

香港交易及結算所有限公司及香港聯合交易所有限公司對本文件的內容概不負責，對其準確性或完整性亦不發表任何聲明，並明確表示，概不對因本文件全部或任何部份內容而產生或因倚賴該等內容而引致的任何損失承擔任何責任。



**HSBC Holdings plc**  
**滙豐控股有限公司**

## 海外監管公告

所附的公告已經在HSBC Holdings plc滙豐控股有限公司上市的其他證券交易所發布。

於本公布發表之日，滙豐控股有限公司董事會成員包括： 范智廉、歐智華、安銘<sup>†</sup>、祈嘉蓮<sup>†</sup>、史美倫<sup>†</sup>、卡斯特<sup>†</sup>、埃文斯勳爵<sup>†</sup>、費卓成<sup>†</sup>、李德麟<sup>†</sup>、利蘊蓮<sup>†</sup>、利普斯基<sup>†</sup>、駱美思<sup>†</sup>、麥榮恩、苗凱婷<sup>†</sup>、繆思成、聶德偉<sup>†</sup>、施俊仁<sup>†</sup>、戴國良<sup>†</sup>、梅爾莫<sup>†</sup>及華爾士<sup>†</sup>。

<sup>†</sup> 獨立非執行董事

香港股份代號： 5

滙豐控股有限公司

2016年10月17日

本身股份之交易

滙豐控股有限公司（「本公司」）宣布，已於倫敦證券交易所向 Goldman Sachs International（「Goldman Sachs」）購入下列數量每股 0.5 美元之普通股，作為 2016 年 8 月 4 日所公布股份回購之一部分。

|                     |             |
|---------------------|-------------|
| 購買日期：               | 2016年10月17日 |
| 所購入每股 0.5 美元普通股之數量： | 2,846,703   |
| 每股股份所支付之最高價格：       | £6.1790     |
| 每股股份所支付之最低價格：       | £6.1290     |
| 每股股份所支付之成交量加權平均價：   | £6.1523     |

購入該等股份後，本公司以庫存方式持有 158,174,155 股本身之普通股，而已發行普通股（不計庫存股份）之數量為 19,907,563,684 股。因此，於滙豐控股有限公司之總投票權為 19,907,563,684。投票權總數可供股東用作計算之分母，以釐定是否需要根據金融業操守監管局之《披露規則及透明度規則》，就於本公司之權益或權益變動發出通知。

根據歐盟條例第 596/2014 號第 5(1)(b)條（濫用市場條例），Goldman Sachs 所作之個別交易清單如下。

購買清單一個別交易

| 時間       | 價格     | 數量    |
|----------|--------|-------|
| 08:01:11 | 614.70 | 672   |
| 08:01:11 | 614.70 | 2,573 |
| 08:01:14 | 614.20 | 144   |
| 08:01:15 | 614.20 | 130   |
| 08:01:17 | 614.10 | 79    |
| 08:02:00 | 615.50 | 313   |
| 08:02:00 | 615.70 | 1,350 |
| 08:02:01 | 615.50 | 96    |
| 08:02:01 | 615.50 | 25    |
| 08:02:01 | 615.50 | 761   |
| 08:02:02 | 615.40 | 34    |
| 08:02:02 | 615.40 | 1,331 |
| 08:03:46 | 615.60 | 1,375 |
| 08:04:32 | 615.80 | 3,045 |
| 08:04:51 | 615.80 | 854   |
| 08:04:51 | 615.80 | 386   |
| 08:04:51 | 615.80 | 2,078 |
| 08:05:33 | 615.00 | 2,908 |
| 08:05:40 | 615.00 | 1,301 |
| 08:05:55 | 615.20 | 163   |
| 08:05:55 | 615.20 | 1,225 |
| 08:06:38 | 615.10 | 4,559 |
| 08:06:38 | 615.10 | 1,980 |
| 08:06:44 | 614.90 | 1,420 |
| 08:06:44 | 614.90 | 2,416 |
| 08:06:44 | 614.90 | 77    |

