

CICC Fund Series

CICC CSI Select 100 ETF

Stock codes: 83093 (*RMB counter*), 3093 (*HKD counter*)

CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

Stock codes: 83079 (*RMB counter*), 3079 (*HKD counter*)

ICBC CICC USD Money Market ETF

Stock codes: 9011 (*USD counter*), 3011 (*HKD counter*)

CICC HKD Money Market ETF

Stock code: 3071 (*HKD counter*)

For the six months ended 30 June 2021

Unaudited Interim Report

Contents	Page(s)
Administration and management	1
Manager's report	2 – 5
Statements of assets and liabilities	6 – 11
Statements of comprehensive income	12 – 15
Statements of changes in net assets attributable to unitholders	16 – 20
Statements of cash flows	21 – 28
Portfolio statements	29 – 35
Statements of movements in portfolio holdings	36 – 59
Performance record	60 – 63
Investment limitation and prohibitions under the SFC Code	64
Basis of preparation	65

Administration and management

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*Public Interest Entity Auditor registered in
accordance with the Financial Reporting
Council Ordinance*

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Manager's report

CICC CSI Select 100 ETF

Introduction

The CICC CSI Select 100 ETF (the "Sub-Fund"), a sub-fund of the CICC Fund Series (the "Trust"), was launched on 30 November 2018 and commenced trading under the stock codes 3093 for the HKD counter and 83093 for the RMB counter on The Stock Exchange of Hong Kong Limited (the "SEHK") on 5 December 2018. Each Sub-Fund is a Hong Kong unit trust authorised under the Securities and Futures Ordinance (Cap. 571) of Hong Kong. The Sub-Fund is benchmarked against the CSI CICC Select 100 Index. The manager of the Sub-Fund is China International Capital Corporation Hong Kong Asset Management Limited (the "Manager") and the trustee is Brown Brothers Harriman Trustee Services (Hong Kong) Limited (the "Trustee").

Performance of the Sub-Fund

The investment objective of the Sub-Fund is to provide investment results that, before fees and expenses, closely correspond to the performance of the CSI CICC Select 100 Index (the "Index"). There can be no assurance that the Sub-Fund will achieve its investment objective.

The table below illustrates the comparison between the Sub-Fund's performance and that of the Index during the following period:

	YTD	1-month	3-month	6-month	1-year	Inception ¹
Sub-Fund's Total Return (%)	(0.19%)	(1.59%)	(2.02%)	(0.19%)	13.08%	34.44%
Index Benchmark (%)	0.81%	(0.90%)	(0.90%)	0.81%	14.95%	39.74%

¹ Inception date of the Sub-Fund: 30 November 2018.

Activities of the Index

The CSI CICC Select 100 Index underwent one review during the period. The Sub-Fund uses representative sampling strategy by investing directly in a representative sample of securities in the CSI CICC Select 100 Index.

China International Capital Corporation Hong Kong Asset Management Limited

31 August 2021

Manager's report

CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

Introduction

The CICC Bloomberg China Treasury 1-10 Years ETF (the "Sub-Fund"), a sub-fund of the CICC Fund Series (the "Trust"), was launched on 7 December 2018 and commenced trading under the stock codes 3079 for the HKD counter and 83079 for the RMB counter on The Stock Exchange of Hong Kong Limited (the "SEHK") on 12 December 2018. The Sub-Fund is a Hong Kong unit trust authorised under the Securities and Futures Ordinance (Cap. 571) of Hong Kong. The Sub-Fund is benchmarked against the Bloomberg China Treasury 1-10 Years Index (previously known as "Bloomberg Barclays China Treasury 1-10 Years Index"). The manager of the Sub-Fund is China International Capital Corporation Hong Kong Asset Management Limited (the "Manager") and the trustee is Brown Brothers Harriman Trustee Services (Hong Kong) Limited (the "Trustee").

Performance of the Sub-Fund

The investment objective of the Sub-Fund is to provide investment results that, before fees and expenses, closely correspond to the performance of the Bloomberg China Treasury 1-10 Years Index (the "Index"). There can be no assurance that the Sub-Fund will achieve its investment objective.

The table below illustrates the comparison between the Sub-Fund's performance and that of the Index during the following period:

	YTD	1-month	3-month	6-month	1-year	Inception ¹
Sub-Fund's Total Return (%)	1.57%	0.13%	0.99%	1.57%	2.02%	7.72%
Index Benchmark (%)	1.87%	0.22%	1.20%	1.87%	2.04%	9.02%

¹ Inception date of the Sub-Fund: 7 December 2018.

Activities of the Index

The Bloomberg China Treasury 1-10 Years Index underwent review each month. The Sub-Fund uses representative sampling strategy by investing directly in a representative sample of bonds in the Bloomberg China Treasury 1-10 Years Index.

China International Capital Corporation Hong Kong Asset Management Limited

31 August 2021

Manager's report

ICBC CICC USD Money Market ETF

Introduction

The ICBC CICC USD Money Market ETF (the "Sub-Fund"), a sub-fund of the CICC Fund Series (the "Trust"), was launched on 14 June 2019 and commenced trading under the stock codes 3011 for the HKD counter and 9011 for the USD counter on The Stock Exchange of Hong Kong Limited (the "SEHK") on 18 June 2019. The Sub-Fund is a Hong Kong unit trust authorized under the Securities and Futures Ordinance (Cap. 571) of Hong Kong and is an actively managed exchange traded fund under Chapters 8.2 and 8.10 of the Code on Unit Trusts and Mutual Funds (the "Code"). On 18 November 2019, two unlisted classes of units, namely Class A (USD) and Class I (USD) was established for the Sub-Fund. Through Class A (USD) and Class I (USD), subscriptions to and redemptions from the Sub-Fund may be made by investors at their Net Asset Value ("NAV"). The manager of the Sub-Fund is China International Capital Corporation Hong Kong Asset Management Limited (the "Manager"). The investment adviser of the Sub-Fund is ICBC Asset Management (Global) Company Limited (the "Investment Adviser") and the trustee is Brown Brothers Harriman Trustee Services (Hong Kong) Limited (the "Trustee").

Performance of the Sub-Fund

The Sub-Fund's objective is to invest in short-term deposits and high quality money market investments. The Sub-Fund seeks to achieve a return in US Dollars in line with prevailing money market rates, with primary considerations of both capital preservation and liquidity. There can be no assurance that the Sub-Fund will achieve its investment objective.

The Sub-Fund seeks to achieve its investment objective by investing primarily (i.e. not less than 70% of the NAV of the Sub-Fund in US Dollars-denominated and settled short-term deposits and high quality money market investments issued by governments, quasi-governments, international organizations and financial institutions.

The Sub-Fund offers both listed class of units and unlisted class of units. The table below illustrates the performance of the respective classes of units of the Sub-Fund during the following period:

	YTD	1-month	3-month	6-month	1-year	Inception
Listed Class	0.23%	0.03%	0.10%	0.23%	0.64%	2.87% ¹
Unlisted Class A (USD)	0.15%	0.02%	0.06%	0.15%	0.51%	1.58% ²
Unlisted Class I (USD)	0.20%	0.03%	0.09%	0.20%	0.60%	1.71% ³

¹ Inception date of the Sub-Fund (Listed Class): 14 June 2019.

² Inception date of the Sub-Fund (Unlisted Class A (USD)): 18 November 2019.

³ Inception date of the Sub-Fund (Unlisted Class I (USD)): 18 November 2019.

China International Capital Corporation Hong Kong Asset Management Limited

31 August 2021

Manager's report

CICC HKD Money Market ETF

Introduction

The CICC HKD Money Market ETF (the "Sub-Fund"), a sub-fund of the CICC Fund Series (the "Trust"), was launched on 30 November 2020 and commenced trading under the stock codes 3071 for the HKD counter on The Stock Exchange of Hong Kong Limited (the "SEHK") on 2 December 2020. The Sub-Fund is a Hong Kong unit trust authorized under the Securities and Futures Ordinance (Cap. 571) of Hong Kong and is an actively managed exchange traded fund under Chapters 8.2 and 8.10 of the Code on Unit Trusts and Mutual Funds (the "Code"). On 2 December 2020, an unlisted classes of units, namely Class I (HKD) was established for the Sub-Fund. Through Class I (HKD), subscriptions to and redemptions from the Sub-Fund may be made by investors at its Net Asset Value ("NAV"). The manager of the Sub-Fund is China International Capital Corporation Hong Kong Asset Management Limited (the "Manager") and the trustee is Brown Brothers Harriman Trustee Services (Hong Kong) Limited (the "Trustee").

