

香港交易及結算所有限公司及香港聯合交易所有限公司對本文件的內容概不負責，對其準確性或完整性亦不發表任何聲明，並明確表示，概不對因本文件全部或任何部份內容而產生或因倚賴該等內容而引致的任何損失承擔任何責任。



HSBC Holdings plc
滙豐控股有限公司

海外監管公告

所附的公告已經在HSBC Holdings plc滙豐控股有限公司上市的其他證券交易所發布。

於本公布發表之日，滙豐控股有限公司董事會成員包括： 范智廉、歐智華、安銘[†]、祈嘉蓮[†]、史美倫[†]、卡斯特[†]、埃文斯勳爵[†]、費卓成[†]、李德麟[†]、利蘊蓮[†]、利普斯基[†]、駱美思[†]、麥榮恩、苗凱婷[†]、繆思成、聶德偉[†]、施俊仁[†]、戴國良[†]、梅爾莫[†]及華爾士[†]。

[†] 獨立非執行董事

香港股份代號： 5

滙豐控股有限公司

2016年11月28日

本身股份之交易

滙豐控股有限公司（「本公司」）宣布，已於倫敦證券交易所向 Goldman Sachs International（「Goldman Sachs」）購入下列數量每股 0.5 美元之普通股，作為 2016 年 8 月 4 日所公布股份回購之一部分。

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|---------------------|-------------|
| 購買日期： | 2016年11月28日 |
| 所購入每股 0.5 美元普通股之數量： | 3,170,152 |
| 每股股份所支付之最高價格： | £6.3710 |
| 每股股份所支付之最低價格： | £6.2980 |
| 每股股份所支付之成交量加權平均價： | £6.3277 |

購入該等股份後，本公司以庫存方式持有 266,031,941 股本身之普通股，而已發行普通股（不計庫存股份）之數量為 19,801,888,445 股。因此，於滙豐控股有限公司之總投票權為 19,801,888,445。投票權總數可供股東用作計算之分母，以釐定是否需要根據金融業操守監管局之《披露規則及透明度規則》，就於本公司之權益或權益變動發出通知。

根據歐盟條例第 596/2014 號第 5(1)(b)條（濫用市場條例），Goldman Sachs 所作之個別交易清單如下。

購買清單一個別交易

| 時間 | 價格 | 數量 |
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| 08:01:16 | 636.30 | 1,515 |
| 08:02:45 | 636.80 | 553 |
| 08:03:06 | 636.80 | 1,566 |
| 08:03:31 | 636.30 | 3,546 |
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| 08:03:31 | 636.30 | 1,224 |
| 08:04:49 | 636.20 | 3,313 |
| 08:05:11 | 636.20 | 1,410 |
| 08:05:24 | 636.10 | 1,509 |
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| 08:09:59 | 636.10 | 1,175 |
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| 08:10:37 | 634.30 | 114 |
| 08:10:44 | 633.90 | 630 |

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| 08:13:59 | 633.10 | 289 |
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| 08:15:20 | 633.60 | 633 |

