000	-	-	10,000
NINGBO JOYSON ELECTRONIC CORP A ORD CNY1	-	5,000	-	-	5,000
NINGBO SHANSHAN CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
NINGBO YUNSHENG (GROUP) CO ORD CNY1 CC	-	6,900	-	-	6,900
NINGXIA ORIENT TANTALUM IND CO LTD A SHS ORD CNY1	-	5,000	-	-	5,000
NORTH CHINA PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	17,500	-	-	17,500
NORTH ELECTRO-OPTIC CO LTD A SHR ORD CNY1	-	2,500	-	-	2,500
NORTH NAVIGATION CONTROL T ORD CNY1 CC	-	7,500	-	-	7,500
NORTHERN UNITED PUBLISHING & M A SHRS ORD CNY1	-	5,000	-	-	5,000
ORG PACKAGING CO LTD A SHS ORD CNY1	-	11,900	-	-	11,900

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
ORIENT GROUP INC ORD CNY1 CC	-	27,500	-	-	27,500
ORIENTAL ENERGY CO LTD A ORD CNY1	-	5,800	-	-	5,800
PACIFIC SECURITIES CO/THE ORD CNY1 CC	-	52,500	-	(52,500)	-
PALM LANDSCAPE ARCHITECTURE CO LTD ORD CNY1	-	5,000	-	-	5,000
PENGXIN INTERNATIONAL MINING CO LTD A SHRS ORD CNY1	-	20,000	-	(20,000)	-
PINGDINGSHAN TIANAN COAL ORD CNY1 CC	-	25,000	-	-	25,000
PKU HEALTHCARE CORP LTD A SHRS ORD CNY1	-	6,900	-	-	6,900
PUBANG LANDSCAPE ARCHITECTURE CO LTD A SHR ORD NPV	-	5,600	6,876	-	12,476
PUYANG REFRACTORIES GROUP CO LTD A SHRS ORD CNY1	-	7,500	-	-	7,500
QINGDAO HANHE CABLE CO LTD A SHRS ORD CNY1	-	2,500	-	(2,500)	-
QINGHAI HUZHU BARLEY WINE A ORD CNY1	-	5,000	-	-	5,000
QITAIHE BAOTAILONG COAL & COAL ORD CNY1	-	2,500	-	(2,500)	-
RAINBOW DEPARTMENT STORE A SHR ORD CNY1	-	2,500	-	-	2,500
RENHE PHARMACY CO LTD A SHR ORD CNY1	-	12,700	2,875	-	15,575
RISING NONFERROUS METAL SHARE CO A SHS ORD CNY1	-	2,700	-	-	2,700
RIZHAO PORT CO LTD A SHS ORD CNY1	-	32,500	-	-	32,500
RONGXIN POWER ELECTRONIC CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500



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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
SANQUAN FOOD CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
SHAAN XI PROVINCIAL NATURAL GAS CO LTD A SHR ORD NPV	-	5,000	-	-	5,000
SHAANXI BROADCAST & TV NET ORD CNY1 CC	-	6,900	-	-	6,900
SHAANXI INTERNATIONAL TRUST CO LTD CL A ORD CNY1	-	10,000	-	-	10,000
SHANDONG CHENMING PAPER HLDGS LTD A SHR ORD CNY1	-	20,000	-	-	20,000
SHANDONG DENGHAI SEEDS CO LTD A SHRS ORD CNY1	-	2,500	3,750	-	6,250
SHANDONG HIMILE MECH SCI&TECH CO LTD A SHRS ORD CNY1	-	7,500	-	-	7,500
SHANDONG HOMEY AQUATIC DEV ORD CNY1 CC	-	10,000	-	(10,000)	-
SHANDONG HUALU HENGSHENG ORD CNY1 CC	-	15,000	-	-	15,000
SHANDONG HUMON SMELTING CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500
SHANDONG JINJING SCIENCE ORD CNY1 CC	-	22,300	-	-	22,300
SHANDONG MOLONG PETROLEUM MACHINERY CO LTD A SHS ORD CNY1	-	5,000	-	-	5,000
SHANDONG NANSHAN ALUMINUM ORD CNY1 CC	-	49,800	-	(9,500)	40,300
SHANDONG SUN PAPER INDUSTRY CO LTD A SHR ORD CNY1	-	17,300	-	-	17,300
SHANGHAI 2345 NETWORK HOLDINGS GROUP CO LTD ORD CNY1	-	5,300	-	-	5,300
SHANGHAI 3F NEW MATERIALS ORD CNY1 CC	-	5,000	-	-	5,000
SHANGHAI AEROSPACE AUTOMOBILE ELECTROMECHANICAL CO LTD SHA A ORD CNY1	-	17,700	-	-	17,700
SHANGHAI AJ CORPORATION ORD CNY1 CC	-	17,500	5,250	-	22,750

