

香港交易及結算所有限公司及香港聯合交易所有限公司對本文件的內容概不負責，對其準確性或完整性亦不發表任何聲明，並明確表示，概不對因本文件全部或任何部份內容而產生或因倚賴該等內容而引致的任何損失承擔任何責任。



HSBC Holdings plc
滙豐控股有限公司

海外監管公告

所附的公告已經在HSBC Holdings plc滙豐控股有限公司上市的其他證券交易所發布。

於本公布發表之日，滙豐控股有限公司董事會成員包括： 范智廉、歐智華、安銘[†]、祈嘉蓮[†]、史美倫[†]、卡斯特[†]、埃文斯勳爵[†]、費卓成[†]、李德麟[†]、利蘊蓮[†]、利普斯基[†]、駱美思[†]、麥榮恩、苗凱婷[†]、繆思成、聶德偉[†]、施俊仁[†]、戴國良[†]、梅爾莫[†]及華爾士[†]。

[†] 獨立非執行董事

香港股份代號：5

滙豐控股有限公司

2016年11月29日

本身股份之交易

滙豐控股有限公司（「本公司」）宣布，已於倫敦證券交易所向 Goldman Sachs International（「Goldman Sachs」）購入下列數量每股 0.5 美元之普通股，作為 2016 年 8 月 4 日所公布股份回購之一部分。

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|---------------------|-------------|
| 購買日期： | 2016年11月29日 |
| 所購入每股 0.5 美元普通股之數量： | 2,191,932 |
| 每股股份所支付之最高價格： | £6.3440 |
| 每股股份所支付之最低價格： | £6.2650 |
| 每股股份所支付之成交量加權平均價： | £6.3063 |

購入該等股份後，本公司以庫存方式持有 268,223,873 股本身之普通股，而已發行普通股（不計庫存股份）之數量為 19,799,708,450 股。因此，於滙豐控股有限公司之總投票權為 19,799,708,450。投票權總數可供股東用作計算之分母，以釐定是否需要根據金融業操守監管局之《披露規則及透明度規則》，就於本公司之權益或權益變動發出通知。

根據歐盟條例第 596/2014 號第 5(1)(b)條（濫用市場條例），Goldman Sachs 所作之個別交易清單如下。

購買清單一個別交易

| 時間 | 價格 | 數量 |
|----------|--------|-------|
| 08:01:01 | 629.50 | d |
| 08:01:01 | 629.50 | 400 |
| 08:01:02 | 629.50 | 300 |
| 08:01:02 | 629.50 | 400 |
| 08:01:05 | 629.50 | 140 |
| 08:01:05 | 629.50 | 500 |
| 08:01:12 | 629.10 | 321 |
| 08:01:12 | 629.10 | 500 |
| 08:01:17 | 628.90 | 225 |
| 08:01:17 | 628.90 | 500 |
| 08:01:17 | 628.90 | 300 |
| 08:01:38 | 630.30 | 1,600 |
| 08:01:55 | 629.50 | 300 |
| 08:01:55 | 629.50 | 500 |
| 08:01:55 | 629.50 | 300 |
| 08:02:00 | 629.50 | 605 |
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| 08:02:01 | 629.50 | 24 |
| 08:02:02 | 629.50 | 213 |
| 08:02:14 | 629.50 | 300 |
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| 08:02:14 | 629.50 | 300 |

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| 08:03:19 | 629.50 | 2,930 |
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| 08:04:55 | 630.30 | 4,359 |
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| 08:05:06 | 629.90 | 832 |
| 08:05:09 | 629.90 | 1,453 |
| 08:05:25 | 629.70 | 2,958 |
| 08:05:25 | 629.70 | 1,185 |
| 08:05:39 | 629.60 | 1,430 |
| 08:05:47 | 629.80 | 1,358 |
| 08:05:54 | 629.70 | 1,207 |
| 08:06:01 | 629.90 | 1,328 |
| 08:06:01 | 629.80 | 1,803 |
| 08:06:08 | 629.60 | 1,784 |
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| 08:06:25 | 629.60 | 1,187 |
| 08:06:26 | 629.40 | 1,253 |
| 08:06:59 | 629.10 | 660 |
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| 08:07:20 | 629.20 | 1,276 |
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| 08:07:20 | 629.20 | 1,396 |
| 08:07:39 | 629.50 | 3,124 |
| 08:07:44 | 629.60 | 2,879 |
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| 08:07:49 | 629.60 | 1,211 |
| 08:07:49 | 629.50 | 2,880 |
| 08:08:07 | 629.70 | 1,700 |
| 08:08:07 | 629.70 | 526 |
| 08:08:48 | 629.30 | 1,481 |
| 08:08:48 | 629.30 | 503 |
| 08:08:57 | 629.70 | 2,715 |
| 08:08:57 | 629.70 | 541 |
| 08:08:57 | 629.70 | 1,486 |
| 08:09:17 | 629.60 | 2,146 |
| 08:09:48 | 630.10 | 2,224 |
| 08:09:48 | 630.10 | 1,867 |
| 08:10:01 | 630.50 | 2,358 |
| 08:10:01 | 630.50 | 169 |
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| 08:10:03 | 630.50 | 668 |
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| 08:10:29 | 630.30 | 3,848 |
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| 08:10:48 | 630.30 | 1,548 |