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| 08:06:45 | 614.70 | 1,349 |
| 08:06:45 | 614.70 | 320   |
| 08:06:45 | 614.80 | 493   |
| 08:06:49 | 614.90 | 1,196 |
| 08:06:49 | 614.90 | 1,281 |
| 08:07:00 | 614.80 | 393   |
| 08:07:12 | 615.00 | 1,760 |
| 08:07:25 | 614.80 | 1,748 |
| 08:07:34 | 615.00 | 1,402 |
| 08:07:34 | 615.00 | 517   |
| 08:07:37 | 615.10 | 1,547 |
| 08:07:43 | 615.00 | 1,460 |
| 08:07:54 | 614.90 | 3,338 |
| 08:07:54 | 615.00 | 510   |
| 08:07:54 | 615.00 | 1,402 |
| 08:07:54 | 615.00 | 233   |
| 08:08:03 | 615.10 | 3,749 |
| 08:08:08 | 615.10 | 2,087 |
| 08:08:23 | 614.90 | 2,036 |
| 08:08:45 | 615.00 | 851   |
| 08:08:45 | 615.00 | 1,594 |
| 08:08:45 | 615.10 | 3,820 |
| 08:08:45 | 615.10 | 1,725 |
| 08:08:57 | 615.10 | 114   |
| 08:08:58 | 615.10 | 1,268 |
| 08:08:58 | 615.10 | 3,330 |
| 08:09:02 | 614.90 | 1,300 |
| 08:09:07 | 614.90 | 1,640 |
| 08:09:25 | 615.00 | 1,700 |
| 08:09:25 | 615.00 | 1,000 |
| 08:09:25 | 615.00 | 251   |
| 08:09:26 | 615.00 | 1,000 |
| 08:09:37 | 615.00 | 93    |
| 08:09:37 | 615.00 | 1,059 |
| 08:09:37 | 615.00 | 3,008 |
| 08:09:37 | 615.00 | 1,210 |
| 08:09:53 | 614.90 | 1,616 |
| 08:10:05 | 614.80 | 1,177 |
| 08:10:30 | 615.20 | 2,120 |
| 08:10:30 | 615.20 | 2,000 |
| 08:10:30 | 615.20 | 275   |
| 08:10:31 | 615.00 | 1,522 |
| 08:10:31 | 615.00 | 1,250 |
| 08:10:38 | 614.60 | 1,524 |
| 08:11:00 | 615.00 | 2,225 |
| 08:11:00 | 615.00 | 1,023 |
| 08:11:30 | 615.00 | 1,301 |
| 08:11:40 | 615.20 | 1,247 |
| 08:11:45 | 615.00 | 1,820 |
| 08:11:45 | 615.10 | 2,500 |

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| 08:12:00 | 614.90 | 1,280 |
| 08:12:20 | 614.70 | 1,211 |
| 08:12:20 | 614.70 | 261   |
| 08:12:20 | 614.70 | 972   |
| 08:12:30 | 614.70 | 1,390 |
| 08:12:41 | 614.80 | 1,265 |
| 08:12:41 | 614.80 | 1,213 |
| 08:12:59 | 614.90 | 3,356 |
| 08:13:31 | 614.80 | 4,047 |
| 08:13:32 | 614.80 | 1,711 |
| 08:13:40 | 614.90 | 585   |
| 08:13:40 | 614.90 | 1,523 |
| 08:13:40 | 614.90 | 434   |
| 08:13:56 | 614.90 | 1,983 |
| 08:14:48 | 615.00 | 1,347 |
| 08:14:51 | 614.90 | 4,222 |
| 08:14:51 | 614.90 | 1,430 |
| 08:15:06 | 615.00 | 141   |
| 08:15:06 | 615.00 | 1,214 |
| 08:15:06 | 615.00 | 118   |
| 08:15:21 | 614.90 | 2,967 |
| 08:15:33 | 614.60 | 279   |
| 08:15:33 | 614.60 | 174   |
| 08:15:33 | 614.60 | 559   |
| 08:15:33 | 614.60 | 36    |
| 08:15:33 | 614.60 | 17    |
| 08:15:33 | 614.60 | 64    |
| 08:15:33 | 614.60 | 36    |
| 08:15:51 | 614.80 | 2,831 |
| 08:16:01 | 614.70 | 1,621 |
| 08:16:11 | 614.90 | 1,206 |
| 08:16:12 | 614.90 | 2,000 |
| 08:16:12 | 614.90 | 184   |
| 08:16:17 | 614.70 | 1,100 |
| 08:16:21 | 614.70 | 1,437 |
| 08:16:41 | 614.70 | 3,157 |
| 08:17:03 | 614.50 | 261   |
| 08:17:03 | 614.60 | 2,186 |
| 08:17:03 | 614.60 | 1,248 |
| 08:17:03 | 614.60 | 1,183 |
| 08:17:04 | 614.50 | 69    |
| 08:17:04 | 614.50 | 1,595 |
| 08:17:12 | 614.50 | 1,229 |
| 08:17:21 | 614.40 | 1,498 |
| 08:17:22 | 614.50 | 2,000 |
| 08:17:23 | 614.50 | 1,529 |
| 08:17:23 | 614.50 | 1,333 |
| 08:17:35 | 614.40 | 186   |
| 08:17:35 | 614.40 | 1,069 |