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| 08:16:37 | 632.90 | 2,557 |
| 08:16:37 | 632.90 | 32 |
| 08:16:37 | 632.90 | 1,943 |
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| 08:16:44 | 632.90 | 823 |
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| 08:17:32 | 633.00 | 2,000 |
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| 08:18:14 | 633.00 | 332 |
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| 08:18:14 | 633.00 | 2,000 |
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| 08:18:28 | 633.00 | 3,525 |
| 08:18:28 | 632.90 | 2,000 |
| 08:18:28 | 632.90 | 1,038 |
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| 08:18:53 | 632.70 | 1,167 |
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| 08:19:05 | 632.80 | 380 |
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| 08:20:52 | 632.80 | 446 |
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| 08:21:27 | 632.90 | 1,426 |
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| 08:21:40 | 633.00 | 4,184 |
| 08:21:42 | 633.00 | 781 |
| 08:21:42 | 633.00 | 954 |
| 08:22:10 | 633.30 | 469 |
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| 08:22:10 | 633.30 | 611 |
| 08:22:21 | 633.30 | 4,630 |
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| 08:22:21 | 633.20 | 1,391 |
| 08:22:24 | 633.10 | 1,688 |
| 08:22:51 | 633.20 | 3,957 |
| 08:22:51 | 633.10 | 253 |
| 08:22:51 | 633.10 | 1,928 |
| 08:23:09 | 633.20 | 495 |
| 08:23:19 | 633.40 | 370 |
| 08:23:33 | 633.40 | 931 |
| 08:23:33 | 633.40 | 671 |
| 08:23:35 | 633.40 | 1,994 |
| 08:23:59 | 633.50 | 2,867 |
| 08:24:30 | 633.70 | 1,197 |
| 08:24:30 | 633.60 | 1,192 |
| 08:24:59 | 633.70 | 2,003 |
| 08:25:05 | 633.70 | 1,296 |
| 08:25:29 | 633.30 | 1,433 |
| 08:25:38 | 633.00 | 1,231 |
| 08:25:42 | 633.00 | 1,286 |
| 08:25:49 | 633.00 | 1,580 |
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| 08:26:11 | 631.90 | 1,225 |
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| 08:26:15 | 631.80 | 600 |
| 08:26:29 | 631.90 | 3,896 |
| 08:26:45 | 631.90 | 2,576 |
| 08:26:48 | 631.60 | 1,219 |
| 08:26:54 | 631.40 | 2,180 |
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| 08:27:05 | 630.80 | 1,361 |
| 08:27:14 | 630.80 | 1,824 |
| 08:27:16 | 630.70 | 2,000 |
| 08:27:16 | 630.70 | 253 |
| 08:27:16 | 630.80 | 127 |
| 08:27:16 | 630.70 | 1,150 |
| 08:27:27 | 630.90 | 3,876 |
| 08:27:39 | 630.50 | 1,230 |
| 08:27:47 | 630.50 | 1,657 |
| 08:27:59 | 630.60 | 1,137 |
| 08:27:59 | 630.60 | 800 |
| 08:27:59 | 630.60 | 1,526 |
| 08:27:59 | 630.60 | 2,000 |
| 08:27:59 | 630.60 | 218 |
| 08:28:16 | 629.90 | 2,000 |
| 08:28:16 | 629.90 | 900 |
| 08:28:53 | 630.40 | 5,356 |
| 08:28:55 | 630.40 | 2,000 |
| 08:29:12 | 630.60 | 253 |
| 08:29:12 | 630.60 | 2,000 |
| 08:29:12 | 630.60 | 2,370 |
| 08:29:32 | 630.90 | 900 |
| 08:29:32 | 630.90 | 1,493 |
| 08:30:05 | 631.10 | 2,000 |
| 08:30:05 | 631.10 | 1,194 |
| 08:30:05 | 631.10 | 958 |
| 08:30:10 | 631.20 | 2,000 |
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| 08:30:11 | 631.20 | 2,000 |
| 08:30:45 | 631.40 | 2,000 |
| 08:30:45 | 631.40 | 1,381 |
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| 08:30:46 | 631.30 | 431 |
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| 08:32:49 | 630.70 | 507 |
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| 08:32:54 | 630.60 | 928 |
| 08:32:54 | 630.70 | 372 |
| 08:33:12 | 630.70 | 1,259 |
| 08:33:33 | 630.80 | 37 |
| 08:33:33 | 630.80 | 1,200 |
| 08:33:33 | 630.80 | 834 |
| 08:33:33 | 630.80 | 2,150 |
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| 08:33:33 | 630.70 | 734 |
| 08:33:33 | 630.70 | 2,000 |
| 08:33:33 | 630.70 | 1,457 |
| 08:33:44 | 630.80 | 1,568 |
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| 08:34:25 | 629.80 | 1,160 |
| 08:34:38 | 629.90 | 1,905 |
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| 08:36:09 | 630.40 | 1,223 |
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| 08:36:23 | 630.40 | 2,343 |
| 08:36:42 | 630.40 | 1,196 |
| 08:37:14 | 630.40 | 1,417 |
| 08:37:39 | 630.50 | 1,310 |
| 08:38:27 | 630.90 | 2,000 |
| 08:38:27 | 630.90 | 450 |
| 08:38:41 | 630.70 | 1,144 |
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| 08:42:29 | 632.10 | 1,154 |
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| 08:43:41 | 632.10 | 2,286 |
| 08:43:41 | 632.10 | 1,100 |
| 08:43:41 | 632.10 | 1,654 |
| 08:43:48 | 632.00 | 1,252 |
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| 08:44:09 | 632.20 | 2,771 |
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| 08:45:14 | 632.00 | 2,949 |
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| 08:45:39 | 631.40 | 797 |
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| 16:13:21 | 630.60 | 426 |
| 16:13:39 | 630.60 | 670 |
| 16:13:39 | 630.60 | 658 |
| 16:13:46 | 630.50 | 503 |

| | | |
|----------|--------|-------|
| 16:13:46 | 630.50 | 2,888 |
| 16:14:00 | 630.50 | 100 |
| 16:14:03 | 630.50 | 1,952 |
| 16:14:20 | 630.60 | 1,873 |
| 16:14:20 | 630.60 | 566 |
| 16:14:59 | 630.60 | 1,255 |
| 16:15:16 | 630.60 | 2,445 |
| 16:15:17 | 630.60 | 405 |
| 16:15:20 | 630.60 | 26 |
| 16:15:27 | 630.70 | 3,031 |
| 16:16:22 | 630.90 | 2,667 |
| 16:16:22 | 630.90 | 940 |
| 16:16:22 | 630.90 | 650 |
| 16:17:20 | 630.80 | 3,767 |
| 16:17:59 | 630.80 | 1,733 |
| 16:18:02 | 630.60 | 720 |
| 16:18:02 | 630.60 | 1,060 |
| 16:18:02 | 630.60 | 911 |
| 16:18:26 | 630.60 | 1,523 |
| 16:18:27 | 630.60 | 477 |
| 16:18:27 | 630.60 | 1,060 |
| 16:18:27 | 630.60 | 1,500 |
| 16:18:27 | 630.60 | 971 |
| 16:19:57 | 630.70 | 720 |
| 16:20:00 | 630.70 | 4,024 |

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如有查詢，請聯絡：

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