



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name 华泰证券股份有限公司 and carrying on business in Hong Kong as HTSC)
(Stock Code: 6886)

LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION

The members of the Board of Directors (the “**Board**”) of the Company are set out below:

Executive Director

Mr. ZHOU Yi (Chairman, CEO)

Non-executive Directors

Ms. PU Baoying

Mr. GAO Xu

Mr. CHEN Ning

Mr. XU Feng

Mr. XU Qing

Mr. ZHOU Yong

Independent Non-executive Directors

Mr. CHEN Chuanming

Mr. LIU Hongzhong

Mr. LEE Chi Ming

Ms. LIU Yan

Mr. CHEN Zhibin

There are five Board committees. The table below provides membership information of these committees on which Board members serve:

Director	Board Committee	Development Strategy Committee	Compliance and Risk Management Committee	Audit Committee	Nomination Committee	Remuneration and Appraisal Committee
Mr. ZHOU Yi		C				
Ms. PU Baoying			C			
Mr. GAO Xu		M				
Mr. CHEN Ning				M		
Mr. XU Feng					M	M
Mr. XU Qing			M			
Mr. ZHOU Yong			M			
Mr. CHEN Chuanming					C	C
Mr. LIU Hongzhong		M		M		
Mr. LEE Chi Ming				C		
Ms. LIU Yan					M	M
Mr. Chen Zhibin ⁽¹⁾						

Notes:

C: Chairman of the relevant Board committees

M: Member of the relevant Board committees

⁽¹⁾ The Company will hold a Board meeting as soon as possible to appoint Mr. Chen Zhibin as a member or the chairman of the relevant Board committees.

Jiangsu, PRC, June 13, 2018