

香港交易及結算所有限公司及香港聯合交易所有限公司對本文件的內容概不負責，對其準確性或完整性亦不發表任何聲明，並明確表示，概不對因本文件全部或任何部份內容而產生或因倚賴該等內容而引致的任何損失承擔任何責任。



HSBC Holdings plc
滙豐控股有限公司

海外監管公告

所附的公告已經在HSBC Holdings plc滙豐控股有限公司上市的其他證券交易所發布。

於本公布發表之日，滙豐控股有限公司董事會成員包括： 范智廉、歐智華、安銘[†]、祈嘉蓮[†]、史美倫[†]、卡斯特[†]、埃文斯勳爵[†]、費卓成[†]、李德麟[†]、利蘊蓮[†]、利普斯基[†]、駱美思[†]、麥榮恩、苗凱婷[†]、繆思成、聶德偉[†]、施俊仁[†]、戴國良[†]、梅爾莫[†]及華爾士[†]。

[†] 獨立非執行董事

香港股份代號：5

滙豐控股有限公司

2016年10月14日

本身股份之交易

滙豐控股有限公司（「本公司」）宣布，已於倫敦證券交易所向 Goldman Sachs International（「Goldman Sachs」）購入下列數量每股 0.5 美元之普通股，作為 2016 年 8 月 4 日所公布股份回購之一部分。

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| 購買日期： | 2016年10月14日 |
| 所購入每股 0.5 美元普通股之數量： | 2,875,840 |
| 每股股份所支付之最高價格： | £6.2180 |
| 每股股份所支付之最低價格： | £6.1400 |
| 每股股份所支付之成交量加權平均價： | £6.1879 |

購入該等股份後，本公司以庫存方式持有 155,327,452 股本身之普通股，而已發行普通股（不計庫存股份）之數量為 19,910,381,835 股。因此，於滙豐控股有限公司之總投票權為 19,910,381,835。投票權總數可供股東用作計算之分母，以釐定是否需要根據金融業操守監管局之《披露規則及透明度規則》，就於本公司之權益或權益變動發出通知。

根據歐盟條例第 596/2014 號第 5(1)(b)條（濫用市場條例），Goldman Sachs 所作之個別交易清單如下。

購買清單一個別交易

| 時間 | 價格 | 數量 |
|----------|--------|-------|
| 08:01:09 | 614.50 | 2,318 |
| 08:01:14 | 614.30 | 1,236 |
| 08:01:18 | 614.10 | 1,917 |
| 08:01:22 | 614.50 | 1,716 |
| 08:01:40 | 614.20 | 4,614 |
| 08:02:39 | 615.50 | 431 |
| 08:02:39 | 615.50 | 3,948 |
| 08:02:42 | 615.20 | 1,642 |
| 08:02:42 | 615.20 | 3,758 |
| 08:02:55 | 615.10 | 650 |
| 08:02:55 | 615.10 | 300 |
| 08:02:55 | 615.10 | 535 |
| 08:02:55 | 615.10 | 3,680 |
| 08:02:55 | 615.10 | 1,621 |
| 08:02:55 | 615.10 | 4,369 |
| 08:02:58 | 614.60 | 1,750 |
| 08:03:48 | 614.50 | 8,918 |
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| 08:04:21 | 614.70 | 1,380 |
| 08:04:21 | 614.70 | 1,615 |
| 08:04:21 | 614.70 | 1,300 |
| 08:04:24 | 614.70 | 5,547 |
| 08:04:26 | 614.60 | 3,383 |
| 08:04:26 | 614.60 | 411 |
| 08:04:30 | 614.30 | 1,864 |
| 08:04:40 | 614.00 | 214 |

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| 08:04:41 | 614.00 | 1,324 |
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| 08:04:56 | 614.00 | 1,837 |
| 08:06:13 | 615.50 | 510 |
| 08:06:15 | 615.50 | 510 |
| 08:06:17 | 615.50 | 510 |
| 08:06:18 | 615.30 | 510 |
| 08:06:18 | 615.40 | 92 |
| 08:06:18 | 615.40 | 3,548 |
| 08:06:18 | 615.40 | 2,000 |
| 08:06:18 | 615.40 | 133 |
| 08:06:18 | 615.40 | 800 |
| 08:06:18 | 615.40 | 546 |
| 08:06:19 | 615.30 | 510 |
| 08:06:22 | 615.30 | 2,000 |
| 08:06:22 | 615.30 | 510 |
| 08:06:22 | 615.30 | 1,000 |
| 08:06:22 | 615.30 | 130 |
| 08:06:23 | 615.30 | 510 |
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| 08:06:31 | 615.40 | 510 |
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| 08:06:32 | 615.40 | 510 |
| 08:06:33 | 615.40 | 510 |
| 08:06:34 | 615.40 | 510 |
| 08:06:36 | 615.40 | 510 |
| 08:06:37 | 615.40 | 510 |
| 08:06:37 | 615.40 | 2,000 |
| 08:06:39 | 615.40 | 510 |
| 08:06:39 | 615.40 | 1,500 |
| 08:06:40 | 615.40 | 510 |
| 08:06:45 | 615.30 | 96 |
| 08:06:45 | 615.30 | 647 |
| 08:06:45 | 615.30 | 1,700 |
| 08:06:45 | 615.30 | 1,924 |
| 08:06:45 | 615.30 | 510 |
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| 08:06:45 | 615.30 | 1,000 |
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| 08:06:50 | 615.30 | 700 |
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| 08:06:51 | 615.30 | 1,563 |
| 08:06:56 | 615.20 | 610 |