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015</b> <b>(date of inception)</b>	<b>Additions</b>	<b>Corporate</b> <b>action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
SHANGHAI BELLING CO LTD ORD CC CNY1	-	12,500	-	-	12,500
SHANGHAI CHLOR-ALKALI CHEM CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
SHANGHAI DAZHONG PUBLIC UT ORD CNY1 CC	-	28,700	12,650	-	41,350
SHANGHAI DINGLI TECH DEV (GRP) CO ORD CNY1	-	4,000	-	-	4,000
SHANGHAI DZH LTD A SHS ORD CNY1	-	17,500	-	(17,500)	-
SHANGHAI EAST-CHINA COMPUTER CO LTD A SHRS ORD CNY1	-	2,500	-	-	2,500
SHANGHAI ELECTRIC POWER CO LTD A SHRS ORD CNY1	-	14,800	-	-	14,800
SHANGHAI HAIXIN GROUP CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500
SHANGHAI INDUSTRIAL DEVEL ORD CNY1 CC	-	10,000	-	-	10,000
SHANGHAI JINFENG WINE CO LTD ORD CNY1 CC	-	7,500	-	(7,500)	-
SHANGHAI JINJIANG INTERNAT ORD CNY1 CC	-	5,000	-	-	5,000
SHANGHAI JINQIAO EXPORT P ORD CNY1 CC	-	5,000	-	-	5,000
SHANGHAI KAIBAO PHARMACEUTICAL CO LTD A SHS ORD CNY1	-	10,000	-	-	10,000
SHANGHAI KEHUA BIO- ENGINEERING CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
SHANGHAI KINGSTAR WINNING SOFTWARE COMPANY LIMITED SHS A ORD CNY1	-	7,500	-	-	7,500
SHANGHAI LANSHENG CORP ORD CNY1 CC	-	5,000	-	-	5,000
SHANGHAI M&G STATIONERY INC A SHRS ORD NPV	-	1,300	-	-	1,300
SHANGHAI MALING AQUARIUS ORD CNY1 CC	-	10,000	-	-	10,000