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| 08:12:15 | 630.30 | 900 |
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| 08:12:20 | 630.50 | 4,021 |
| 08:12:20 | 630.50 | 1,653 |
| 08:12:21 | 630.50 | 138 |
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| 08:12:36 | 630.70 | 2,000 |
| 08:12:36 | 630.70 | 700 |
| 08:12:37 | 630.60 | 3,157 |
| 08:12:37 | 630.60 | 3,629 |
| 08:12:38 | 630.60 | 1,365 |
| 08:12:38 | 630.50 | 1,435 |
| 08:12:41 | 630.50 | 2,788 |
| 08:12:41 | 630.50 | 1,033 |
| 08:12:41 | 630.50 | 362 |
| 08:12:53 | 630.70 | 3,612 |
| 08:12:53 | 630.70 | 64 |
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| 08:14:29 | 631.60 | 1,919 |
| 08:14:32 | 631.50 | 3,125 |
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| 08:15:09 | 631.70 | 3,991 |
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| 08:15:51 | 632.70 | 1,731 |
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| 08:16:00 | 632.40 | 1,000 |
| 08:16:00 | 632.40 | 518 |
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| 08:17:28 | 633.40 | 493 |

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| 08:17:33 | 633.20 | 615 |
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| 08:17:58 | 633.30 | 2,000 |
| 08:17:58 | 633.30 | 359 |
| 08:18:14 | 633.50 | 2,103 |
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| 08:18:22 | 633.40 | 1,357 |
| 08:18:30 | 633.30 | 1,258 |
| 08:19:14 | 633.30 | 1,893 |
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| 08:19:26 | 633.00 | 2,071 |
| 08:19:45 | 633.20 | 280 |
| 08:19:45 | 633.20 | 1,244 |
| 08:19:55 | 633.10 | 1,146 |
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| 08:20:14 | 632.90 | 2,202 |
| 08:20:22 | 632.70 | 1,659 |
| 08:20:42 | 632.80 | 1,722 |
| 08:21:18 | 633.20 | 2,087 |
| 08:21:43 | 633.40 | 2,895 |
| 08:21:43 | 633.40 | 753 |
| 08:21:43 | 633.40 | 1,601 |
| 08:21:46 | 633.30 | 189 |
| 08:21:47 | 633.30 | 1,075 |
| 08:21:53 | 633.20 | 1,834 |
| 08:22:26 | 633.40 | 1,256 |
| 08:22:47 | 633.30 | 1,243 |
| 08:22:47 | 633.30 | 390 |
| 08:22:47 | 633.30 | 1,156 |
| 08:22:50 | 633.10 | 1,563 |
| 08:23:08 | 632.90 | 72 |
| 08:23:08 | 632.90 | 1,576 |
| 08:23:15 | 632.90 | 1,491 |
| 08:23:17 | 632.80 | 860 |
| 08:23:17 | 632.80 | 532 |
| 08:23:19 | 632.60 | 1,185 |
| 08:23:20 | 632.60 | 1,236 |
| 08:24:11 | 632.30 | 831 |
| 08:24:11 | 632.30 | 1,255 |
| 08:24:31 | 632.10 | 4,308 |
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| 08:24:37 | 632.10 | 1,786 |
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| 08:25:05 | 632.10 | 1,290 |

