



**GF SECURITIES CO., LTD.**

**廣發證券股份有限公司**

(A joint stock company incorporated in the People's Republic of China with limited liability)

(於中華人民共和國註冊成立的股份有限公司)

(Stock Code: 1776)

(股份代號: 1776)

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## NOTIFICATION LETTER 通知信函

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8 September 2018

Dear Non-registered Shareholders <sup>(Note)</sup>,

**GF Securities Co., Ltd. (the "Company")**

**- Notice of Publication of 2018 Interim Report ("Current Corporate Communication")**

The English and Chinese versions of the Company's Current Corporate Communication are available on the Company's website at [www.gf.com.cn](http://www.gf.com.cn) and the website of Hong Kong Exchanges and Clearing Limited at [www.hkexnews.hk](http://www.hkexnews.hk).

If you want to receive a printed version of the Current Corporate Communication, please complete the Request Form on the reverse side and return it to the Company's H Share Registrar, Computershare Hong Kong Investor Services Limited (the "H Share Registrar"), by using the mailing label at the bottom of the Request Form (no need to affix a stamp if posted in Hong Kong; otherwise, please affix an appropriate stamp). The address of the H Share Registrar is 17M Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong. The Request Form may also be downloaded from the Company's website at [www.gf.com.cn](http://www.gf.com.cn) or the website of Hong Kong Exchanges and Clearing Limited at [www.hkexnews.hk](http://www.hkexnews.hk).

Should you have any queries relating to any of the above matters, please call the telephone hotline of the H Share Registrar at (852) 2862 8688 during business hours from 9:00 a.m. to 6:00 p.m. Monday to Friday, excluding public holidays or send an email to [gfsec.ecom@computershare.com.hk](mailto:gfsec.ecom@computershare.com.hk).

Yours faithfully,  
For and on behalf of  
**GF Securities Co., Ltd.**  
**Sun Shuming**  
Chairman

*Note: This letter is addressed to Non-registered shareholders ("Non-registered shareholder" means such person or company whose shares are held in The Central Clearing and Settlement System (CCASS) and who has notified the Company from time to time through Hong Kong Securities Clearing Company Limited to receive Corporate Communications). If you have sold or transferred your shares in the Company, please disregard this letter and the Request Form on the reverse side.*

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尊敬的非登記股東 <sup>(附註)</sup>：

**廣發證券股份有限公司 (「本公司」)**

**- 2018 年中期報告 (「本次公司通訊文件」) 之發佈通知**

本公司的本次公司通訊文件的中、英文版本已上載於本公司網站 ([www.gf.com.cn](http://www.gf.com.cn)) 及香港交易及結算所有限公司網站 ([www.hkexnews.hk](http://www.hkexnews.hk))，歡迎瀏覽。

如閣下欲收取本次公司通訊文件之印刷本，請填妥在本函背面的申請表格，並使用在申請表格底部之郵寄標籤寄回本公司之 H 股股份過戶登記處香港中央證券登記有限公司 (「H 股股份過戶登記處」) (如在香港投寄，毋須貼上郵票；否則，請貼上適當的郵票)。H 股股份過戶登記處地址為香港灣仔皇后大道東 183 號合和中心 17M 樓。申請表格亦可於本公司網站 ([www.gf.com.cn](http://www.gf.com.cn)) 或香港交易及結算所有限公司網站 ([www.hkexnews.hk](http://www.hkexnews.hk)) 內下載。

閣下如對上述任何事宜有任何疑問，請在辦公時間星期一至五 (公眾假期除外) 上午 9 時正至下午 6 時正內致電 H 股股份過戶登記處之電話熱線 (852) 2862 8688，或電郵至 [gfsec.ecom@computershare.com.hk](mailto:gfsec.ecom@computershare.com.hk) 查詢。

代表  
廣發證券股份有限公司  
董事長  
孫樹明  
謹啟

2018 年 9 月 8 日

*附註：此函乃向本公司之非登記股東(「非登記股東」指股份存放於中央結算及交收系統的人士或公司，透過香港中央結算有限公司不時向本公司發出通知，希望收到公司通訊文件)發出。如果閣下已經出售或轉讓所持有之本公司股份，則無需理會本函及本函背面的申請表格。*