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
SHANGHAI MECHANICAL AND EL ORD CNY1 CC	-	7,500	-	-	7,500
SHANGHAI METERSBONWE FASHION & ACCESSORIES CO LTD A SHS ORD NPV	-	20,700	-	-	20,700
SHANGHAI NEW WORLD CO LTD A SHRS ORD CNY1	-	8,500	-	-	8,500
SHANGHAI PUDONG ROAD&BRIDG ORD CNY1 CC	-	12,500	-	-	12,500
SHANGHAI SHIMAO CO LTD A SHRS ORD CNY1	-	7,500	3,750	-	11,250
SHANGHAI TUNNEL ENGINEERIN ORD CNY1 CC	-	34,800	-	(34,800)	-
SHANGHAI XINHUA MEDIA CO LTD ORD CNY1 CC	-	12,300	-	-	12,300
SHANGHAI YUYUAN TOURIST MART CO LTD A SHS ORD CNY1	-	20,500	-	-	20,500
SHANGHAI ZHANGJIANG HIGH ORD CNY1 CC	-	17,500	-	-	17,500
SHANGHAI ZHENHUA HEAVY INDUSTRY CO LTD A SHR ORD CNY1	-	35,000	-	-	35,000
SHANGHAI ZHIXIN ELECTRIC C ORD CNY1 CC	-	15,000	-	-	15,000
SHANTOU DONGFENG PRINTING CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
SHANTUI CONSTRUCTION MACHINE CO LTD A SHR CNY1	-	22,300	-	-	22,300
SHANXI COAL INTERNATIONAL ENERGY CO LTD A SHR ORD CNY1	-	22,300	-	-	22,300
SHANXI COKING CO LTD A SHS ORD CNY1	-	12,500	-	(12,500)	-
SHANXI GUOXIN ENERGY CORP A SHRS ORD CNY1	-	5,500	-	-	5,500
SHANGHAI SHENGDA MINING CO LTD A SHRS ORD CNY1	-	2,500	-	-	2,500

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
SHENGHE RESOURCES A SHRS ORD CNY1	-	5,000	-	-	5,000
SHENGYI TECHNOLOGY CO LTD ORD CNY1 CC	-	17,500	-	-	17,500
SHENYANG INGENIOUS DEVELOPMENT CO A SHR ORD CNY1	-	25,000	-	-	25,000
SHENZHEN ACCORD PHARMACEUTICAL CO LTD A ORD CNY1	-	2,500	-	-	2,500
SHENZHEN COSHIP ELECTRONICS CO LTD A SHR ORD CNY1	-	10,000	-	(10,000)	-
SHENZHEN DESAY BATTERY TECH CO LTD A SHS ORD CNY1	-	2,500	-	-	2,500
SHENZHEN EVENWIN PRECISION TECH CO LTD CL A ORD CNY1	-	5,000	-	-	5,000
SHENZHEN FENDA TECHNOLOGY CO LTD ORD NPV	-	2,100	1,680	-	3,780
SHENZHEN FUANNA BEDDING AND FURNISHING CO LTD ORD CNY1	-	7,500	-	-	7,500
SHENZHEN GAS CORPORATION LTD A SHS ORD CNY1	-	13,300	-	-	13,300
SHENZHEN GLORY MEDICAL CO LTD A SHR ORD CNY1	-	3,600	-	-	3,600
SHENZHEN GRANDLAND DECORATION GROUP CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
SHENZHEN HEUNGKONG HOLDING ORD CNY1 CC	-	7,500	-	(7,500)	-
SHENZHEN HIFUTURE ELECTRIC CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500
SHENZHEN HONGTAO DECORATION CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
SHENZHEN HUAQIANG INDUSTRY CO LTD A SHS ORD CNY1	-	4,000	-	-	4,000
SHENZHEN INVENGO TECHNOLOGY JSC LTD A SHRS ORD CNY1	-	12,500	-	-	12,500