Performance of the Sub-Fund

The Sub-Fund's objective is to invest in short-term deposits and high quality money market investments. The Sub-Fund seeks to achieve a return in Hong Kong Dollars in line with prevailing money market rates, with primary considerations of both capital preservation and liquidity. There can be no assurance that the Sub-Fund will achieve its investment objective.

The Sub-Fund will invest at least 70% of its NAV in Hong Kong Dollars ("HKD") denominated and settled short-term deposits and high quality money market instruments issued by governments, quasi-governments, international organisations and financial institutions.

The Sub-Fund offers both listed class of units and unlisted class of units. The table below illustrates the performance of the respective classes of units of the Sub-Fund during the following period:

	YTD	1-month	3-month	6-month	Inception
Listed Class	0.11%	0.01%	0.04%	0.11%	0.14% ¹
Unlisted Class I (HKD)	0.08%	0.01%	0.02%	0.08%	0.10% ²

¹ Inception date of the Sub-Fund (Listed Class): 30 November 2020

² Inception date of the Sub-Fund (Unlisted Class I (HKD)): 2 December 2020

China International Capital Corporation Hong Kong Asset Management Limited

31 August 2021

Statements of assets and liabilities as at 30 June 2021 and 31 December 2020

	<i>CICC CSI</i>	
	<i>Select 100 ETF</i>	
	<i>30 June</i>	<i>31 December</i>
	<i>2021</i>	<i>2020</i>
	<i>(Unaudited)</i>	<i>(Unaudited)</i>
	<i>RMB</i>	<i>RMB</i>
Assets		
Financial assets at fair value through profit or loss	48,667,545	48,906,872
Cash and cash equivalents	306,389	125,232
Expenses reimbursement receivables from the Manager	1,420,424	1,082,306
Other receivables and prepayments	15,390	34,728
Total assets	50,409,748	50,149,138
Liabilities		
Audit fee payables	(46,184)	(87,627)
Management fee payables	(1,081,423)	(912,210)
Administration fee payables	(195,553)	(65,565)
Trustee and registrar fees payables	(27,159)	(9,153)
Custody fee payables	(64,614)	(21,956)
Transaction costs payables	(41,487)	(21,170)
Other payables and accruals	(105,021)	(92,527)
Total liabilities	(1,561,441)	(1,210,208)
Net assets attributable to unitholders	48,848,307	48,938,930
Representing:		
Total equity	48,848,307	48,938,930
Total number of units in issue	4,500,000	4,500,000
Net asset value per unit	10.86	10.88

Statements of assets and liabilities as at 30 June 2021 and 31 December 2020 (continued)

	CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)	
	30 June 2021 (Unaudited) RMB	31 December 2020 RMB
Assets		
Financial assets at fair value through profit or loss	75,596,350	80,475,800
Cash and cash equivalents	247,010	70,751
Interest receivables	1,332,858	878,210
Expenses reimbursement receivables from the Manager	1,277,805	974,327
Other receivables and prepayments	15,694	34,985
Total assets	78,469,717	82,434,073
Liabilities		
Audit fee payables	(46,184)	(87,627)
Management fee payables	(397,382)	(316,100)
Administration fee payables	(197,943)	(66,168)
Trustee and registrar fees payables	(29,343)	(9,762)
Custody fee payables	(61,297)	(20,837)
Transaction costs payables	(3,407)	(2,926)
Other payables and accruals	(121,343)	(62,809)
Total liabilities	(856,899)	(566,229)
Net assets attributable to unitholders	77,612,818	81,867,844
Representing:		
Total equity	77,612,818	81,867,844
Total number of units in issue	700,000	750,000
Net asset value per unit	110.88	109.16

Statements of assets and liabilities as at 30 June 2021 and 31 December 2020 (continued)

	<i>ICBC CICC USD</i>	
	<i>Money Market ETF</i>	
	<i>30 June</i>	<i>31 December</i>
	<i>2021</i>	<i>2020</i>
	<i>(Unaudited)</i>	<i>2020</i>
	USD	USD
Assets		
Financial assets at fair value through profit or loss	160,997,146	234,000,000
Time deposits	30,000,556	118,313,853
Cash and cash equivalents	282,441,401	189,520,202
Interest receivables	479,851	982,871
Expenses reimbursement receivables from the Manager	101,456	88,751
Other receivables and prepayments	1,659	2,487
Total assets	474,022,069	542,908,164
Liabilities		
Amount due to brokers	(5,000,000)	–
Redemption payable	(100)	–
Audit fee payables	(6,757)	(13,285)
Management fee payables	(310,443)	(336,542)
Administration fee payables	(143,593)	(178,368)
Trustee and registrar fees payables	(76,539)	(87,556)
Custody fee payables	(9,531)	(11,316)
Transaction costs payables	(41,083)	(42,507)
Other payables and accruals	(12,804)	(6,875)
Total liabilities	(5,600,850)	(676,449)
Net assets attributable to unitholders	468,421,219	542,231,715

Statements of assets and liabilities as at 30 June 2021 and 31 December 2020 (continued)

	<i>ICBC CICC USD</i>	
	<i>Money Market ETF</i>	
	<i>30 June</i>	<i>31 December</i>
	<i>2021</i>	<i>2020</i>
	<i>(Unaudited)</i>	<i>(Unaudited)</i>
	USD	USD
Representing:		
Total financial liabilities	468,421,219	542,231,715
Listed Class	398,097,770	448,508,150
Unlisted Class A	11,189,078	17,020,316
Unlisted Class I	59,134,371	76,703,249
Number of units in issue		
Listed Class	387,000	437,000
Unlisted Class A	10,899	16,604
Unlisted Class I	57,526	74,769
Net assets value per unit		
Listed Class	1,028.68	1,026.33
Unlisted Class A	1,026.62	1,025.04
Unlisted Class I	1,027.97	1,025.87

Statement of assets and liabilities as at 30 June 2021 (continued)

	CICC HKD Money Market ETF 30 June 2021 (Unaudited) HKD
Assets	
Time Deposits	50,076,877
Cash and cash equivalents	459,318,202
Interest receivables	144,248
Expenses reimbursement receivables from the Manager	1,084,957
Other receivables and prepayments	13,939
Total assets	510,638,223
Liabilities	
Audit fee payables	(56,748)
Management fee payables	(859,419)
Administration fee payables	(161,836)
Trustee and registrar fees payables	(110,558)
Establishment cost payables	(600,000)
Custody fee payables	(71,305)
Transaction costs payables	(19,139)
Other payables and accruals	(50,559)
Total liabilities	(1,929,564)
Net assets attributable to unitholders	508,708,659

Statement of assets and liabilities as at 30 June 2021 (continued)

	CICC HKD Money Market ETF 30 June 2021 (Unaudited) HKD
Representing:	
Total financial liabilities	508,708,659
Listed Class	476,646,327
Unlisted Class I	32,062,332
Number of units in issue	
Listed Class	476,000
Unlisted Class I	32,029
Net assets value per unit	
Listed Class	1,001.36
Unlisted Class I	1,001.04

Statements of comprehensive income for the six months ended 30 June 2021 and year ended 31 December 2020

	<i>CICC CSI</i>	
	<i>Select 100 ETF</i>	
	<i>For the six months</i>	
	<i>ended</i>	
	<i>30 June</i>	<i>31 December</i>
	<i>2021</i>	<i>2020</i>
	<i>(Unaudited)</i>	<i></i>
	<i>RMB</i>	<i>RMB</i>
Income		
Dividend income	471,933	1,724,136
Expense reimbursements	338,118	645,726
Net (losses)/gains from financial assets at fair value through profit or loss	(232,229)	1,010,273
Net foreign exchange gains	29	76
Net gains from duties and charges	–	184,420
Total net income	577,851	3,564,631
Expenses		
Management fee	(169,213)	(336,015)
Custody fee	(42,658)	(83,426)
Trustee and registrar fees	(18,006)	(34,852)
Audit fee	(45,104)	(90,215)
Administration fee	(129,988)	(262,148)
Transaction costs	(84,497)	(215,581)
Other operating expenses	(131,815)	(231,107)
Total expenses	(621,281)	(1,253,344)
Taxation	(47,193)	(172,414)
(Decrease)/Increase in net assets attributable to unitholders and total comprehensive (loss)/income for the period	(90,623)	2,138,873

Statements of comprehensive income for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

	CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF) For the six months ended 30 June	
	2021 (Unaudited) RMB	31 December 2020 RMB
Income		
Interest income	1,289,218	2,400,720
Other income	–	5,799
Expense reimbursements	303,478	564,873
Net gains/(losses) from financial assets at fair value through profit or loss	130,100	(362,314)
Net foreign exchange gains	–	3
Net gains/(losses) from duties and charges	170	(2,592)
Total net income	1,722,966	2,606,489
Expenses		
Management fee	(81,282)	(152,817)
Custody fee	(40,460)	(82,307)
Trustee and registrar fees	(19,581)	(37,288)
Audit fee	(45,103)	(90,214)
Administration fee	(131,775)	(263,735)
Transaction costs	(481)	(7,185)
Other operating expenses	(127,098)	(198,877)
Total expenses	(445,780)	(832,423)
Taxation	(62)	(580)
Increase in net assets attributable to unitholders and total comprehensive income for the period	1,277,124	1,773,486

Statements of comprehensive income for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

	ICBC CICC USD Money Market ETF	
	For the six months ended 30 June 2021 (Unaudited)	
	USD	31 December 2020 USD
Income		
Interest income	1,874,441	6,291,348
Other income	18,162	3,885
Expense reimbursements	175,458	268,786
Total net income	2,068,061	6,564,019
Expenses		
Management fee	(636,585)	(1,080,960)
Custody fee	(35,564)	(9,153)
Trustee and registrar fees	(53,457)	(81,042)
Audit fee	(6,782)	(13,383)
Administration fee	(94,294)	(170,186)
Transaction costs	(29,629)	(40,127)
Other operating expenses	(15,570)	(28,572)
Total expenses	(871,881)	(1,423,423)
Taxation	—	—
Increase in net assets attributable to unitholders and total comprehensive income for the period	1,196,180	5,140,596

Statement of comprehensive income for the period ended 30 June 2021 (continued)

	CICC HKD Money Market ETF Period from 30 November 2020 (date of inception) to 30 June 2021 (Unaudited) HKD
Income	
Interest income	1,684,282
Other income	2,860
Expense reimbursements	1,084,957
Total net income	2,772,099
Expenses	
Management fee	(859,419)
Interest expenses	(472)
Custody fee	(71,305)
Trustee and registrar fees	(110,558)
Audit fee	(56,748)
Administration fee	(161,836)
Establishment cost expenses	(600,000)
Transaction costs	(19,139)
Other operating expenses	(100,313)
Total expenses	(1,979,790)
Taxation	—
Increase in net assets attributable to unitholders and total comprehensive income for the period	792,309

Statements of changes in net assets attributable to
unitholders
for the six months ended 30 June 2021 and year ended
31 December 2020

	CICC CSI Select 100 ETF For the six months ended 30 June 2021 (Unaudited) RMB	31 December 2020 RMB
Net assets attributable to unitholders at the beginning of the period	48,938,930	76,306,857
Subscription of units	–	–
Redemption of units	–	(29,506,800)
(Decrease)/increase in net assets attributable to unitholders and total comprehensive (loss)/income for the period	(90,623)	2,138,873
Net assets attributable to unitholders at the end of the period	48,848,307	48,938,930
Units issued and redeemed		
Balance at the beginning of the period	4,500,000	7,500,000
Subscription of units	–	–
Redemption of units	–	(3,000,000)
Balance at the end of the period	4,500,000	4,500,000

Statements of changes in net assets attributable to
 unitholders
 for the six months ended 30 June 2021 and year ended
 31 December 2020 (continued)

	CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF) For the six months ended 30 June 2021 (Unaudited) RMB	31 December 2020 RMB
Net assets attributable to unitholders at the beginning of the period	81,867,844	74,671,958
Subscription of units	–	5,422,400
Redemption of units	(5,532,150)	–
Increase in net assets attributable to unitholders and total comprehensive income for the period	1,277,124	1,773,486
Net assets attributable to unitholders at the end of the period	<u>77,612,818</u>	<u>81,867,844</u>
 Units issued and redeemed		
Balance at the beginning of the period	750,000	700,000
Subscription of units	–	50,000
Redemption of units	(50,000)	–
Balance at the end of the period	<u>700,000</u>	<u>750,000</u>

Statements of changes in net assets attributable to
 unitholders
 for the six months ended 30 June 2021 and year ended
 31 December 2020 (continued)

	<i>ICBC CICC USD Money Market ETF</i>	
	<i>For the six months ended 30 June 2021 (Unaudited USD</i>	<i>31 December 2020 USD</i>
Net assets attributable to unitholders at the beginning of the period	542,231,715	241,308,517
Subscription of units		
- Listed Class	93,552,368	517,757,805
- Unlisted Class A	16,844,914	51,298,587
- Unlisted Class I	114,917,090	105,755,822
Redemption of units		
- Listed Class	(144,922,523)	(259,845,760)
- Unlisted Class A	(22,704,452)	(38,296,960)
- Unlisted Class I	(132,694,073)	(80,886,892)
Increase in net assets attributable to unitholders and total comprehensive income for the period	1,196,180	5,140,596
Net assets attributable to unitholders at the end of the period	468,421,219	542,231,715

Statements of changes in net assets attributable to
 unitholders
 for the six months ended 30 June 2021 and year ended
 31 December 2020 (continued)

	<i>ICBC CICC USD</i>	
	<i>Money Market ETF</i>	
	<i>For the six months</i>	
	<i>ended</i>	
	<i>30 June</i>	
	<i>2021</i>	<i>31 December</i>
	<i>(Unaudited</i>	<i>2020</i>
	<i>USD</i>	<i>USD</i>
Units issued and redeemed		
Balance at the beginning of the period		
- Listed Class	437,000	184,000
- Unlisted Class A	16,604	3,819
- Unlisted Class I	74,769	50,359
Subscription of units		
- Listed Class	91,000	507,000
- Unlisted Class A	16,419	50,339
- Unlisted Class I	111,964	103,657
Redemption of units		
- Listed Class	(141,000)	(254,000)
- Unlisted Class A	(22,124)	(37,554)
- Unlisted Class I	(129,207)	(79,247)
Balance at the end of the period		
- Listed Class	387,000	437,000
- Unlisted Class A	10,899	16,604
- Unlisted Class I	57,526	74,769

Statement of changes in net assets attributable to unitholders for the period ended 30 June 2021 (continued)

	CICC HKD Money Market ETF Period from 30 November 2020 (date of inception) to 30 June 2021 (Unaudited) HKD
Net assets attributable to unitholders at the beginning of the period	—
Subscription of units	
- Listed Class	726,205,683
- Unlisted Class I	304,314,000
Redemption of units	
- Listed Class	(250,306,097)
- Unlisted Class I	(272,297,236)
Increase in net assets attributable to unitholders and total comprehensive income for the period	792,309
Net assets attributable to unitholders at the end of the period	508,708,659
Units issued and redeemed	
Balance at the beginning of the period	
- Listed Class	—
- Unlisted Class I	—
Subscription of units	
- Listed Class	726,000
- Unlisted Class I	304,178
Redemption of units	
- Listed Class	(250,000)
- Unlisted Class I	(272,149)
Balance at the end of the period	476,000
- Listed Class	476,000
- Unlisted Class I	32,029

Statements of cash flows for the six months ended 30 June 2021 and year ended 31 December 2020

	CICC CSI Select 100 ETF For the six months ended 30 June 2021 (Unaudited) RMB		31 December 2020 RMB
Operating activities			
(Decrease)/increase in net assets attributable to unitholders and total comprehensive (loss)/income for the period	(90,623)		2,138,873
Adjustments for:			
Net losses/(gains) from financial assets at fair value through profit or loss	232,229		(1,010,273)
Operating gains before changes in working capital	141,606		1,128,600
Purchase of financial assets at fair value through profit or loss	(28,654,457)		(57,578,548)
Proceeds from sale of financial assets at fair value through profit or loss	28,661,555		85,614,354
Increase in expenses reimbursement receivables from the Manager	(338,118)		(216,544)
Decrease/(increase) in other receivables and prepayment	19,338		(34,728)
Decrease in audit fee payables	(41,443)		(1,798)
Increase in management fee payables	169,213		336,015
Increase/(decrease) in administration fee payables	129,988		(14,505)
Increase/(decrease) in trustee and registrar fees payables	18,006		(30,436)
Decrease in establishment cost payables	–		(429,182)
Increase/(decrease) in custody fee payables	42,658		(6,150)
Increase/(decrease) in transaction costs payables	20,317		(8,980)
Increase in other payables and accruals	12,494		19,815
Net cash flows generated from operating activities	181,157		28,777,913
Cash flows from financing activities			
Proceeds from subscription of units	–		–
Payment for redemption of units	–		(29,506,800)
Net cash flows used in financing activities	–		(29,506,800)

Statements of cash flows for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

