



海通证券股份有限公司  
HAITONG SECURITIES CO., LTD.\*

(A joint stock limited company incorporated in the People's Republic of China with limited liability)  
(Stock Code: 6837)

## LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The Board of Directors of Haitong Securities Co., Ltd. comprises 13 Directors as follows:

### **Executive Directors:**

Mr. Zhou Jie (*Chairman*)

Mr. Qu Qiuping (*General Manager*)

### **Non-executive Directors:**

Ms. Yu Liping

Mr. Chen Bin

Mr. Xu Jianguo

Mr. Wu Yuezhou

Ms. Zhang Xinmei

Mr. Shen Tiedong

### **Independent Non-executive Directors:**

Mr. Liu Chee Ming

Mr. Xiao Suining

Dr. Lam Lee G.

Mr. Zhang Ming

Mr. Feng Lun

There are four Board committees. The table below provides membership information of these committees on which the Board member serves.

<b>Board Committee</b>		<b>Nomination, Remuneration and Assessment Committee</b>	<b>Development Strategy and Investment Management Committee</b>	<b>Compliance and Risk Control Committee</b>
<b>Directors</b>	<b>Audit Committee</b>			
Mr. Zhou Jie			C	
Mr. Qu Qiuping				M
Ms. Yu Liping		M	M	
Mr. Chen Bin			M	M
Mr. Xu Jianguo	M		M	
Mr. Wu Yuezhou				
Ms. Zhang Xinmei	M			M
Mr. Shen Tiedong		M		
Mr. Liu Chee Ming	M	C	M	M
Mr. Xiao Suining		M	M	C
Dr. Lam Lee G.	M	M	M	
Mr. Zhang Ming	C	M		
Mr. Feng Lun	M			M

*Notes:*

C Chairman of the relevant Board committees

M Member of the relevant Board committees

18 August 2017

\* *For identification purpose only*