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
SHENZHEN JINJIA COLOR PRINT A SHRS ORD CNY1	-	20,000	-	-	20,000
SHENZHEN KAIFA TECHNOLOGY CO LTD A SHR ORD CNY1	-	11,500	-	-	11,500
SHENZHEN KINGDOM SCI- TECH CO LTD A ORD CNY1	-	3,200	-	-	3,200
SHENZHEN NEPTUNUS BIOENGINEERING CO LTD A SHS ORD CNY1	-	12,500	-	-	12,500
SHENZHEN TAT FOOK TECHNOLOGY CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
SHENZHEN TONGE (GROUP) CO LTD SHR A ORD CNY1	-	7,500	-	-	7,500
SHENZHEN TOPWAY VIDEO A SHS ORD CNY1	-	2,500	750	-	3,250
SHENZHEN WORLD UNION PROPERTIES CONSULTANCY CO LTD A SHRS ORD CNY1	-	12,500	-	-	12,500
SHENZHEN YANTIAN PORT HOLDINGS COMPANY LTD A CNY1	-	17,500	-	-	17,500
SHENZHEN ZHENYE (GROUP) CO LTD A SHR ORD CNY1	-	20,000	-	-	20,000
SHINVA MEDICAL INSTRUMENT ORD CNY1 CC	-	4,600	-	-	4,600
SHUANGLIANG ECOENERGY SYS ORD CNY1 CC	-	7,500	-	-	7,500
SHUNFA HENGYE CORPORATION A SHR ORD CNY1	-	10,000	-	-	10,000
SICHUAN CHENGFEI INTEGRATION TECHNOLOGY CO LTD A SHS ORD CNY1	-	3,500	-	-	3,500
SICHUAN EM TECHNOLOGY CO LTD ORD CNY1 CC	-	7,500	-	-	7,500
SICHUAN HEBANG CORPORATION LIMITED CNY1 CC	-	7,500	-	-	7,500
SICHUAN ROAD & BRIDGE GROUP CO LTD A SHS ORD CNY1	-	30,662	-	-	30,662

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**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
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**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
SICHUAN TIANQI LITHIUM ORD CNY1	-	3,200	-	-	3,200
SICHUAN TUOPAI SHEDE WINE CO LTD A SHS ORD CNY1	-	5,000	-	-	5,000
SIEYUAN ELECTRIC CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
SINOCHEM INTERNATIONAL CO LTD CL A ORD CNY1	-	21,100	-	-	21,100
SINOMA INTERNATIONAL ENGINEERING CO LTD A SHR ORD CNY1	-	11,100	-	-	11,100
SINOMACH AUTOMOBILE CO LTD ORD CNY1 CC	-	5,000	-	-	5,000
SINOTRANS AIR TRANSPORT ORD CNY1 CC	-	7,500	-	-	7,500
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
STANLEY FERTILIZER CO LTD ORD CNY1	-	5,000	-	-	5,000
SUFA TECHNOLOGY INDUSTRY CO LTD A SHS ORD CNY1	-	5,000	-	-	5,000
SUNGROW POWER SUPPLY CO LTD CL A ORD CNY1	-	8,000	-	-	8,000
SUNING UNIVERSAL CO LTD A SHS ORD CNY1	-	17,500	-	-	17,500
SUNNY LOAN TOP CO LTD ORD CNY1 CC	-	7,500	-	(7,500)	-
SUNSHINE CITY GROUP CO LTD A SHRS ORD CNY1	-	10,000	-	-	10,000
SUNVIM GROUP CO LTD A SHRS ORD CNY1	-	12,500	-	(12,500)	-
SUREKAM CORP A ORD CNY1	-	3,100	-	-	3,100
SUZHOU ANJIE TECHNOLOGY CO LTD A SHR ORD CNY1	-	2,500	-	-	2,500
SUZHOU DONGSHAN PRECISION MANUFACTURING CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
SUZHOU NEW DISTRICT HI TECH ORD CNY1 CC	-	12,700	-	-	12,700
TAIYUAN HEAVY INDUSTRY CO LTD A ORD CNY1 CC	-	37,300	-	-	37,300

