



*(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name 华泰证券股份有限公司 and carrying on business in Hong Kong as HTSC)*

**(Stock Code: 6886)**

## **LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION**

The members of the Board of Directors (the “**Board**”) of the Company are set out below:

### **Executive Director**

Mr. ZHOU Yi (Chairman, President)

### **Non-executive Directors**

Ms. PU Baoying

Mr. GAO Xu

Mr. CHEN Ning

Mr. XU Feng

Mr. XU Qing

Mr. ZHOU Yong

### **Independent Non-executive Directors**

Mr. CHEN Chuanming

Mr. LIU Hongzhong

Mr. LEE Chi Ming

Mr. YANG Xiongsheng

Ms. LIU Yan

There are five Board committees. The table below provides membership information of these committees on which Board members serve:

<b>Board Committee</b> <b>Director</b>	<b>Development Strategy Committee</b>	<b>Compliance and Risk Management Committee</b>	<b>Audit Committee</b>	<b>Nomination Committee</b>	<b>Remuneration and Appraisal Committee</b>
Mr. ZHOU Yi	C				
Ms. PU Baoying		C			
Mr. GAO Xu	M				
Mr. CHEN Ning			M		
Mr. XU Feng				M	M
Mr. XU Qing		M			
Mr. ZHOU Yong		M			
Mr. CHEN Chuanming				C	C
Mr. LIU Hongzhong	M				
Mr. LEE Chi Ming			C		
Mr. YANG Xiongsheng			M		
Ms. LIU Yan				M	M

Notes:

C: Chairman of the relevant Board committees

M: Member of the relevant Board committees

Jiangsu, PRC, 26 June 2017