	CICC CSI Select 100 ETF	
	For the six months ended 30 June 2021	
	(Unaudited) RMB	31 December 2020 RMB
Net increase/(decrease) in cash and cash equivalents	181,157	(728,887)
Cash and cash equivalents at the beginning of the period	125,232	854,119
Cash and cash equivalents at the end of the period	306,389	125,232
Analysis of cash and cash equivalents		
Cash at bank	306,389	125,232
Net cash flows from operating activities include:		
Dividend income	471,933	1,724,136

Statements of cash flows for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

*CICC Bloomberg China
Treasury 1-10 Years ETF
(Previously known as CICC Bloomberg
Barclays China Treasury 1-10 Years ETF)
For the six months
ended
30 June
2021
(Unaudited)
RMB*

*31 December
2020
RMB*

Operating activities

Increase in net assets attributable to unitholders and total comprehensive income for the period	1,277,124	1,773,486
Adjustments for:		
Net (gains)/losses from financial assets at fair value through profit or loss	(130,100)	362,314
Operating gains before changes in working capital	1,147,024	2,135,800
Purchase of financial assets at fair value through profit or loss	(9,991,480)	(19,480,214)
Proceeds from sale of financial assets at fair value through profit or loss	15,001,030	10,000,000
Increase in interest receivables	(454,648)	(6,146)
Increase in expenses reimbursement receivables from the Manager	(303,478)	(110,691)
Decrease/(increase) in other receivables and prepayments	19,291	(34,985)
Decrease in audit fee payables	(41,443)	(1,798)
Increase in management fee payables	81,282	152,817
Increase in administration fee payables	131,775	8,239
Increase/(decrease) in trustee and registrar fees payables	19,581	(28,915)
Decrease in establishment cost payables	–	(454,182)
Increase/(decrease) in custody fee payables	40,460	(13,949)
Increase/(decrease) in transaction costs payables	481	(2,468)
Increase/(decrease) in other payables and accruals	58,534	(72,147)
Net cash flows generated from/(used in) operating activities	5,708,409	(7,908,639)

Statements of cash flows for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

	CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF) For the six months ended 30 June 2021 (Unaudited) RMB	31 December 2020 RMB
Cash flows from financing activities		
Proceeds from subscription of units	–	5,422,400
Payment for redemption of units	(5,532,150)	–
Net cash flows (used in)/generated from financing activities	(5,532,150)	5,422,400
Net increase/(decrease) in cash and cash equivalents	176,259	(2,486,239)
Cash and cash equivalents at the beginning of the period	70,751	2,556,990
Cash and cash equivalents at the end of the period	247,010	70,751
Analysis of cash and cash equivalents		
Cash at bank	247,010	70,751
Net cash flows from operating activities include: Interest income	834,570	2,394,574

Statements of cash flows for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

	<i>ICBC CICC USD</i>	
	<i>Money Market ETF</i>	
	<i>For the six months</i>	
	<i>ended</i>	
	<i>30 June</i>	
	<i>2021</i>	<i>31 December</i>
	<i>(Unaudited)</i>	<i>2020</i>
	USD	USD
Operating activities		
Increase in net assets attributable to unitholders and total comprehensive income for the period	1,196,180	5,140,596
Adjustments for:		
Net losses from financial assets at fair value through profit or loss	—	—
Operating gains before changes in working capital	1,196,180	5,140,596
Purchase of financial assets at fair value through profit or loss	(290,993,749)	(453,624,099)
Proceeds from sale of financial assets at fair value through profit or loss	364,000,000	282,900,000
Net accretion of discount	(3,397)	(402,337)
Decrease/(increase) in interest receivables	503,020	(511,586)
(Increase)/decrease in expenses reimbursement receivables from the Manager	(12,705)	171,901
Decrease/(increase) in other receivables and prepayments	828	(2,487)
Increase in amount due to brokers	5,000,000	—
(Decrease)/increase in audit fee payables	(6,528)	438
(Decrease)/increase in management fee payables	(26,099)	188,645
(Decrease)/increase in administration fee payables	(34,775)	143,847
(Decrease)/increase in trustee and registrar fees payables	(11,017)	72,096
Decrease in establishment cost payables	—	(170,000)
(Decrease)/increase in custody fee payables	(1,785)	1,924
(Decrease)/increase in transaction costs payables	(1,424)	30,881
Increase in other payables and accruals	5,929	1,394
Net cash flows generated from/(used in) operating activities	79,614,478	(166,058,787)

Statements of cash flows for the six months ended 30 June 2021 and year ended 31 December 2020 (continued)

	<i>ICBC CICC USD Money Market ETF</i>	
	<i>For the six months ended 30 June 2021</i>	
	<i>(Unaudited)</i>	<i>31 December 2020</i>
	USD	USD
Cash flows from financing activities		
Proceeds from subscription of units	225,314,372	674,812,214
Payment for redemption of units	<u>(300,320,948)</u>	<u>(379,029,612)</u>
Net cash flows (used in)/generated from financing activities	<u>(75,006,576)</u>	<u>295,782,602</u>
Net increase in cash and cash equivalents	4,607,902	129,723,815
Cash and cash equivalents at the beginning of the period	<u>307,834,055</u>	<u>178,110,240</u>
Cash and cash equivalents at the end of the period	<u>312,441,957</u>	<u>307,834,055</u>
Analysis of cash and cash equivalents		
Cash at bank	195,768	1,913,985
Short term deposits with maturities of 3 months or less	<u>282,245,633</u>	<u>187,606,217</u>
Total cash and cash equivalents on statement of assets and liabilities	282,441,401	189,520,202
Time deposits (with original maturities over 3 months)	<u>30,000,556</u>	<u>118,313,853</u>
Cash and cash equivalents on statement of cash flows at the end of the period	<u>312,441,457</u>	<u>307,834,055</u>
Net cash flows from operating activities include:		
Interest income	<u>2,377,461</u>	<u>5,779,762</u>

Statement of cash flows for the period ended 30 June 2021 (continued)

	CICC HKD Money Market ETF Period from 30 November 2020 (date of inception) to 30 June 2021 (Unaudited) HKD
Operating activities	
Increase in net assets attributable to unitholders and total comprehensive income for the period	792,309
Adjustments for:	
Net gains from financial assets at fair value through profit or loss	—
Operating gains before changes in working capital	792,309
Purchase of financial assets at fair value through profit or loss	171,000,000
Proceeds from sale of financial assets at fair value through profit or loss	(171,000,000)
Increase in interest receivables	(144,248)
Increase in expenses reimbursement receivables from the Manager	(1,084,957)
Increase in audit fee payables	56,748
Increase in management fee payables	859,419
Increase in administration fee payables	161,836
Increase in trustee and registrar fees payables	110,558
Increase in establishment cost payables	600,000
Increase in custody fee payables	71,305
Increase in transaction costs payables	19,139
Increase in other payables and accruals	50,559
Increase in other receivable and prepayments	(13,939)
Net cash flows generated from operating activities	1,478,729

Statement of cash flows for the period ended 31 June 2021 (continued)

	CICC HKD Money Market ETF Period from 30 November 2020 (date of inception) to 30 June 2021 (Unaudited) HKD
Cash flows from financing activities	
Proceeds from subscription of units	1,030,519,683
Payment for redemption of units	<u>(522,603,333)</u>
Net cash flows generated from financing activities	<u>507,916,350</u>
Net increase in cash and cash equivalents	509,395,079
Cash and cash equivalents at the beginning of the period	<u>—</u>
Cash and cash equivalents at the end of the period	<u><u>509,395,079</u></u>
Analysis of cash and cash equivalents	
Cash at bank	667,993
Short term deposits with maturities of 3 months or less	<u>458,650,209</u>
Total cash and cash equivalents on statement of assets and liabilities	459,318,202
Time deposits (with original maturities over 3 months)	<u>50,076,877</u>
Cash and cash equivalents on statement of cash flows at the end of the period	<u><u>509,395,079</u></u>
Net cash flows from operating activities include:	
Interest income	1,540,034
Interest expenses	<u>(472)</u>