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| 08:17:48 | 614.40 | 3,023 |
| 08:17:51 | 614.40 | 1,755 |
| 08:17:53 | 614.30 | 2,516 |
| 08:17:53 | 614.30 | 584   |
| 08:17:53 | 614.30 | 634   |
| 08:18:51 | 614.30 | 3,075 |
| 08:18:51 | 614.30 | 1,269 |
| 08:18:55 | 614.30 | 1,525 |
| 08:18:55 | 614.30 | 396   |
| 08:19:18 | 614.60 | 317   |
| 08:19:18 | 614.60 | 1,175 |
| 08:19:18 | 614.60 | 543   |
| 08:19:18 | 614.60 | 1,918 |
| 08:19:31 | 614.80 | 2,000 |
| 08:19:33 | 614.80 | 1,000 |
| 08:19:34 | 614.80 | 162   |
| 08:19:51 | 614.80 | 1,427 |
| 08:19:51 | 614.80 | 4,205 |
| 08:19:57 | 614.60 | 1,675 |
| 08:19:57 | 614.70 | 1,356 |
| 08:20:00 | 614.50 | 1,646 |
| 08:20:21 | 614.80 | 1,327 |
| 08:20:21 | 614.80 | 1,992 |
| 08:20:29 | 614.80 | 3,780 |
| 08:20:44 | 614.80 | 554   |
| 08:20:44 | 614.80 | 662   |
| 08:20:50 | 614.80 | 200   |
| 08:20:50 | 614.80 | 1,144 |
| 08:20:52 | 614.70 | 1,276 |
| 08:20:52 | 614.70 | 3,508 |
| 08:21:08 | 614.70 | 1,174 |
| 08:21:08 | 614.70 | 2,000 |
| 08:21:19 | 614.80 | 1,283 |
| 08:21:19 | 614.80 | 1,361 |
| 08:21:19 | 614.80 | 1,283 |
| 08:21:38 | 614.80 | 616   |
| 08:21:38 | 614.80 | 2,426 |
| 08:21:42 | 614.80 | 1,813 |
| 08:22:04 | 615.00 | 2,000 |
| 08:22:07 | 615.00 | 4,687 |
| 08:22:07 | 615.00 | 60    |
| 08:22:08 | 614.90 | 2,626 |
| 08:22:36 | 615.00 | 2,665 |
| 08:22:57 | 614.90 | 1,796 |
| 08:22:57 | 614.90 | 1,454 |
| 08:23:07 | 615.00 | 1,972 |
| 08:23:10 | 615.00 | 740   |
| 08:23:10 | 615.00 | 1,994 |
| 08:23:10 | 615.10 | 1,803 |

