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Harvest CSI Smallcap 500 Index ETF

RMB Counter Stock Code: 83150

HKD Counter Stock Code: 03150

*(A sub-fund of Harvest Funds (Hong Kong) ETF, a Hong Kong umbrella unit trust, authorised under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong) (the “**Sub-Fund**”)*

Announcement Extension of Cap on Ongoing Charges Figure

The Manager of the Sub-Fund, Harvest Global Investments Limited (the “**Manager**”) wishes to inform investors that the cap of 0.60% per annum on the ongoing charges figure of the Sub-Fund (the “**OCF Cap**”) will be extended until 31 December 2017. The Manager has previously imposed the OCF Cap between 16 May 2016 and 31 December 2016. Following the Manager’s review, the Manager has determined to extend the OCF Cap at the same level until 31 December 2017. For the avoidance of doubt, the ongoing charges figure of the Sub-Fund has been kept at 0.60% per annum between 1 January 2017 and the date of this Announcement.

The ongoing charges figure represents the ongoing expenses expressed as a percentage of the Sub-Fund’s average net asset value over the same period. By imposing the OCF Cap, any expense of the Sub-Fund (falling within the scope of ongoing expenses) incurred on or before 31 December 2017 will be borne by the Manager and will not be charged to the Sub-Fund if such expense would result in the ongoing charges figure exceeding the OCF Cap.

The Product Key Facts Statement of the Sub-Fund is updated to reflect the extension of the OCF Cap, as well as the annual update for the tracking difference and past performance information. Please refer to the revised KFS published on the date of this Announcement, which is uploaded on the Manager’s website at <http://etf.harvestglobal.com.hk> (this website has not been reviewed by the SFC) and HKEX’s website at www.hkex.com.hk on the date of this Announcement.

Unless otherwise defined, all capitalised terms shall have the same meaning as that in the Prospectus of the Sub-Fund.

Investors who have any enquiries regarding the above may contact the Manager at 31/F, One Exchange Square, 8 Connaught Place Central, Hong Kong or enquiry hotline at (852) 3913 3393 during office hours.

Harvest Global Investments Limited 嘉實國際資產管理有限公司
as Manager of Harvest CSI Smallcap 500 Index ETF
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