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
TANGSHAN JIDONG CEMENT CO LTD A SHR ORD CNY1	-	11,000	-	-	11,000
TANGSHAN PORT GROUP CO LTD ORD CNY1 CC	-	17,700	-	-	17,700
TANGSHAN SANYOU CHEMICAL I ORD CNY1 CC	-	20,000	-	-	20,000
TELLING TELECOMMUNICATION HOLDING CO LTD A SHS ORD CNY1	-	15,000	-	-	15,000
THAIHOT GROUP CO LTD A ORD CNY1	-	7,500	-	-	7,500
TIAN DI SCIENCE & TECHNOLO ORD CNY1 CC	-	12,500	-	(12,500)	-
TIANJIN BENEFO TEJING ELEC ORD CNY1 CC	-	5,000	-	-	5,000
TIANJIN CAPITAL ENVIRON ORD CNY1 CC	-	10,000	-	-	10,000
TIANJIN FAW XIALI AUTOMOBILE CO LTD A SHS ORD CNY1	-	10,000	-	(10,000)	-
TIANJIN JINBIN DEVELOPMENT CO LTD A SHS ORD CNY1	-	27,500	-	-	27,500
TIANJIN LISHENG PHARMACEUTICAL CO LTD A SHRS ORD CNY1	-	2,500	-	-	2,500
TIANJIN TEDA CO LTD A SHR ORD CNY1	-	22,500	-	-	22,500
TIANJIN TIANYAO PHARM ORD CNY1 CC	-	12,500	-	-	12,500
TIANJIN ZHONG XIN PHARM CO ORD CNY1 CC	-	5,000	-	-	5,000
TIANMA BEARING GROUP CO LTD A SHRS ORD CNY1	-	15,000	-	-	15,000
TIANMA MICROELECTRONICS CO LTD A SHS ORD CNY1	-	7,500	-	(7,500)	-
TIBET MINERAL DEVELOPMENT CO LTD SHS A ORD CNY1	-	7,500	-	-	7,500
TIBET URBAN DEVELOPMENT ORD CNY1 CC	-	7,300	-	-	7,300
TIELING NEWCITY INVESTMENT HLDG LTD A SHRS ORD CNY1	-	7,500	-	(7,500)	-

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
TIME PUBLISHING AND MEDIA ORD CNY1 CC	-	5,000	-	-	5,000
TONGFANG GUOXIN ELECTRONIC CO LTD A SHS ORD CNY1	-	4,900	-	-	4,900
TONGKUN GROUP CO LTD A SHR ORD NPV	-	5,065	-	-	5,065
TONGWEI CO LTD A SHRS ORD CNY1	-	9,800	-	(9,800)	-
TOP ENERGY CO LTD A SHS ORD CNY1 CC	-	10,000	-	-	10,000
UNITED ELECTRONICS CO LTD A SHRS ORD CNY1	-	3,300	-	-	3,300
V V FOOD & BEVERAGE CO ORD CNY1 CC	-	17,500	-	-	17,500
VISUAL CHINA GROUP CO LTD ORD CNY1	-	5,000	-	-	5,000
VTRON TECHNOLOGIES LTD A SHR ORD CNY1	-	10,000	-	-	10,000
WENFENG GREAT WORLD CHAIN DEV CORP ORD CNY1 CC	-	12,500	-	-	12,500
WOLONG ELECTRIC GROUP CO LTD A ORD CNY1	-	12,577	-	-	12,577
WONDERS INFORMATION CO LTD A SHRS ORD CNY1	-	7,500	7,500	(15,000)	-
WUHAN DEPARTMENT STORE GROUP CO LTD A CNY1	-	5,000	-	-	5,000
WUHAN FINGU ELECTRONIC TECHNOLOGY CO LTD A ORD CNY1	-	5,000	-	-	5,000
WUHAN KAIDI ELECTRIC POWER CO LTD A SHR ORD CNY1	-	17,300	-	-	17,300
WUHU TOKEN SCIENCE CO LTD A SHR ORD CNY1	-	7,000	-	-	7,000
WUS PRINTED CIRCUIT KUNSHAN CO A SHRS ORD CNY1	-	17,500	-	-	17,500
WUXI LITTLE SWAN CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000