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| 08:23:16 | 615.00 | 3,334 |
| 08:23:43 | 614.90 | 2,000 |
| 08:23:44 | 614.90 | 368   |
| 08:23:54 | 615.00 | 3,864 |
| 08:23:55 | 614.90 | 2,588 |
| 08:24:07 | 615.00 | 2,284 |
| 08:24:07 | 615.00 | 1,368 |
| 08:24:36 | 615.20 | 1,339 |
| 08:24:42 | 615.00 | 2,367 |
| 08:25:19 | 614.60 | 236   |
| 08:25:19 | 614.60 | 1,964 |
| 08:25:26 | 614.50 | 1,626 |
| 08:25:26 | 614.50 | 2,000 |
| 08:25:26 | 614.50 | 261   |
| 08:25:26 | 614.50 | 69    |
| 08:25:26 | 614.50 | 49    |
| 08:25:48 | 614.50 | 3,500 |
| 08:25:48 | 614.50 | 351   |
| 08:26:02 | 614.60 | 3,230 |
| 08:26:24 | 614.60 | 3,575 |
| 08:26:33 | 614.40 | 1,745 |
| 08:26:39 | 614.40 | 282   |
| 08:26:39 | 614.40 | 1,836 |
| 08:27:03 | 614.40 | 1,404 |
| 08:27:12 | 614.20 | 84    |
| 08:27:12 | 614.20 | 1,938 |
| 08:27:25 | 614.00 | 1,313 |
| 08:27:28 | 614.00 | 266   |
| 08:27:35 | 614.10 | 913   |
| 08:27:50 | 614.30 | 1,424 |
| 08:28:02 | 614.30 | 4,949 |
| 08:28:02 | 614.30 | 2,000 |
| 08:28:02 | 614.30 | 1,176 |
| 08:28:03 | 614.20 | 724   |
| 08:28:03 | 614.20 | 1,276 |
| 08:28:23 | 614.20 | 1,287 |
| 08:28:23 | 614.20 | 484   |
| 08:28:23 | 614.20 | 821   |
| 08:29:08 | 614.20 | 1,753 |
| 08:29:08 | 614.30 | 3,207 |
| 08:29:44 | 614.40 | 1,284 |
| 08:29:56 | 614.40 | 1,224 |
| 08:30:30 | 614.40 | 1,000 |
| 08:30:30 | 614.40 | 340   |
| 08:30:30 | 614.50 | 222   |
| 08:30:30 | 614.50 | 1,752 |
| 08:30:55 | 614.50 | 276   |
| 08:30:55 | 614.50 | 179   |
| 08:30:55 | 614.50 | 81    |
| 08:30:55 | 614.50 | 461   |

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| 08:32:15 | 614.60 | 3,449 |
| 08:32:15 | 614.60 | 1,000 |
| 08:32:15 | 614.60 | 261   |
| 08:32:26 | 614.50 | 177   |
| 08:32:26 | 614.50 | 40    |
| 08:32:26 | 614.50 | 1,256 |
| 08:32:48 | 614.70 | 209   |
| 08:32:48 | 614.70 | 4,223 |
| 08:32:59 | 614.70 | 1,918 |
| 08:33:29 | 614.60 | 1,000 |
| 08:33:29 | 614.60 | 653   |
| 08:33:43 | 614.70 | 1,000 |
| 08:33:43 | 614.70 | 343   |
| 08:33:53 | 614.60 | 1,555 |
| 08:34:25 | 615.00 | 677   |
| 08:34:25 | 615.00 | 835   |
| 08:34:25 | 615.00 | 1,000 |
| 08:34:25 | 615.00 | 1,000 |
| 08:34:25 | 615.00 | 1,000 |
| 08:34:25 | 615.00 | 173   |
| 08:34:25 | 615.00 | 3,057 |
| 08:34:25 | 615.00 | 2,000 |
| 08:34:26 | 615.00 | 1,043 |
| 08:34:28 | 615.00 | 1,302 |
| 08:34:31 | 614.90 | 4,294 |
| 08:34:41 | 615.00 | 1,000 |
| 08:34:41 | 615.00 | 204   |
| 08:34:42 | 615.00 | 1,100 |
| 08:34:42 | 615.00 | 126   |
| 08:35:25 | 615.40 | 1,150 |
| 08:35:25 | 615.50 | 2,000 |
| 08:35:25 | 615.50 | 3,779 |
| 08:35:27 | 615.40 | 3,137 |
| 08:35:27 | 615.50 | 1,819 |
| 08:35:28 | 615.50 | 1,143 |
| 08:35:28 | 615.50 | 348   |
| 08:35:29 | 615.40 | 2,050 |
| 08:35:30 | 615.30 | 1,400 |
| 08:35:30 | 615.30 | 1,414 |
| 08:35:30 | 615.30 | 1,000 |
| 08:35:34 | 615.50 | 1,322 |
| 08:36:07 | 615.70 | 77    |
| 08:36:07 | 615.70 | 66    |
| 08:36:18 | 615.70 | 1,300 |
| 08:36:18 | 615.70 | 2,000 |
| 08:36:18 | 615.70 | 600   |
| 08:36:18 | 615.70 | 1,100 |
| 08:36:18 | 615.70 | 1,909 |
| 08:36:18 | 615.70 | 1,200 |

