



**SHENWAN HONGYUAN (H.K.) LIMITED**

申萬宏源(香港)有限公司

*(Incorporated in Hong Kong with limited liability)*

(Stock Code: 218)

**LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION**

The members of the board of directors (the “**Board**”) of Shenwan Hongyuan (H.K.) Limited are set out below:

**Executive Directors**

Mr. Zhu Minjie (Chairman)  
 Mr. Chen Xiaosheng  
 Mr. Guo Chun (Chief Executive Officer)  
 Mr. Qiu Yizhou

**Non-executive Director**

Mr. Zhang Lei

**Independent Non-executive Directors**

Mr. Ng Wing Hang Patrick  
 Mr. Kwok Lam Kwong Larry  
 Mr. Zhuo Fumin

There are 6 Board committees. The table below provides membership information of these Committees on which each Board member serves:

<b>Board Committee</b>	<b>Audit Committee</b>	<b>Remuneration Committee</b>	<b>Nomination Committee</b>	<b>Risk Committee</b>	<b>Executive Committee</b>	<b>Management Committee</b>
<b>Directors</b>						
Mr. Zhu Minjie			Chairman		Chairman	
Mr. Chen Xiaosheng					Member	
Mr. Guo Chun				Member	Member	Member
Mr. Qiu Yizhou				Member	Member	Member
Mr. Zhang Lei						
Mr. Ng Wing Hang Patrick	Chairman	Member	Member	Chairman		
Mr. Kwok Lam Kwong Larry	Member	Chairman	Member	Member		
Mr. Zhuo Fumin	Member	Member	Member	Member		