**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
XIAMEN FARATRONIC CO LTD ORD CNY1 CC	-	2,500	-	-	2,500
XIAMEN INTL TRADE GROUP ORD CNY1 CC	-	25,000	-	-	25,000
XIAN LONGI SILICON MATERIALS ORD CNY1 CC	-	20,000	-	-	20,000
XI'AN SHAANGU POWER CO LTD ORD CNY1 CC	-	15,000	-	-	15,000
XIANDAI INVESTMENT CO LTD ORD CNY1	-	15,000	-	-	15,000
XIANGTAN ELECTRIC MANUFACTURING CO LTD A ORD CNY1 CC	-	9,200	-	-	9,200
XIANGXUE PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	9,750	-	-	9,750
XINGHUI AUTO MODEL CO LTD A SHR ORD CNY1	-	10,100	-	-	10,100
XINGJIANG QINGSONG BUILDIN ORD CNY1 CC	-	12,500	-	-	12,500
XINJIANG GUANNONG FRUIT & ANTLER CO LTD ORD CNY1	-	5,000	-	(5,000)	-
XINJIANG GUANNONG FRUIT & ORD CNY1 CC	-	5,400	4,600	-	10,000
XINJIANG TIANFU ENERGY CO ORD CNY1 CC	-	12,500	-	-	12,500
XINJIANG TIANSHAN CEMENT CO LTD A SHRS ORD CNY1	-	12,500	-	-	12,500
XINJIANG URBAN CONSTRUCTIO ORD CNY1 CC	-	12,500	-	-	12,500
XINJIANG YILITE INDUSTRY ORD CNY1 CC	-	5,000	-	(5,000)	-
XINJIANG ZHONGTAI CHEMICAL (GROUP) CO LTD A SHR ORD CNY1	-	20,000	-	-	20,000
YABAO PHARMACEUTICAL GROUP ORD CNY1 CC	-	12,500	-	-	12,500
YANTAI CHANGYU PIONEER WINE CO LTD A ORD CNY1	-	2,800	-	-	2,800
YANTAI SPANDEX CO LTD A SHR ORD CNY1	-	7,500	-	-	7,500
YECHIU METAL RECYCLING CHINA LTD A SHRS ORD CNY1	-	5,000	-	(5,000)	-
YELAND GROUP CO LTD A ORD CNY1	-	30,000	-	-	30,000

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015</b> <b>(date of inception)</b>	<b>Additions</b>	<b>Corporate</b> <b>action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
YGSOFT INC A SHS ORD CNY1	-	7,555	-	-	7,555
YINGKOU PORT GROUP CO LTD A SHRS ORD CNY1	-	1,000	-	(1,000)	-
YINGKOU PORT LIABILITY CO ORD CNY1 CC	-	41,300	-	(41,300)	-
YINTAI RESOURCES CO LTD A ORD CNY1	-	10,000	-	-	10,000
YOTRIO GROUP CO LTD A SHS ORD NPV	-	5,200	1,380	-	6,580
YOUR MART CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
YOUZU INTERACTIVE CO LTD ORD CNY1	-	2,500	-	(1,400)	1,100
YUAN LONGPIN HIGH-TECH AGRICULTURE CO LTD A ORD CNY1	-	15,000	-	-	15,000
YUNNAN LINCANG XINYUAN GERMANIUM INDUSTRIAL CO LTD A SHR ORD CNY1	-	10,000	-	-	10,000
YUNNAN METRO REAL ESTATE ORD CNY1 CC	-	12,500	-	(12,500)	-
ZHANGZHOU PIENZHEHUANG PHARM CO LTD A SHS ORD CNY1	-	4,100	-	-	4,100
ZHANGZIDAO GROUP CO LTD A SHR ORD CNY1	-	4,600	-	-	4,600
ZHEFU HOLDING GROUP CO LTD A ORD CNY1	-	22,500	-	-	22,500
ZHEJIANG AOKANG SHOES CO LTD A SHRS ORD CNY1	-	2,500	-	-	2,500
ZHEJIANG CHINA LIGHT & TEXTILE INDUSTRY CO LTD ORD CNY1 CC	-	11,500	3,450	-	14,950
ZHEJIANG CONBA PHARMACEUTICAL CO LTD A SHR ORD CNY1	-	10,300	-	-	10,300
ZHEJIANG CRYSTAL-OPTECH CO LTD ORD CNY1	-	7,500	-	-	7,500
ZHEJIANG DA DIAGNOSTICS CO LTD SHRS A ORD CNY1	-	3,300	-	-	3,300
ZHEJIANG DUN'AN ARTIFICIAL ENVIRONMENT CO LTD A SHS ORD CNY1	-	10,000	-	-	10,000
ZHEJIANG FUCHUNJIANG HYDROPOWER ORD CNY1	-	7,300	-	-	7,300