Portfolio statements as at 30 June 2021

CICC CSI Select 100 ETF

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value</i> <i>RMB</i>	<i>% of</i> <i>Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Listed securities			
Common Stocks			
Mainland China			
Communication Services			
Focus Media Information Technology Co Ltd	232,900	2,191,589	4.49%
Total Communication Services		2,191,589	4.49%
Consumer Discretionary			
Anhui Xinhua Media Co Ltd	26,400	124,608	0.25%
Anhui Zhongding Sealing Parts Co Ltd	16,600	200,528	0.41%
Changchun Faway Automobile Components Co Ltd	12,100	123,299	0.25%
Chongqing Department Store Co Ltd	4,600	126,270	0.26%
Dashang Co Ltd	4,000	83,040	0.17%
FAWER Automotive Parts Co Ltd	16,000	101,920	0.21%
Fuyao Glass Industry Group Co Ltd	30,800	1,720,180	3.52%
Great Wall Motor Co Ltd	26,800	1,168,212	2.39%
Gree Electric Appliances Inc of Zhuhai	39,900	2,078,790	4.26%
Guangzhou Automobile Group Co Ltd	47,900	620,305	1.27%
Guangzhou Shangpin Home Collection Co Ltd	1,800	159,318	0.33%
Haier Smart Home Co Ltd	76,700	1,987,297	4.07%
Hisense Home Appliances Group Co Ltd	10,200	146,574	0.30%
Huayu Automotive Systems Co Ltd	34,700	911,569	1.87%
KingClean Electric Co Ltd	1,800	112,014	0.23%
Lao Feng Xiang Co Ltd	2,900	153,613	0.31%
Loncin Motor Co Ltd	23,300	84,579	0.17%
Luolai Lifestyle Technology Co Ltd	7,500	100,425	0.21%
Markor International Home Furnishings Co Ltd	25,900	109,039	0.22%
Rainbow Digital Commercial Co Ltd	10,900	71,504	0.15%
SAIC Motor Corp Ltd	77,200	1,696,084	3.47%
TCL Technology Group Corp	307,800	2,354,670	4.82%
Vatti Corp Ltd	15,800	114,076	0.23%
Wanxiang Qianchao Co Ltd	30,000	159,300	0.33%
Weifu High-Technology Group Co Ltd	11,400	237,462	0.49%
Wuchan Zhongda Group Co Ltd	66,900	526,503	1.08%
Wuhan Department Store Group Co Ltd	10,500	122,850	0.25%
Xiamen Comfort Science & Technology Group Co Ltd	9,800	221,774	0.45%
Zhejiang Meida Industrial Co Ltd	5,800	106,024	0.22%
Zhejiang Semir Garment Co Ltd	40,600	485,170	0.99%

Portfolio statements as at 30 June 2021 (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value RMB</i>	<i>% of Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Listed securities (continued)			
Common Stocks (continued)			
Mainland China (continued)			
Consumer Discretionary (continued)			
Zhejiang Wanfeng Auto Wheel Co Ltd	34,800	194,880	0.40%
Total Consumer Discretionary		16,401,877	33.58%
Consumer Staples			
Anhui Kouzi Distillery Co Ltd	8,200	555,058	1.14%
Anhui Yingjia Distillery Co Ltd	5,400	239,220	0.49%
Inner Mongolia Yili Industrial Group Co Ltd	61,600	2,268,728	4.64%
Oppl Lighting Co Ltd	3,400	87,278	0.18%
Sichuan Swellfun Co Ltd	4,400	555,940	1.14%
Total Consumer Staples		3,706,224	7.59%
Energy			
Yanzhou Coal Mining Co Ltd	20,200	310,272	0.63%
Total Energy		310,272	0.63%
Financials			
China Life Insurance Co Ltd	36,700	1,243,763	2.55%
Guotai Junan Securities Co Ltd	99,300	1,702,002	3.48%
Haitong Securities Co Ltd	212,700	2,446,050	5.01%
Total Financials		5,391,815	11.04%
Health Care			
Guangzhou Baiyunshan Pharmaceutical Holdings Co Ltd	15,400	521,290	1.07%
Shanghai Pharmaceuticals Holding Co Ltd	26,200	553,606	1.13%
Total Health Care		1,074,896	2.20%
Industrials			
Anhui Construction Engineering Group Co Ltd	27,400	106,312	0.22%
Camel Group Co Ltd	15,300	177,021	0.36%
China Communications Construction Co Ltd	80,200	519,696	1.06%
China Railway Construction Corp Ltd	101,400	753,402	1.54%
China Railway Group Ltd	224,300	1,175,332	2.41%
China State Construction Engineering Corp Ltd	462,100	2,148,765	4.40%
CNHTC Jinan Truck Co Ltd	5,700	154,242	0.32%
Daqin Railway Co Ltd	131,000	861,980	1.76%
Dare Power Dekor Home Co Ltd	7,400	87,912	0.18%
Fujian Longking Co Ltd	17,000	144,840	0.30%
Hangcha Group Co Ltd	6,500	117,910	0.24%
Jiangsu Expressway Co Ltd	11,200	109,312	0.22%

Portfolio statements as at 30 June 2021 (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value RMB</i>	<i>% of Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Listed securities (continued)			
Common Stocks (continued)			
Mainland China (continued)			
Industrials (continued)			
Ningbo Construction Co Ltd	13,300	48,944	0.10%
Ningbo Zhoushan Port Co Ltd	52,200	206,190	0.42%
Shandong Hi-speed Co Ltd	14,200	87,330	0.18%
Shanghai International Port Group Co Ltd	78,700	375,399	0.77%
Shanghai Mechanical & Electrical Industry Co Ltd	7,300	118,625	0.24%
Shanghai Waigaoqiao Free Trade Zone Group Co Ltd	8,500	125,630	0.26%
Sinoma International Engineering Co	23,700	217,329	0.44%
Sinosteel Engineering & Technology Co Ltd	13,800	131,238	0.27%
Sinotrans Ltd	35,800	180,790	0.37%
Tian Di Science & Technology Co Ltd	37,600	146,640	0.30%
Titan Wind Energy Suzhou Co Ltd	20,200	174,730	0.36%
Tongling Jingda Special Magnet Wire Co Ltd	30,600	195,228	0.40%
Weichai Power Co Ltd	104,600	1,869,202	3.83%
Yutong Bus Co Ltd	30,200	377,198	0.77%
ZBOM Home Collection Co Ltd	3,500	111,860	0.23%
Zhuzhou Kibing Group Co Ltd	35,500	658,880	1.35%
Total Industrials		11,381,937	23.30%
Information Technology			
Accelink Technologies Co Ltd	9,500	233,700	0.48%
Shenzhen SDG Information Co Ltd	13,000	105,560	0.21%
Skyworth Digital Co Ltd	23,500	199,985	0.41%
Total Information Technology		539,245	1.10%
Materials			
Anhui Truchum Advanced Materials & Technology Co Ltd	18,200	158,886	0.33%
China Jushi Co Ltd	52,900	820,479	1.68%
DeHua TB New Decoration Materials Co Ltd	12,300	121,278	0.25%
Fangda Special Steel Technology Co Ltd	23,700	160,686	0.33%
Hoshine Silicon Industry Co Ltd	3,300	253,803	0.52%
ORG Technology Co Ltd	38,400	197,376	0.40%
Sansteel Minguang Co Ltd Fujian	27,000	181,980	0.37%
Shandong Chenming Paper Holdings Ltd	27,800	226,292	0.46%
Shanying International Holding Co Ltd	73,200	252,540	0.52%
Wanhua Chemical Group Co Ltd	24,800	2,698,736	5.52%

Portfolio statements as at 30 June 2021 (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value</i> <i>RMB</i>	<i>% of</i> <i>Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Listed securities (continued)			
Common Stocks (continued)			
Mainland China (continued)			
Materials (continued)			
Xinfengming Group Co Ltd	12,300	249,690	0.51%
Xinyangfeng Agricultural Technology Co Ltd	20,900	326,667	0.67%
Xinyu Iron & Steel Co Ltd	35,100	195,858	0.40%
Zibo Qixiang Tengda Chemical Co Ltd	21,600	268,272	0.55%
Total Materials		6,112,543	12.51%
Real Estate			
Financial Street Holdings Co Ltd	27,200	183,600	0.38%
Shenzhen Huaqiang Industry Co Ltd	7,100	120,061	0.24%
Total Real Estate		303,661	0.62%
Utilities			
China National Nuclear Power Co Ltd	119,100	602,646	1.24%
Shenergy Co Ltd	106,000	650,840	1.33%
Total Utilities		1,253,486	2.57%
Total Mainland China		48,667,545	99.63%
Total Common Stocks		48,667,545	99.63%
Total Listed securities		48,667,545	99.63%
Total investments, at fair value		48,667,545	99.63%
Other assets		180,762	0.37%
Net assets attributable to unitholders		48,848,307	100.00%
Total investments, at cost		48,651,434	

Portfolio statements as at 30 June 2021(continued)

CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value</i> <i>RMB</i>	<i>% of</i> <i>Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Listed Bonds			
Government Bonds			
Mainland China			
China Government Bond Series 1714 3.47% due 13/07/2022	10,000,000	10,115,100	13.03%
China Government Bond Series 1718 3.59% due 03/08/2027	10,000,000	10,346,600	13.33%
China Government Bond Series 1820 3.60% due 06/09/2025	10,000,000	10,312,700	13.29%
China Government Bond Series 1821 3.17% due 11/10/2021	10,000,000	10,038,000	12.93%
China Government Bond Series 1823 3.29% due 18/10/2023	10,000,000	10,150,900	13.08%
China Government Bond Series 1915 3.13% due 21/11/2029	10,000,000	9,990,600	12.87%
China Government Bond Series INBK 2.68% due 21/05/2030	10,000,000	9,631,100	12.41%
China Government Bond Series INBK 2.84% due 08/04/2024	5,000,000	5,011,350	6.46%
Total Mainland China		75,596,350	97.40%
Total Government Bonds		75,596,350	97.40%
Total Listed Bonds		75,596,350	97.40%
Total investments, at fair value		75,596,350	97.40%
Other assets		2,016,468	2.60%
Net assets attributable to unitholders		77,612,818	100.00%
Total investments, at cost		75,335,010	

Portfolio statements as at 30 June 2021(continued)

ICBC CICC USD Money Market ETF

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value USD</i>	<i>% of Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Other Transferable Securities			
Short Term			
Fixed Rate Notes			
Hong Kong			
Guotai Junan Financial Products Ltd 0.90% due 06/04/2022	5,000,000	5,000,000	1.07%
Guotai Junan Financial Products Ltd 1.00% due 06/07/2021	10,000,000	10,000,000	2.13%
Guotai Junan Financial Products Ltd 1.00% due 18/08/2021	10,000,000	10,000,000	2.13%
Guotai Junan International Holdings Ltd 0.65% due 23/09/2021	25,000,000	25,000,000	5.34%
Total Hong Kong		50,000,000	10.67%
Virgin Islands			
CICC Financial Products Ltd 0.68% due 02/06/2022	5,000,000	5,000,000	1.07%
CICC Financial Products Ltd 0.88% due 12/05/2022	5,000,000	5,000,000	1.07%
CICC Financial Products Ltd 0.88% due 17/02/2022	4,000,000	4,000,000	0.85%
CMB International Global Products Limited 0.60% due 19/07/2021	17,000,000	17,000,000	3.63%
CMB International Global Products Limited 0.65% due 04/11/2021	6,000,000	6,000,000	1.28%
CMB International Global Products Limited 0.67% due 10/09/2021	5,000,000	5,000,000	1.07%
CMB International Global Products Limited 0.75% due 09/08/2021	3,000,000	3,000,000	0.64%
CMB International Capital Holdings Co Ltd 0.85% due 24/06/2022	5,000,000	5,000,000	1.07%
Huatai International Financial Limited 0.50% due 12/08/2021	35,000,000	34,997,146	7.48%
Huatai International Financial Products Limited 0.70% due 04/11/2021	6,000,000	6,000,000	1.28%
Huatai International Financial Products Limited 0.85% due 14/07/2021	10,000,000	10,000,000	2.13%

Portfolio statements as at 30 June 2021 (continued)

ICBC CICC USD Money Market ETF (continued)

<i>Investments</i>	<i>Holdings</i>	<i>Fair Value USD</i>	<i>% of Net Assets</i>
<u>Financial assets at fair value through profit or loss</u>			
Other Transferable Securities (continued)			
Short Term (continued)			
Fixed Rate Notes (continued)			
Hong Kong (continued)			
Huatai International Financial Holdings Ltd 1.40% due 15/12/2021	10,000,000	10,000,000	2.13%
Total Virgin Islands		110,997,146	23.70%
Total Fixed Rate Notes		160,997,146	34.37%
Total Short Term		160,997,146	34.37%
Total Other Transferable Securities		160,997,146	34.37%
Total investments, at fair value		160,997,146	34.37%
Other assets		307,424,073	65.63%
Net assets attributable to unitholders		468,421,219	100.00%
Total investments, at cost		160,993,749	

As at 30 June 2021

Weighted average maturity		50.48 days
Weighted average life		50.48 days
	Fair Value USD	% of Net Assets
Daily liquid assets	145,976,892	31.16%
Weekly liquid assets	160,831,940	34.33%

CICC HKD Money Market ETF

As at 30 June 2021

Weighted average maturity		4.06 days
Weighted average life		4.06 days
	Fair Value HKD	% of Net Assets
Daily liquid assets	314,985,787	61.92%
Weekly liquid assets	388,498,542	76.37%

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited)

CICC CSI Select 100 ETF

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
Accelink Technologies Co Ltd	-	9,500	-	-	9,500
Anhui Construction Engineering Group Co Ltd	-	27,400	-	-	27,400
Anhui Kouzi Distillery Co Ltd	-	8,200	-	-	8,200
Anhui Truchum Advanced Materials & Technology Co Ltd	-	18,200	-	-	18,200
Anhui Xinhua Media Co Ltd	-	26,400	-	-	26,400
Anhui Yingjia Distillery Co Ltd	-	5,400	-	-	5,400
Anhui Zhongding Sealing Parts Co Ltd	13,300	3,300	-	-	16,600
Avic Capital Co Ltd	98,400	-	-	(98,400)	-
Beijing Dabeinong Technology Group Co Ltd	53,700	-	-	(53,700)	-
Beijing New Building Material Group Co Ltd	18,000	-	-	(18,000)	-
Camel Group Co Ltd	12,100	3,200	-	-	15,300
Changchun Faway Automobile Components Co Ltd	9,600	2,500	-	-	12,100
Chaozhou Three-Circle Group Co Ltd	19,700	-	-	(19,700)	-
China Communications Construction Co Ltd	-	80,200	-	-	80,200
China Gezhouba Group Co Ltd	50,500	-	-	(50,500)	-
China Jushi Co Ltd	-	47,800	6,835	(1,735)	52,900
China Life Insurance Co Ltd	-	37,900	-	(1,200)	36,700
China Meheco Group Co Ltd	9,700	-	-	(9,700)	-
China Merchants Securities Co Ltd	65,900	-	-	(65,900)	-
China Merchants Shekou Industrial Zone Holdings Co Ltd	61,800	-	-	(61,800)	-
China National Chemical Engineering Co Ltd	45,100	-	-	(45,100)	-
China National Nuclear Power Co Ltd	113,800	24,300	-	(19,000)	119,100
China Railway Construction Corp Ltd	-	101,400	-	-	101,400
China Railway Group Ltd	182,900	48,800	-	(7,400)	224,300
China Resources Sanjiu Medical & Pharmaceutical Co Ltd	10,000	-	-	(10,000)	-
China Shenhua Energy Co Ltd	60,300	-	-	(60,300)	-

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
China South Publishing & Media Group Co Ltd	13,100	-	-	(13,100)	-
China State Construction Engineering Corp Ltd	377,100	85,000	-	-	462,100
China Vanke Co Ltd	85,100	-	-	(85,100)	-
China Yangtze Power Co Ltd	120,700	-	-	(120,700)	-
Chongqing Department Store Co Ltd	-	4,600	-	-	4,600
Chongqing Zongshen Power Machinery Co Ltd	12,500	-	-	(12,500)	-
Chow Tai Seng Jewellery Co Ltd	5,200	-	-	(5,200)	-
CNHTC Jinan Truck Co Ltd	4,900	800	-	-	5,700
CSG Holding Co Ltd	25,500	-	-	(25,500)	-
Daqin Railway Co Ltd	-	135,300	-	(4,300)	131,000
Dare Power Dekor Home Co Ltd	-	7,400	-	-	7,400
Dashang Co Ltd	-	4,000	-	-	4,000
DeHua TB New Decoration Materials Co Ltd	9,000	3,300	-	-	12,300
Fangda Special Steel Technology Co Ltd	19,700	11,500	-	(7,500)	23,700
FAWER Automotive Parts Co Ltd	12,800	3,200	-	-	16,000
Financial Street Holdings Co Ltd	21,800	5,400	-	-	27,200
Focus Media Information Technology Co Ltd	185,100	55,800	-	(8,000)	232,900
Fujian Longking Co Ltd	15,600	1,400	-	-	17,000
Fujian Longma Environmental Sanitation Equipment Co Ltd	7,600	-	-	(7,600)	-
Fuyao Glass Industry Group Co Ltd	-	31,900	-	(1,100)	30,800
Gemdale Corp.	45,300	-	-	(45,300)	-
GF Securities Co Ltd	54,100	-	-	(54,100)	-
Great Wall Motor Co Ltd	-	27,600	-	(800)	26,800
GREE ELECTRIC APPLIANCES I-A /CNH/	-	41,200	-	(1,300)	39,900
Greenland Holdings Corp Ltd	66,700	-	-	(66,700)	-
Guangdong Tapai Group Co Ltd	13,000	-	-	(13,000)	-
Guangdong Xinbao Electrical Appliances Holdings Co Ltd	5,800	-	-	(5,800)	-
Guangzhou Automobile Group Co Ltd	16,900	31,000	-	-	47,900