## Request Form 申請表格

**To: GF Securities Co., Ltd.**  
**(“the Company”)** (Stock Code: 1776)  
c/o Computershare Hong Kong Investor Services Limited  
17M Floor, Hopewell Centre, 183 Queen’s Road East,  
Wanchai, Hong Kong

**致: 廣發證券股份有限公司**  
**(「本公司」)** (股份代號: 1776)  
經香港中央證券登記有限公司  
香港灣仔皇后大道東 183 號  
合和中心 17M 樓

I/We would like to receive the Corporate Communications\* of the Company (“Corporate Communications”) in the manner as indicated below:

本人/我們希望以下列方式收取公司之公司通訊文件\* (「公司通訊文件」):

(Please mark **ONLY ONE (X)** of the following boxes)  
(請從下列選擇中, 僅在其中一個空格內劃上「X」號)

to receive the **printed English version** of all Corporate Communications ONLY; **OR**  
僅收取所有公司通訊文件之英文印刷本; 或

to receive the **printed Chinese version** of all Corporate Communications ONLY; **OR**  
僅收取所有公司通訊文件之中文印刷本; 或

to receive both **printed English and Chinese versions** of all Corporate Communications.  
同時收取所有公司通訊文件之英文及中文印刷本。

**Name(s) of Non-registered Shareholder(s)<sup>#</sup>**  
**非登記股東姓名<sup>#</sup>**

**Date**  
**日期**

(Please use **ENGLISH BLOCK LETTERS** 請用英文正楷填寫)

**Contact Telephone Number**  
**聯絡電話號碼**

**Signature(s)**  
**簽名**

<sup>#</sup> You are required to fill in the details if you download this Request Form from the Company’s website or the website of Hong Kong Exchanges and Clearing Limited.  
假如你從本公司網站或香港交易及結算有限公司網站下載本申請表格, 請必須填上有關資料。

**Notes 附註:**

- Please complete all your details clearly.  
請閣下清楚填妥所有資料。
- This letter is addressed to Non-registered shareholders (“Non-registered shareholder” means such person or company whose shares are held in The Central Clearing and Settlement System (CCASS) and who has notified the Company from time to time through Hong Kong Securities Clearing Company Limited to receive Corporate Communications).  
此函件乃向本公司之非登記股東(“非登記股東”指股份存放於中央結算及交收系統的人士或公司, 透過香港中央結算有限公司不時向本公司發出通知, 希望收到公司通訊文件)發出。
- Any form with more than one box marked(X), with no box marked(X), with no signature or otherwise incorrectly completed will be void.  
如在本表格作出超過一項選擇、或未有作出選擇、或未有簽署、或在其他方面填寫不正確, 則本表格將會作廢。
- The above instruction will apply to the Corporate Communications to be sent to you until you notify the Company c/o the Company’s H Share Registrar, Computershare Hong Kong Investor Services Limited, to the contrary or unless you have at anytime ceased to have holdings in the Company.  
上述指示適用於發送予閣下之公司通訊, 直至閣下通知本公司之H股股份過戶登記處香港中央證券登記有限公司另外之安排或任何時候停止持有本公司的股份。
- For the avoidance of doubt, the Company do not accept any other instructions given on this Request Form.  
為免存疑, 任何在本申請表格上的額外指示, 本公司將不予處理。

\*Corporate Communications include but are not limited to (a) the annual report; (b) the interim report and, where applicable, summary interim report; (c) a notice of meeting; (d) a listing document; (e) a circular; (f) a proxy form and (g) a reply slip.  
公司通訊文件包括但不限於:(a)年度報告;(b)中期報告及(如適用)中期摘要報告;(c)會議通告;(d)上市文件;(e)通函;(f)委任代表表格及(g)回條。



### 郵寄標籤 MAILING LABEL

閣下寄回此申請表格時, 請將郵寄標籤剪貼於信封上。

**如在香港投寄毋須貼上郵票。**

Please cut the mailing label and stick this on the envelope to return this Request Form to us.

No postage stamp necessary if posted in Hong Kong.

香港中央證券登記有限公司  
Computershare Hong Kong Investor Services Limited  
簡便回郵號碼 Freepost No. 37  
香港 Hong Kong