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	Holdings				30 June 2015
	18 May 2015 (date of inception)	Additions	Corporate action	Disposals	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
ZHEJIANG GUYUELONGSHAN SHA ORD CNY1 CC	-	10,000	-	-	10,000
ZHEJIANG HAIYUE CO LTD ORD CNY1 CC	-	6,900	-	-	6,900
ZHEJIANG HISUN PHARMACEUTICAL CO LTD A SHS ORD CNY1	-	9,800	-	-	9,800
ZHEJIANG HUAFENG SPANDEX CO LTD A SHS ORD CNY1	-	10,600	9,200	-	19,800
ZHEJIANG HUAHAI PHARMACEUT ORD CNY1 CC	-	9,200	-	-	9,200
ZHEJIANG JUHUA CO ORD CNY1 CC	-	20,000	-	-	20,000
ZHEJIANG MATERIAL INDUSTRI ORD CNY1 CC	-	12,500	-	-	12,500
ZHEJIANG MEDICINE CO LTD CL A ORD CNY1	-	15,052	-	-	15,052
ZHEJIANG MING JEWELRY CO LTD A SHRS ORD CNY1	-	5,000	-	-	5,000
ZHEJIANG ORIENT HLDGS CO ORD CNY1 CC	-	7,500	-	-	7,500
ZHEJIANG RUNTU CO LTD A SHS ORD CNY1	-	7,500	-	-	7,500
ZHEJIANG SANHUA CO LTD A SHRS ORD CNY1	-	16,100	-	-	16,100
ZHEJIANG SATELLITE PETROCHEMICAL CO LTD A SHR ORD CNY1	-	4,600	-	-	4,600
ZHEJIANG WANMA CO LTD A SHRS ORD CNY1	-	10,000	-	-	10,000
ZHEJIANG XIANJU PHARMACEUTICAL CO A SHRS ORD CNY1	-	7,500	-	-	7,500
ZHEJIANG XINAN CHEMICAL A SHR ORD CNY1	-	12,599	-	-	12,599
ZHEJIANG YANKON GROUP CO L ORD CNY1 CC	-	12,900	5,750	-	18,650
ZHENGZHOU COAL MINING MACH ORD CNY1 CC	-	17,500	-	-	17,500
ZHONGHONG HOLDING CO LTD A SHRS A ORD CNY1	-	23,000	13,800	-	36,800

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (Unaudited) (continued)**  
*For the period ended 30 June 2015*

**Harvest CSI Smallcap 500 Index ETF**

	<b>Holdings</b>				<b>30 June 2015</b>
	<b>18 May 2015 (date of inception)</b>	<b>Additions</b>	<b>Corporate action</b>	<b>Disposals</b>	
<b>Investments (continued)</b>					
<b>Listed equities (continued)</b>					
ZHONGLI SCIENCE AND TECHNOLOGY GROUP CO LTD A SHR ORD CNY1	-	5,000	-	-	5,000
ZHONGSHAN BROAD OCEAN MOTOR CO A SHRS ORD CNY1	-	10,400	-	-	10,400
ZHONGSHAN PUBLIC UTILITIES GROUP CO LTD CL A ORD CNY1	-	7,500	-	-	7,500
ZHONGTIAN URBAN DEVELOPMENT GROUP CO LTD A SHRS ORD CNY1	-	37,500	-	-	37,500
ZHUHAI HOKAI MEDICAL INSTRUMENT CO LTD A SHS ORD CNY1	-	7,700	2,070	-	9,770
ZHUZHOU TIMES NEW MATERIAL ORD CNY1 CC	-	10,000	-	-	10,000
ZIBO QIXIANG TENGDA CHEMICAL CO LTD A SHS ORD CNY1	-	5,200	901	-	6,101