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| 08:06:56 | 615.20 | 971 |
| 08:06:56 | 615.20 | 510 |
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| 08:06:56 | 615.20 | 183 |
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| 08:07:21 | 615.50 | 256 |
| 08:07:21 | 615.50 | 3,833 |
| 08:07:21 | 615.50 | 2,000 |
| 08:07:21 | 615.50 | 647 |
| 08:07:21 | 615.50 | 1,151 |
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| 08:08:27 | 615.90 | 578 |
| 08:08:29 | 615.80 | 515 |
| 08:08:29 | 615.80 | 54 |
| 08:08:29 | 615.90 | 2,000 |
| 08:08:30 | 615.80 | 4,754 |
| 08:09:03 | 616.00 | 5,000 |
| 08:09:03 | 616.00 | 14,150 |
| 08:09:25 | 615.80 | 5,762 |
| 08:09:25 | 615.90 | 2,000 |
| 08:09:27 | 616.00 | 971 |
| 08:09:27 | 616.00 | 837 |
| 08:09:28 | 616.00 | 1,163 |
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| 08:09:43 | 615.80 | 2,746 |
| 08:09:48 | 615.70 | 1,820 |
| 08:09:48 | 615.70 | 2,460 |
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| 08:10:06 | 615.80 | 2,562 |
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| 08:10:20 | 615.40 | 1,668 |
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| 08:10:28 | 615.40 | 1,310 |
| 08:10:43 | 615.80 | 1,164 |
| 08:10:43 | 615.80 | 1,892 |
| 08:10:43 | 615.80 | 249 |
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| 08:10:43 | 615.80 | 456 |
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| 08:11:04 | 615.70 | 2,911 |
| 08:11:21 | 615.80 | 2,000 |
| 08:11:21 | 615.80 | 710 |
| 08:11:21 | 615.80 | 710 |
| 08:11:22 | 615.80 | 627 |
| 08:11:22 | 615.80 | 710 |

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| 08:11:22 | 615.80 | 1,409 |
| 08:11:23 | 615.70 | 3,347 |
| 08:11:36 | 615.80 | 254 |
| 08:11:36 | 615.80 | 710 |
| 08:11:36 | 615.80 | 280 |
| 08:11:41 | 615.80 | 231 |
| 08:11:41 | 615.80 | 710 |
| 08:11:41 | 615.80 | 459 |
| 08:11:43 | 615.70 | 1,221 |
| 08:11:50 | 615.90 | 2,000 |
| 08:12:03 | 616.00 | 2,264 |
| 08:12:03 | 616.00 | 273 |
| 08:12:03 | 616.00 | 1,306 |
| 08:12:10 | 615.80 | 4,116 |
| 08:12:10 | 615.80 | 2,000 |
| 08:12:10 | 615.80 | 457 |
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| 08:12:35 | 615.90 | 670 |
| 08:12:35 | 615.90 | 1,197 |
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| 08:13:06 | 616.00 | 1,191 |
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| 08:13:10 | 616.00 | 1,240 |
| 08:13:14 | 616.00 | 1,241 |
| 08:13:18 | 616.00 | 1,240 |
| 08:13:23 | 616.00 | 1,240 |
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| 08:13:55 | 616.20 | 647 |
| 08:13:56 | 616.20 | 103 |
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| 08:13:58 | 616.20 | 647 |
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| 08:14:09 | 616.20 | 1,638 |

