



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “东方证券股份有限公司” and carrying on business in Hong Kong as “東方證券” (in Chinese) and “DFZQ” (in English))

(Stock Code: 03958)

## LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the “**Board**”) of 東方證券股份有限公司 (the “**Company**”) are set out below:

### **Executive Directors**

Mr. PAN Xinjun (*Chairman*)

Mr. JIN Wenzhong (*President*)

### **Non-executive Directors**

Mr. LIU Wei

Mr. WU Junhao

Mr. CHEN Bin

Mr. LI Xiang

Ms. XIA Jinghan

Mr. XU Jianguo

Mr. DU Weihua (*Employee Representative Director*)

### **Independent Non-executive Directors**

Mr. XU Guoxiang

Mr. TAO Xiuming

Mr. WEI Anning

Mr. XU Zhiming

Mr. JIN QingLu

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

<b>Director</b>	<b>Board Committee</b>	<b>Strategy Development Committee</b>	<b>Compliance and Risk Management Committee</b>	<b>Audit Committee</b>	<b>Remuneration and Nomination Committee</b>
Mr. PAN Xinjun		C	C		
Mr. JIN Wenzhong		M	M		
Mr. LIU Wei					M
Mr. WU Junhao		M		M	
Mr. CHEN Bin					M
Mr. LI Xiang			M		
Ms. XIA Jinghan			M		
Mr. XU Jianguo				M	
Mr. DU Weihua		M			
Mr. XU Guoxiang				M	M
Mr. TAO Xiuming			M		
Mr. WEI Anning				M	C
Mr. XU Zhiming		M			
Mr. JIN QingLu				C	M

*Notes:*

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, March 16, 2018