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| 08:25:13 | 632.30 | 442 |
| 08:25:21 | 632.10 | 3,263 |
| 08:25:21 | 632.10 | 733 |
| 08:25:21 | 632.10 | 1,586 |
| 08:25:26 | 632.00 | 500 |
| 08:25:26 | 632.00 | 1,461 |
| 08:25:33 | 631.30 | 1,734 |
| 08:25:44 | 631.30 | 1,294 |
| 08:25:53 | 631.50 | 900 |
| 08:25:57 | 631.50 | 2,392 |
| 08:26:10 | 631.30 | 700 |
| 08:26:10 | 631.30 | 800 |
| 08:26:10 | 631.30 | 690 |
| 08:26:21 | 631.00 | 1,785 |
| 08:26:47 | 630.80 | 1,864 |
| 08:26:57 | 630.80 | 1,708 |
| 08:27:28 | 630.90 | 1,391 |
| 08:28:33 | 631.00 | 2,214 |
| 08:28:33 | 631.00 | 1,557 |
| 08:29:37 | 631.40 | 415 |
| 08:29:37 | 631.40 | 2,060 |
| 08:29:37 | 631.40 | 1,632 |
| 08:30:15 | 631.70 | 1,046 |
| 08:30:15 | 631.70 | 1,202 |
| 08:30:16 | 631.60 | 904 |
| 08:30:16 | 631.60 | 810 |
| 08:31:07 | 632.50 | 1,348 |
| 08:31:07 | 632.50 | 1,200 |
| 08:31:07 | 632.50 | 827 |
| 08:31:08 | 632.40 | 3,516 |
| 08:31:08 | 632.40 | 1,610 |
| 08:31:20 | 632.00 | 1,703 |
| 08:31:44 | 632.00 | 1,400 |
| 08:32:11 | 632.00 | 1,769 |
| 08:33:23 | 632.30 | 2,900 |
| 08:33:25 | 632.20 | 659 |
| 08:33:25 | 632.20 | 1,290 |
| 08:33:35 | 632.20 | 1,948 |
| 08:34:39 | 632.00 | 1,959 |
| 08:34:47 | 631.90 | 1,580 |
| 08:34:53 | 631.80 | 1,000 |
| 08:34:53 | 631.80 | 342 |
| 08:35:10 | 631.90 | 169 |
| 08:35:10 | 631.90 | 1,618 |
| 08:35:10 | 631.80 | 1,404 |
| 08:35:38 | 631.90 | 2,089 |
| 08:37:12 | 632.00 | 3,082 |
| 08:37:12 | 632.00 | 1,273 |
| 08:37:13 | 631.90 | 59 |

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| 08:37:18 | 631.80 | 1,681 |
| 08:38:33 | 632.30 | 300 |
| 08:38:41 | 632.40 | 1,000 |
| 08:38:46 | 632.40 | 169 |
| 08:38:48 | 632.30 | 583 |
| 08:38:48 | 632.30 | 50 |
| 08:39:28 | 632.60 | 798 |
| 08:39:28 | 632.60 | 1,162 |
| 08:39:39 | 632.50 | 4,201 |
| 08:39:39 | 632.50 | 1,032 |
| 08:39:39 | 632.50 | 424 |
| 08:39:52 | 632.40 | 1,420 |
| 08:39:52 | 632.40 | 127 |
| 08:39:52 | 632.40 | 1,081 |
| 08:40:24 | 632.30 | 2,714 |
| 08:40:37 | 632.40 | 1,526 |
| 08:40:48 | 632.40 | 416 |
| 08:40:57 | 632.50 | 1,093 |
| 08:40:57 | 632.50 | 481 |
| 08:40:59 | 632.40 | 1,330 |
| 08:42:04 | 632.10 | 3,165 |
| 08:42:14 | 632.20 | 206 |
| 08:42:14 | 632.20 | 1,845 |
| 08:42:41 | 632.30 | 2,380 |
| 08:42:46 | 632.30 | 1,325 |
| 08:42:46 | 632.30 | 1,911 |
| 08:43:14 | 632.30 | 1,430 |
| 08:43:24 | 632.20 | 1,465 |
| 08:43:46 | 632.40 | 1,492 |
| 08:44:05 | 632.40 | 2,232 |
| 08:44:40 | 632.30 | 1,202 |
| 08:44:40 | 632.30 | 314 |
| 08:45:13 | 632.40 | 1,294 |
| 08:45:48 | 632.20 | 1,918 |
| 08:46:34 | 632.10 | 1,852 |
| 08:46:57 | 632.00 | 1,427 |
| 08:47:13 | 631.90 | 1,291 |
| 08:48:06 | 632.40 | 1,124 |
| 08:48:06 | 632.40 | 507 |
| 08:48:06 | 632.40 | 428 |
| 08:48:06 | 632.40 | 1,079 |
| 08:48:08 | 632.40 | 312 |
| 08:48:08 | 632.40 | 635 |
| 08:48:14 | 632.40 | 1,315 |
| 08:48:59 | 632.70 | 1,870 |
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| 08:50:37 | 633.30 | 3,200 |
| 08:50:37 | 633.30 | 164 |