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| 08:14:28 | 616.30 | 515 |
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| 08:14:33 | 616.20 | 890 |
| 08:14:33 | 616.20 | 979 |
| 08:14:33 | 616.20 | 202 |
| 08:14:36 | 616.20 | 800 |
| 08:14:36 | 616.20 | 436 |
| 08:14:40 | 616.20 | 454 |
| 08:14:40 | 616.20 | 782 |
| 08:14:42 | 616.30 | 890 |
| 08:14:42 | 616.30 | 360 |
| 08:14:52 | 616.40 | 2,000 |
| 08:14:54 | 616.40 | 1,958 |
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| 08:15:25 | 616.50 | 1,768 |
| 08:15:25 | 616.50 | 2,870 |
| 08:15:31 | 616.60 | 2,000 |
| 08:15:31 | 616.60 | 876 |
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| 08:15:47 | 616.80 | 580 |
| 08:15:48 | 616.80 | 580 |
| 08:15:48 | 616.80 | 866 |
| 08:15:51 | 616.80 | 1,263 |
| 08:15:54 | 616.80 | 737 |
| 08:15:54 | 616.80 | 505 |
| 08:16:04 | 617.00 | 1,560 |
| 08:16:08 | 617.00 | 1,412 |
| 08:16:22 | 617.40 | 650 |
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| 08:16:24 | 617.30 | 167 |
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| 08:16:27 | 617.30 | 1,420 |
| 08:16:30 | 617.30 | 754 |
| 08:16:30 | 617.30 | 689 |
| 08:16:32 | 617.30 | 1,200 |
| 08:16:50 | 617.50 | 1,259 |
| 08:17:00 | 617.40 | 1,316 |
| 08:17:00 | 617.40 | 910 |
| 08:17:01 | 617.40 | 3,344 |
| 08:17:03 | 617.30 | 1,095 |
| 08:17:03 | 617.30 | 1,872 |
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| 08:17:21 | 617.20 | 2,147 |

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| 08:17:52 | 617.60 | 162 |
| 08:18:11 | 617.70 | 2,764 |
| 08:18:19 | 617.60 | 2,358 |
| 08:18:24 | 617.30 | 721 |
| 08:19:06 | 617.70 | 519 |
| 08:19:06 | 617.70 | 750 |
| 08:19:06 | 617.70 | 2,885 |
| 08:19:06 | 617.70 | 1,655 |
| 08:19:28 | 617.70 | 156 |
| 08:19:28 | 617.70 | 368 |
| 08:19:28 | 617.70 | 400 |
| 08:19:28 | 617.70 | 576 |
| 08:19:28 | 617.70 | 416 |
| 08:19:28 | 617.70 | 590 |
| 08:19:32 | 617.70 | 1,897 |
| 08:19:35 | 617.60 | 81 |
| 08:19:35 | 617.60 | 11 |
| 08:19:53 | 617.50 | 502 |
| 08:19:53 | 617.50 | 696 |
| 08:19:53 | 617.60 | 1,613 |
| 08:20:02 | 617.70 | 650 |
| 08:20:03 | 617.70 | 650 |
| 08:20:12 | 617.80 | 1,592 |
| 08:20:18 | 617.80 | 228 |
| 08:20:18 | 617.80 | 192 |
| 08:20:18 | 617.80 | 4,037 |
| 08:20:18 | 617.80 | 2,000 |
| 08:20:18 | 617.80 | 522 |
| 08:20:34 | 617.80 | 1,778 |
| 08:20:49 | 617.60 | 1,290 |
| 08:20:49 | 617.60 | 1,388 |
| 08:20:57 | 617.50 | 1,536 |
| 08:21:40 | 617.70 | 180 |
| 08:21:40 | 617.70 | 14 |
| 08:21:40 | 617.70 | 482 |
| 08:21:40 | 617.70 | 151 |
| 08:21:41 | 617.70 | 47 |
| 08:21:41 | 617.70 | 2,629 |
| 08:21:51 | 617.60 | 158 |
| 08:21:57 | 617.60 | 1,196 |
| 08:22:12 | 617.80 | 277 |
| 08:22:12 | 617.80 | 2,425 |
| 08:22:39 | 617.70 | 1,474 |
| 08:22:39 | 617.80 | 1,990 |
| 08:22:43 | 617.70 | 79 |
| 08:22:43 | 617.70 | 1,108 |
| 08:23:07 | 617.60 | 444 |
| 08:23:07 | 617.60 | 954 |