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| 08:36:18 | 615.70 | 300   |
| 08:36:34 | 615.80 | 836   |
| 08:36:34 | 615.80 | 4,208 |
| 08:36:42 | 615.80 | 4,377 |
| 08:37:27 | 616.10 | 2,000 |
| 08:37:27 | 616.10 | 2,057 |
| 08:37:30 | 616.10 | 2,000 |
| 08:37:30 | 616.10 | 4,887 |
| 08:37:31 | 616.10 | 590   |
| 08:37:31 | 616.10 | 890   |
| 08:37:32 | 616.10 | 758   |
| 08:37:35 | 616.10 | 3,635 |
| 08:37:35 | 616.10 | 768   |
| 08:37:43 | 616.10 | 2,606 |
| 08:37:43 | 616.10 | 1,179 |
| 08:37:43 | 616.10 | 845   |
| 08:37:47 | 616.00 | 1,859 |
| 08:37:48 | 616.00 | 1,389 |
| 08:37:48 | 616.00 | 699   |
| 08:37:51 | 615.90 | 1,437 |
| 08:37:51 | 616.00 | 1,476 |
| 08:38:02 | 616.10 | 1,645 |
| 08:38:03 | 616.10 | 3,127 |
| 08:38:03 | 616.10 | 930   |
| 08:38:03 | 616.10 | 471   |
| 08:38:03 | 616.10 | 414   |
| 08:38:03 | 616.10 | 1,534 |
| 08:38:11 | 616.10 | 2,957 |
| 08:38:12 | 616.10 | 1,524 |
| 08:38:14 | 616.00 | 3,101 |
| 08:38:14 | 616.00 | 1,278 |
| 08:38:16 | 615.90 | 261   |
| 08:38:16 | 615.90 | 967   |
| 08:38:46 | 616.10 | 2,048 |
| 08:38:53 | 616.00 | 2,009 |
| 08:39:06 | 616.00 | 1,852 |
| 08:39:33 | 615.90 | 1,262 |
| 08:40:03 | 615.90 | 1,944 |
| 08:40:23 | 615.70 | 1,200 |
| 08:40:23 | 615.70 | 258   |
| 08:40:50 | 615.50 | 1,000 |
| 08:40:50 | 615.50 | 342   |
| 08:40:50 | 615.60 | 2,434 |
| 08:40:54 | 615.50 | 2,000 |
| 08:40:54 | 615.50 | 247   |
| 08:40:55 | 615.50 | 1,309 |
| 08:40:56 | 615.50 | 1,520 |
| 08:41:02 | 615.70 | 2,000 |
| 08:41:07 | 615.80 | 416   |
| 08:41:07 | 615.80 | 2,000 |



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| 08:41:26 | 615.60 | 400   |
| 08:41:26 | 615.60 | 890   |
| 08:41:26 | 615.60 | 400   |
| 08:41:26 | 615.60 | 1,475 |
| 08:41:26 | 615.60 | 1,000 |
| 08:41:26 | 615.60 | 2,000 |
| 08:41:26 | 615.60 | 1,000 |
| 08:41:26 | 615.60 | 1,000 |
| 08:41:27 | 615.60 | 1,000 |
| 08:41:28 | 615.50 | 1,236 |
| 08:41:28 | 615.50 | 1,733 |
| 08:41:28 | 615.60 | 1,458 |
| 08:41:28 | 615.60 | 1,394 |
| 08:42:14 | 615.60 | 1,200 |
| 08:42:14 | 615.60 | 41    |
| 08:42:19 | 615.50 | 2,010 |
| 08:42:19 | 615.60 | 4,295 |
| 08:42:34 | 615.40 | 876   |
| 08:42:43 | 615.40 | 370   |
| 08:42:43 | 615.40 | 269   |
| 08:42:43 | 615.40 | 2,000 |
| 08:42:43 | 615.40 | 249   |
| 08:43:46 | 615.50 | 413   |
| 08:43:46 | 615.50 | 832   |
| 08:43:50 | 615.60 | 908   |
| 08:44:02 | 615.70 | 1,327 |
| 08:44:08 | 615.70 | 376   |
| 08:44:08 | 615.70 | 3,271 |
| 08:44:09 | 615.60 | 2,481 |
| 08:44:32 | 615.60 | 2,000 |
| 08:44:46 | 615.60 | 635   |
| 08:44:46 | 615.60 | 1,400 |
| 08:44:56 | 615.40 | 1,000 |
| 08:44:56 | 615.40 | 261   |
| 08:44:56 | 615.40 | 1,000 |
| 08:44:56 | 615.40 | 567   |
| 08:45:12 | 615.30 | 3,424 |
| 08:45:13 | 615.20 | 2,000 |
| 08:45:13 | 615.30 | 1,000 |
| 08:45:13 | 615.30 | 1,000 |
| 08:45:13 | 615.30 | 828   |
| 08:47:01 | 615.70 | 2,868 |
| 08:48:03 | 616.50 | 400   |
| 08:48:13 | 616.50 | 1,000 |
| 08:48:13 | 616.50 | 1,646 |
| 08:48:16 | 616.40 | 5,188 |
| 08:48:16 | 616.40 | 1,000 |
| 08:48:16 | 616.40 | 2,000 |
| 08:48:16 | 616.40 | 1,487 |
| 08:48:16 | 616.40 | 1,336 |