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
Guangzhou Baiyunshan Pharmaceutical Holdings Co Ltd	12,700	2,700	-	-	15,400
Guangzhou Shangpin Home Collection Co Ltd	-	1,800	-	-	1,800
Guotai Junan Securities Co Ltd	82,400	20,200	-	(3,300)	99,300
Haier Smart Home Co Ltd	69,000	10,200	-	(2,500)	76,700
Haitong Securities Co Ltd	-	212,700	-	-	212,700
Hangcha Group Co Ltd	13,000	-	-	(6,500)	6,500
Hangxiao Steel Structure Co Ltd	23,600	-	-	(23,600)	-
Henan Shuanghui Investment & Development Co Ltd	18,700	-	-	(18,700)	-
Hisense Home Appliances Group Co Ltd	7,900	2,300	-	-	10,200
Hongda Xingye Co Ltd	28,400	-	-	(28,400)	-
Hoshine Silicon Industry Co Ltd	-	4,100	-	(800)	3,300
Huayu Automotive Systems Co Ltd	28,800	7,000	-	(1,100)	34,700
Hubei Energy Group Co Ltd	70,300	-	-	(70,300)	-
Inner Mongolia Jinyu Group Co Ltd	24,000	-	-	(24,000)	-
Inner Mongolia Junzheng Energy & Chemical Group Co.Ltd.	61,700	-	-	(61,700)	-
Inner Mongolia Yili Industrial Group Co Ltd	60,000	3,600	-	(2,000)	61,600
Jason Furniture Hangzhou Co Ltd	1,100	-	-	(1,100)	-
Jiangsu Expressway Co Ltd	9,000	2,200	-	-	11,200
Jiangsu Linyang Energy Co Ltd	18,900	-	-	(18,900)	-
KingClean Electric Co Ltd	-	1,800	-	-	1,800
Lao Feng Xiang Co Ltd	2,300	600	-	-	2,900
Loncin Motor Co Ltd	-	23,300	-	-	23,300
LUOLAI LIFESTYLE TECHNOLOG-A /CNH/	-	7,500	-	-	7,500
Markor International Home Furnishings Co Ltd	-	25,900	-	-	25,900
Midea Group Co Ltd	28,500	-	-	(28,500)	-
MLS Co Ltd	12,900	-	-	(12,900)	-
Ningbo Construction Co Ltd	-	13,300	-	-	13,300
Ningbo Zhoushan Port Co Ltd	57,800	14,100	-	(19,700)	52,200
Oppl Lighting Co Ltd	-	3,400	-	-	3,400
ORG Technology Co Ltd	30,500	7,900	-	-	38,400

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
Rainbow Department Store Co Ltd	–	10,900	–	–	10,900
SAIC Motor Corp Ltd	62,800	16,900	–	(2,500)	77,200
Sansteel Minguang Co Ltd Fujian	–	35,000	–	(8,000)	27,000
Shandong Chenming Paper Holdings Ltd	–	27,800	–	–	27,800
Shandong Hi-speed Co Ltd	11,400	2,800	–	–	14,200
Shanghai Construction Group Co Ltd	81,400	–	–	(81,400)	–
Shanghai Hanbell Machinery Co Ltd	3,800	–	–	(3,800)	–
Shanghai International Port Group Co Ltd	–	78,700	–	–	78,700
Shanghai Kehua Bio-Engineering Co Ltd	7,500	–	–	(7,500)	–
Shanghai Lujiazui Finance & Trade Zone Development Co Ltd	16,000	–	–	(16,000)	–
Shanghai Mechanical & Electrical Industry Co Ltd	5,800	1,500	–	–	7,300
Shanghai Oriental Pearl Group Co Ltd	37,400	–	–	(37,400)	–
Shanghai Pharmaceuticals Holding Co Ltd	–	26,200	–	–	26,200
Shanghai Shimao Co Ltd	20,500	–	–	(20,500)	–
Shanghai Tunnel Engineering Co Ltd	34,400	–	–	(34,400)	–
Shanghai Waigaoqiao Free Trade Zone Group Co Ltd	–	8,500	–	–	8,500
Shanghai Yuyuan Tourist Mart Group Co Ltd	28,400	–	–	(28,400)	–
Shanying International Holding Co Ltd	–	73,200	–	–	73,200
Shenergy Co Ltd	71,000	44,000	–	(9,000)	106,000
Shenzhen Gas Corp. Ltd.	10,500	–	–	(10,500)	–
Shenzhen Huaqiang Industry Co Ltd	–	7,100	–	–	7,100
Shenzhen Jinjia Group Co Ltd	16,000	–	–	(16,000)	–
Shenzhen SDG Information Co Ltd	–	13,000	–	–	13,000
Shenzhen Topband Co Ltd	16,000	–	–	(16,000)	–
Sichuan Road & Bridge Co Ltd	34,500	–	–	(34,500)	–

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
Sichuan Swellfun Co Ltd	–	4,400	–	–	4,400
Sinoma International Engineering Co	–	23,700	–	–	23,700
Sinosteel Engineering & Technology Co Ltd	–	13,800	–	–	13,800
Sinotrans Ltd	–	35,800	–	–	35,800
Skyworth Digital Co Ltd	–	28,500	–	(5,000)	23,500
TangShan Port Group Co Ltd	54,100	–	–	(54,100)	–
TCL Corp.	252,300	60,000	–	(4,500)	307,800
Tecon Biology Co Ltd	13,600	–	–	(13,600)	–
Tian Di Science & Technology Co Ltd	–	37,600	–	–	37,600
Titan Wind Energy Suzhou Co Ltd	–	20,200	–	–	20,200
Tongling Jingda Special Magnet Wire Co Ltd	–	30,600	–	–	30,600
Vatti Corp Ltd	–	15,800	–	–	15,800
Wanhua Chemical Group Co Ltd	–	24,800	–	–	24,800
Wanxiang Qianchao Co Ltd	–	30,000	–	–	30,000
Wasu Media Holding Co.Ltd.	10,400	–	–	(10,400)	–
Weichai Power Co Ltd	87,600	21,400	–	(4,400)	104,600
Weifu High-Technology Group Co Ltd	8,600	2,800	–	–	11,400
Wuchan Zhongda Group Co Ltd	46,200	20,700	–	–	66,900
Wuhan Department Store Group Co Ltd	–	10,500	–	–	10,500
Xiamen C & D, Inc.	31,100	–	–	(31,100)	–
Xiamen Comfort Science & Technology Group Co Ltd	6,700	3,100	–	–	9,800
Xiamen Intretech, Inc.	2,500	–	1,750	(4,250)	–
Xiamen Kingdomway Group Co.	4,500	–	–	(4,500)	–
Xinfengming Group Co Ltd	–	12,300	–	–	12,300
Xinjiang Goldwind Science & Technology Co Ltd	58,200	–	–	(58,200)	–
Xinyangfeng Agricultural Technology Co Ltd	11,900	9,000	–	–	20,900
Xinyu Iron & Steel Co Ltd	–	45,500	–	(10,400)	35,100
Yanzhou Coal Mining Co Ltd	–	20,200	–	–	20,200

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
Yintai Gold Co Ltd	30,400	-	-	(30,400)	-
Yunnan Baiyao Group Co Ltd	9,400	-	-	(9,400)	-
ZBOM Home Collection Co Ltd	-	2,500	1,000	-	3,500
Zhejiang Meida Industrial Co Ltd	-	5,800	-	-	5,800
Zhejiang Semir Garment Co Ltd	-	40,600	-	-	40,600
Zhejiang Wanfeng Auto Wheel Co Ltd	-	34,800	-	-	34,800
Zhejiang Wanliyang Co Ltd	12,200	-	-	(12,200)	-
Zhejiang Weixing New Building Materials Co Ltd	11,500	-	-	(11,500)	-
Zhejiang Yankon Group Co Ltd	15,900	-	-	(15,900)	-
Zhengzhou Yutong Bus Co Ltd	-	30,200	-	-	30,200
Zhuzhou Kibing Group Co Ltd	29,400	7,200	-	(1,100)	35,500
Zibo Qixiang Tengda Chemical Co Ltd	12,900	8,700	-	-	21,600
Zijin Mining Group Co Ltd	247,300	-	-	(247,300)	-

