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# 中信证券股份有限公司 CITIC Securities Company Limited

(A joint stock limited company incorporated in the People's Republic of China with limited liability)  
(Stock Code: 6030)

## Announcement on the Financial Data for September 2018

This announcement is made pursuant to the Inside Information Provisions (as defined under the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited) (the “**Listing Rules**”) under Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and Rule 13.09 of the Listing Rules.

In accordance with the *Provisions on Strengthening the Supervision and Administration of Listed Securities Companies* (as amended in 2010) promulgated by the China Securities Regulatory Commission, the key financial data of CITIC Securities Company Limited (the “**Company**”) for September 2018 is hereby disclosed.

Your attention is drawn to the following:

1. Scope of disclosure: the Company (as the parent company) and its two subsidiary securities companies in the PRC (the “**Subsidiary Securities Companies**”), namely, CITIC Securities (Shandong) Co., Ltd. (中信証券(山東)有限責任公司) (“**CITIC Securities (Shandong)**”) and Kington Securities Limited Liability Company (金通證券有限責任公司) (“**Kington Securities**”); and
2. The relevant data disclosed herein are unaudited and unconsolidated, and the finalized figures shall be those disclosed in the periodic reports of the Company.

Table of key financial data of the Company and the Subsidiary Securities Companies for September 2018 (unaudited)

*Unit: RMB in ten thousands*

| Name of Company                 | September 2018 |            | As at<br>30 September<br>2018 |
|---------------------------------|----------------|------------|-------------------------------|
|                                 | Revenue        | Net Profit | Net Assets                    |
| The Company (as parent company) | 152,577        | 52,951     | 12,409,940                    |
| CITIC Securities (Shandong)     | 8,951          | 2,413      | 597,459                       |
| Kington Securities              | 37             | 6          | 12,836                        |

By order of the board of directors  
**CITIC Securities Company Limited**  
**ZHANG Youjun**  
Chairman

Beijing, the PRC  
15 October 2018

As at the date of this announcement, the executive directors of the Company are Mr. ZHANG Youjun and Mr. YANG Minghui; the non-executive director is Mr. KUANG Tao; and the independent non-executive directors are Mr. LIU Ke, Mr. HE Jia and Mr. CHAN, Charles Sheung Wai.