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| 08:48:16 | 616.40 | 32    |
| 08:48:17 | 616.20 | 1,212 |
| 08:48:17 | 616.30 | 3,801 |
| 08:48:17 | 616.30 | 301   |
| 08:48:17 | 616.30 | 2,245 |
| 08:48:30 | 616.00 | 1,584 |
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| 08:48:45 | 616.10 | 186   |
| 08:48:45 | 616.10 | 1,074 |
| 08:48:45 | 616.10 | 279   |
| 08:48:45 | 616.10 | 497   |
| 08:49:00 | 616.10 | 1,579 |
| 08:49:05 | 615.90 | 1,323 |
| 08:49:09 | 615.80 | 1,294 |
| 08:49:16 | 615.70 | 110   |
| 08:49:16 | 615.70 | 1,301 |
| 08:49:29 | 616.00 | 369   |
| 08:49:29 | 616.00 | 792   |
| 08:49:29 | 616.00 | 449   |
| 08:50:56 | 616.00 | 32    |
| 08:50:56 | 616.00 | 3,805 |
| 08:50:56 | 616.00 | 1,515 |
| 08:51:12 | 616.00 | 1,326 |
| 08:51:12 | 616.00 | 1,349 |
| 08:51:12 | 616.00 | 140   |
| 08:51:20 | 616.10 | 1,360 |
| 08:51:20 | 616.10 | 597   |
| 08:51:20 | 616.10 | 672   |
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| 11:05:42 | 614.50 | 1,125 |
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| 13:05:09 | 615.10 | 292   |
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| 15:03:13 | 616.30 | 136   |
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| 15:10:48 | 616.90 | 1,292 |

|          |        |       |
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| 15:15:35 | 616.90 | 1,232 |



|          |        |       |
|----------|--------|-------|
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| 15:16:46 | 616.90 | 83    |
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|          |        |       |
|----------|--------|-------|
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|          |        |       |
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|          |        |       |
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| 15:54:13 | 616.20 | 167   |
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| 15:54:26 | 616.20 | 1,232 |
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| 15:58:38 | 616.60 | 1,513 |
| 15:58:38 | 616.60 | 607   |
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|          |        |       |
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|          |        |       |
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| 16:11:32 | 615.60 | 116   |
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| 16:12:22 | 615.90 | 1,239 |
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| 16:14:18 | 615.80 | 959   |
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| 16:17:13 | 615.20 | 1,296 |
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| 16:18:19 | 615.40 | 1,179 |

|          |        |       |
|----------|--------|-------|
| 16:18:22 | 615.40 | 509   |
| 16:18:22 | 615.40 | 815   |
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| 16:18:32 | 615.40 | 1,308 |
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| 16:18:47 | 615.40 | 1,369 |
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| 16:18:51 | 615.30 | 3,862 |
| 16:19:25 | 615.30 | 655   |
| 16:19:25 | 615.30 | 3,404 |
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| 16:19:55 | 615.30 | 1,679 |
| 16:19:56 | 615.30 | 2,000 |
| 16:19:57 | 615.30 | 748   |
| 16:19:57 | 615.30 | 538   |
| 16:19:58 | 615.30 | 245   |
| 16:19:59 | 615.30 | 46    |

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