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**PERFORMANCE RECORD (Unaudited)**

**Net Asset Values**

	Net asset value of the Sub-Fund <i>RMB</i>	Net asset value per unit <i>RMB</i>
At the end of financial period dated		
30 June 2015	98,335,415	7.87

**Highest and Lowest Net Asset Value Per Unit**

	Highest net asset value per unit <i>RMB</i>	Lowest net asset value per unit <i>RMB</i>
Financial period ended		
30 June 2015 (Since inception)	10.27	7.48

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**UNDERLYING INDEX CONSTITUENT STOCKS DISCLOSURE (Unaudited)**

*As at 30 June 2015*

The underlying index, namely, CSI Smallcap 500 Index for Harvest CSI Smallcap 500 Index ETF, did not have any constituent stock that individually accounted for more than 10% of the respective index as at 30 June 2015.

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**REPORT ON INVESTMENT OVERWEIGHT (Unaudited)**

*For the period from 1 January 2015 to 30 June 2015*

Pursuant to the SFC's Guidelines for Regulating Index Tracking Exchange Trade Funds (the "ETF Guidelines"), the Sub-Fund' holding of any such constituent securities may not exceed their respective weightings in the underlying index, except where the weightings are exceeded as a result of changes in the composition of their respective underlying index and the excess is only transitional and temporary in nature.

The Manager and the Trustee have confirmed that the Sub-Fund has complied with this limit during the period from 1 January 2015 to 30 June 2015.

**HARVEST CSI SMALLCAP 500 INDEX ETF**  
**(A SUB-FUND OF THE HARVEST FUNDS (HONG KONG) ETF)**

**MANAGEMENT AND ADMINISTRATION**

**Manager and RQFII Holder**

Harvest Global Investments Limited  
31/F, One Exchange Square  
8 Connaught Place Central  
Hong Kong

**Directors of the Manager**

Zhao Xuejun  
Choy Peng Wah  
Li Ming (appointed on 29 January 2015)  
Wang Wei

**Trustee and Registrar**

HSBC Institutional Trust Services (Asia) Limited  
1 Queen's Road Central  
Hong Kong

**Legal Adviser to the Manager**

Simmons & Simmons  
13/F, One Pacific Place  
88 Queensway  
Hong Kong

**Adviser**

Harvest Fund Management Co., Ltd.  
16/F, China Resources Building  
No. 8, Jianguomen, Beidajie  
Beijing 100005  
China

**Auditors**

PricewaterhouseCoopers  
21/F, Edinburgh Tower  
15 Queen's Road  
Central  
Hong Kong

**Custodian**

The Hongkong and Shanghai Banking Corporation  
Limited  
1 Queen's Road Central  
Hong Kong

**Participating Dealers**

China Merchants Securities (HK) Co., Ltd.  
48/F One Exchange Square  
Central  
Hong Kong

**PRC Custodian**

HSBC Bank (China) Company Limited  
33/F, HSBC Building  
Shanghai ifc, 8 Century Avenue,  
Pudong, Shanghai 200120, China

China International Capital Corporation  
Hong Kong Securities Limited  
29/F, One International Finance Centre  
1 Harbour View Street  
Central  
Hong Kong

**Service Agent**

HK Conversion Agency Services Limited  
2/F, Infinitus Plaza  
199 Des Voeux Road Central  
Hong Kong

Goldman Sachs (Asia) Securities Limited  
68/F, Cheung Kong Center  
2 Queen's Road Central  
Hong Kong

**Listing Agent**

Altus Capital Limited  
21 Wing Wo Street  
Central  
Hong Kong

CITIC Securities Brokerage (HK) Limited  
26/F CITIC Tower  
1 Tim Mei Avenue  
Central  
Hong Kong