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| 08:51:25 | 632.80 | 562 |
| 08:51:54 | 633.20 | 1,447 |
| 08:51:54 | 633.20 | 1,164 |
| 08:51:57 | 633.10 | 1,171 |
| 08:52:43 | 633.10 | 2,838 |
| 08:53:10 | 633.10 | 1,740 |
| 08:53:10 | 633.10 | 522 |
| 08:53:31 | 633.10 | 1,973 |
| 08:53:46 | 633.10 | 41 |
| 08:53:46 | 633.10 | 1,378 |
| 08:54:14 | 633.10 | 1,809 |
| 08:54:26 | 633.00 | 1,237 |
| 08:54:30 | 633.00 | 1,291 |
| 08:54:32 | 633.00 | 1,195 |
| 08:54:33 | 633.00 | 625 |
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| 08:54:37 | 633.30 | 1,418 |
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| 08:54:37 | 633.30 | 600 |
| 08:54:40 | 633.30 | 387 |
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| 08:54:58 | 633.40 | 2,312 |
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| 08:55:00 | 633.30 | 283 |
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| 08:55:37 | 632.80 | 1,150 |
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| 08:56:01 | 632.80 | 2,084 |
| 08:56:01 | 632.80 | 231 |
| 08:56:01 | 632.80 | 1,459 |
| 08:56:30 | 632.60 | 3,383 |
| 08:56:30 | 632.60 | 1,811 |
| 08:56:59 | 632.70 | 3,235 |
| 08:56:59 | 632.70 | 1,022 |
| 08:56:59 | 632.70 | 429 |
| 08:57:28 | 632.50 | 1,436 |
| 08:57:46 | 632.60 | 379 |
| 08:57:46 | 632.60 | 843 |
| 08:59:12 | 633.80 | 2,504 |
| 08:59:30 | 634.20 | 680 |
| 08:59:30 | 634.20 | 614 |
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| 08:59:51 | 634.00 | 426 |
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| 09:02:29 | 633.40 | 606 |
| 09:02:29 | 633.40 | 661 |
| 09:02:29 | 633.40 | 406 |
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| 09:03:37 | 633.00 | 1,140 |
| 09:03:45 | 633.10 | 1,594 |
| 09:04:55 | 633.90 | 2,007 |
| 09:04:55 | 633.90 | 925 |
| 09:05:00 | 633.80 | 1,445 |
| 09:05:00 | 633.80 | 1,285 |
| 09:05:05 | 633.70 | 1,205 |
| 09:05:23 | 633.70 | 1,014 |
| 09:05:23 | 633.70 | 1,291 |
| 09:06:00 | 633.40 | 2,121 |
| 09:06:00 | 633.40 | 603 |
| 09:06:15 | 633.20 | 2,193 |
| 09:06:15 | 633.20 | 800 |
| 09:06:15 | 633.20 | 53 |
| 09:06:20 | 633.30 | 2,162 |
| 09:06:20 | 633.30 | 1,902 |
| 09:06:21 | 633.20 | 1,339 |
| 09:06:27 | 633.10 | 1,567 |
| 09:06:37 | 633.10 | 3,025 |
| 09:07:21 | 633.30 | 2,334 |
| 09:07:24 | 633.20 | 1,383 |
| 09:08:29 | 633.20 | 1,566 |
| 09:08:39 | 633.00 | 1,256 |
| 09:09:02 | 633.00 | 1,807 |
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| 09:09:22 | 632.70 | 1,480 |
| 09:09:41 | 632.90 | 1,317 |
| 09:09:41 | 632.90 | 1,082 |
| 09:09:47 | 632.60 | 1,525 |
| 09:10:34 | 633.10 | 1,445 |
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| 09:10:39 | 633.00 | 524 |

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| 09:11:03 | 633.00 | 2,577 |
| 09:11:07 | 633.10 | 13 |
| 09:11:08 | 633.10 | 1,278 |
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| 09:11:54 | 632.70 | 918 |
| 09:11:54 | 632.70 | 552 |
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| 09:12:19 | 632.70 | 2,049 |
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| 09:12:57 | 633.30 | 518 |
| 09:13:15 | 633.20 | 1,307 |
| 09:13:15 | 633.20 | 2,000 |
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| 09:14:22 | 633.20 | 651 |
| 09:14:52 | 633.20 | 800 |
| 09:14:52 | 633.20 | 469 |
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| 09:16:45 | 633.70 | 1,242 |
| 09:17:13 | 633.50 | 300 |
| 09:17:13 | 633.50 | 1,141 |
| 09:17:18 | 633.40 | 1,417 |
| 09:17:46 | 633.30 | 1,929 |
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