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| 08:23:34 | 617.50 | 1,334 |
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| 08:24:04 | 617.30 | 156 |
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| 08:24:04 | 617.30 | 127 |
| 08:24:05 | 617.30 | 644 |
| 08:24:05 | 617.30 | 586 |
| 08:24:05 | 617.30 | 1,656 |
| 08:24:36 | 617.30 | 268 |
| 08:24:36 | 617.30 | 1,930 |
| 08:24:36 | 617.30 | 171 |
| 08:24:51 | 617.20 | 20 |
| 08:24:51 | 617.20 | 94 |
| 08:24:51 | 617.20 | 849 |
| 08:24:59 | 617.30 | 312 |
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| 08:26:00 | 617.60 | 859 |
| 08:26:00 | 617.60 | 623 |
| 08:26:07 | 617.50 | 4,732 |
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| 08:26:45 | 617.50 | 3,716 |
| 08:26:45 | 617.50 | 750 |
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| 08:26:56 | 617.30 | 2,070 |
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| 08:27:18 | 617.30 | 2,157 |
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| 08:27:38 | 617.30 | 770 |
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| 08:27:38 | 617.30 | 62 |
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| 08:28:36 | 617.20 | 1,235 |
| 08:28:58 | 617.30 | 252 |
| 08:28:58 | 617.30 | 448 |
| 08:28:58 | 617.30 | 77 |
| 08:28:58 | 617.30 | 753 |
| 08:30:44 | 617.50 | 73 |
| 08:30:44 | 617.50 | 4,433 |
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| 08:31:50 | 617.30 | 287 |
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| 08:31:53 | 617.30 | 1,941 |
| 08:32:16 | 617.20 | 4,755 |
| 08:32:30 | 617.30 | 3,986 |
| 08:32:51 | 617.20 | 1,494 |
| 08:33:47 | 617.30 | 5,481 |
| 08:33:47 | 617.30 | 401 |
| 08:33:47 | 617.30 | 2,000 |
| 08:33:47 | 617.30 | 2,543 |
| 08:34:21 | 617.40 | 368 |
| 08:34:22 | 617.40 | 4,247 |
| 08:34:25 | 617.40 | 10 |
| 08:34:25 | 617.40 | 486 |
| 08:34:25 | 617.40 | 1,191 |
| 08:34:50 | 617.20 | 1,478 |
| 08:34:53 | 617.10 | 1,763 |
| 08:35:19 | 617.10 | 2,837 |
| 08:36:08 | 617.20 | 4,745 |
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| 08:36:08 | 617.20 | 1,000 |
| 08:36:08 | 617.20 | 378 |
| 08:36:08 | 617.20 | 61 |
| 08:36:47 | 617.30 | 1,741 |
| 08:37:17 | 617.40 | 3,556 |
| 08:37:17 | 617.40 | 1,512 |
| 08:38:16 | 617.50 | 1,700 |
| 08:38:16 | 617.50 | 244 |
| 08:38:44 | 617.60 | 1,588 |
| 08:38:48 | 617.60 | 1,983 |
| 08:39:26 | 617.90 | 1,209 |
| 08:39:31 | 617.90 | 76 |
| 08:39:31 | 617.90 | 221 |
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| 08:39:31 | 617.90 | 707 |
| 08:39:38 | 617.90 | 82 |
| 08:39:38 | 617.90 | 1,381 |
| 08:40:10 | 618.10 | 1,015 |
| 08:40:10 | 618.10 | 386 |
| 08:40:25 | 618.20 | 1,200 |
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| 08:40:40 | 618.20 | 174 |
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| 08:41:55 | 617.90 | 604 |
| 08:42:15 | 617.90 | 352 |

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| 08:42:15 | 617.90 | 864 |
| 08:43:27 | 617.80 | 200 |
| 08:43:29 | 617.80 | 2,267 |
| 08:43:43 | 617.70 | 1,365 |
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| 08:49:32 | 617.90 | 363 |
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| 08:50:54 | 618.30 | 120 |

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| 11:09:32 | 618.10 | 1,259 |
| 11:09:44 | 617.90 | 567 |
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| 11:12:39 | 618.40 | 1,145 |

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| 11:32:53 | 618.10 | 1,400 |

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| 12:02:58 | 620.00 | 1,121 |

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| 12:04:42 | 620.00 | 1,628 |
| 12:04:42 | 620.00 | 81 |
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| 12:05:06 | 619.90 | 1,230 |
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| 12:24:46 | 619.80 | 49 |
| 12:24:46 | 619.80 | 2,000 |
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| 12:29:28 | 619.90 | 218 |
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| 12:32:27 | 619.90 | 400 |
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| 12:38:38 | 620.10 | 1,727 |
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| 12:39:24 | 620.00 | 554 |
| 12:39:24 | 620.00 | 61 |
| 12:39:24 | 620.00 | 43 |
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| 12:43:14 | 619.80 | 1,056 |
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| 12:43:49 | 619.50 | 1,060 |
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| 12:47:50 | 619.30 | 259 |
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| 12:48:21 | 619.40 | 517 |
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| 13:02:22 | 618.50 | 4,288 |
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| 13:07:55 | 618.50 | 360 |
| 13:08:42 | 618.30 | 1,269 |
| 13:08:42 | 618.30 | 456 |
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| 13:21:21 | 619.00 | 482 |
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| 13:32:59 | 620.30 | 421 |
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| 13:34:04 | 619.90 | 110 |
| 13:34:04 | 619.90 | 223 |
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| 13:39:14 | 619.10 | 1,241 |
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