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC
Bloomberg Barclays China Treasury 1-10 Years ETF)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
China Government Bond Series 1714	10,000,000	-	-	-	10,000,000
China Government Bond Series 1718	10,000,000	-	-	-	10,000,000
China Government Bond Series 1807	10,000,000	-	(10,000,000)	-	-
China Government Bond Series 1820	10,000,000	-	-	-	10,000,000
China Government Bond Series 1821	10,000,000	-	-	-	10,000,000
China Government Bond Series 1823	10,000,000	-	-	-	10,000,000
China Government Bond Series 1915	10,000,000	-	-	-	10,000,000
China Government Bond Series INBK	-	10,000,000	-	(5,000,000)	5,000,000
China Government Bond Series INBK	10,000,000	-	-	-	10,000,000

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

ICBC CICC USD Money Market ETF

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
CICC Financial Products Ltd 0.68% due 02/06/2022	-	5,000,000	-	-	5,000,000
CICC Financial Products Ltd 0.87% due 03/06/2021	-	15,000,000	(15,000,000)	-	-
CICC Financial Products Ltd 0.88% due 12/05/2022	-	5,000,000	-	-	5,000,000
CICC Financial Products Ltd 0.88% due 17/02/2022	-	4,000,000	-	-	4,000,000
CICC Financial Products Ltd 0.92% due 28/06/2021	-	10,000,000	(10,000,000)	-	-
CICC Financial Products Ltd 1.00% due 16/03/2021	4,000,000	-	(4,000,000)	-	-
CICC Financial Products Ltd 1.05% due 20/05/2021	7,000,000	-	(7,000,000)	-	-
CICC Financial Products Ltd 1.05% due 20/05/2021	5,000,000	-	(5,000,000)	-	-
CICC Financial Products Ltd 1.67% due 29/01/2021	15,000,000	-	(15,000,000)	-	-
CICC Financial Products Ltd 1.70% due 25/01/2021	10,000,000	-	(10,000,000)	-	-
CICC Financial Trading Ltd 0.82% due 24/01/2021	10,000,000	-	(10,000,000)	-	-
CICC Financial Trading Ltd 0.92% due 22/03/2021	10,000,000	-	(10,000,000)	-	-
CICC Financial Trading Ltd 0.92% due 29/03/2021	10,000,000	-	(10,000,000)	-	-
CICC Financial Trading Ltd 1.02% due 17/03/2021	10,000,000	-	(10,000,000)	-	-
CMB International Capital Holdings Co Ltd 0.60% due 23/06/2021	-	5,000,000	(5,000,000)	-	-
CMB International Global Products Limited 0.60% due 19/07/2021	-	17,000,000	-	-	17,000,000
CMB International Global Products Limited 0.65% due 04/11/2021	-	6,000,000	-	-	6,000,000
CMB International Global Products Limited 0.67% due 10/09/2021	-	5,000,000	-	-	5,000,000
CMB International Global Products Limited 0.75% due 09/08/2021	-	3,000,000	-	-	3,000,000

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

ICBC CICC USD Money Market ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
CMB International Global Products Limited 0.80% due 15/04/2021	-	10,000,000	(10,000,000)	-	-
CMB International Capital Holdings Co Ltd 0.83% due 04/03/2021	3,000,000	-	(3,000,000)	-	-
CMB International Capital Holdings Co Ltd 0.85% due 24/06/2022	-	5,000,000	-	-	5,000,000
CSI Financial Products Ltd 0.70% due 03/05/2021	-	40,000,000	(40,000,000)	-	-
CSI Financial Products Ltd 1.30% due 13/01/2021	10,000,000	-	(10,000,000)	-	-
Guotai Junan Financial Products Ltd 0.90% due 06/04/2022	-	5,000,000	-	-	5,000,000
Guotai Junan Financial Products Ltd 1.00% due 06/07/2021	-	10,000,000	-	-	10,000,000
Guotai Junan Financial Products Ltd 1.00% due 21/06/2021	-	10,000,000	(10,000,000)	-	-
Guotai Junan Financial Products Ltd 1.00% due 18/08/2021	-	10,000,000	-	-	10,000,000
Guotai Junan Financial Products Ltd 1.00% due 29/06/2021	-	10,000,000	(10,000,000)	-	-
Guotai Junan Financial Products Ltd 1.05% due 28/01/2021	10,000,000	-	(10,000,000)	-	-
Guotai Junan Financial Products Ltd 1.05% due 19/01/2021	20,000,000	-	(20,000,000)	-	-
Guotai Junan International Holdings Ltd 0.65% due 23/09/2021	-	25,000,000	-	-	25,000,000
Guotai Junan International Holdings Ltd 1.10% due 09/04/2021	20,000,000	-	(20,000,000)	-	-
Guotai Junan International Holdings Ltd 1.10% due 27/01/2021	20,000,000	-	(20,000,000)	-	-

Statements of movements in portfolio holdings for the six months ended 30 June 2021 (Unaudited) (continued)

ICBC CICC USD Money Market ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
Guotai Junan Financial Products Ltd 1.25% due 10/02/2021	10,000,000	-	(10,000,000)	-	-
Haitong International Securities Group Limited 0.70% due 24/05/2021	-	40,000,000	(40,000,000)	-	-
Haitong International Financial Products Limited 1.10% due 09/02/2021	30,000,000	-	(30,000,000)	-	-
Huatai International Financial Limited 0.50% due 12/08/2021	-	35,000,000	-	-	35,000,000
Huatai International Financial Products Limited 0.70% due 04/11/2021	-	6,000,000	-	-	6,000,000
Huatai International Financial Products Limited 1.10% due 25/03/2021	10,000,000	-	(10,000,000)	-	-
Huatai International Financial Products Limited 0.85% due 14/07/2021	-	10,000,000	-	-	10,000,000
Huatai International Financial Products Limited 0.90% due 16/06/2021	10,000,000	-	(10,000,000)	-	-
Huatai International Financial Products Limited 1.40% due 15/12/2021	10,000,000	-	-	-	10,000,000

Statements of movements in portfolio holdings for the period ended 30 June 2021 (Unaudited) (continued)

CICC HKD Money Market ETF

<i>Investments</i>	<i>Beginning holding balance as at 30 November 2020</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 30 June 2021</i>
CICC Financial Products Ltd 0.60% due 08/03/2021	-	14,000,000	(14,000,000)	-	-
CICC Financial Trading Ltd 0.51% due 28/12/2020	-	9,000,000	(9,000,000)	-	-
CICC Financial Trading Ltd 0.75% due 07/04/2021	-	10,000,000	(10,000,000)	-	-
CICC Financial Trading Ltd 0.80% due 08/03/2021	-	14,000,000	(14,000,000)	-	-
CICC Financial Trading Ltd 0.85% due 15/03/2021	-	9,500,000	(9,500,000)	-	-
CMB International Capital Products Limited 0.32% due 08/06/2021	-	25,000,000	(25,000,000)	-	-
CMB International Capital Products Limited 0.73% due 05/05/2021	-	25,000,000	(25,000,000)	-	-
CMB International Global Products Ltd 0.65% due 08/03/2021	-	24,500,000	(24,500,000)	-	-
Huatai International Financial Products Limited 0.55% due 08/06/2021	-	15,000,000	(15,000,000)	-	-
Huatai International Financial Products Limited 0.65% due 05/05/2021	-	25,000,000	(25,000,000)	-	-

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited)

CICC CSI Select 100 ETF

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Agricultural Bank of China Ltd	883,600	39,900	-	(923,500)	-
Anhui Zhongding Sealing Parts Co Ltd	-	13,300	-	-	13,300
Avic Capital Co Ltd	-	98,400	-	-	98,400
Bank of China Ltd	496,800	26,500	-	(523,300)	-
Bank of Communications Co Ltd	633,700	27,000	-	(660,700)	-
Beijing Capital Development Co Ltd	-	8,800	-	(18,800)	-
Beijing Dabeinong Technology Group Co Ltd	-	53,700	-	-	53,700
Beijing New Building Materials PLC	-	18,000	-	-	18,000
Beijing Urban Construction Investment & Development Co Ltd	24,720	-	-	(24,720)	-
By-health Co Ltd	-	11,500	-	(11,500)	-
Camel Group Co Ltd	-	28,000	-	(15,900)	12,100
Chacha Food Co Ltd	5,900	800	-	(6,700)	-
Changchun Faway Automobile Components Co Ltd	-	9,600	-	-	9,600
Chaozhou Three-Circle Group Co Ltd	-	23,200	-	(3,500)	19,700
China Construction Bank Corp	158,302	-	-	(158,302)	-
China Fortune Land Development Co Ltd	27,800	900	5,280	(33,980)	-
China Gezhouba Group Co Ltd	65,100	50,500	-	(65,100)	50,500
China Life Insurance Co Ltd	39,200	-	-	(39,200)	-
China Meheco Co Ltd	-	9,700	-	-	9,700
China Merchants Bank Co Ltd	97,800	4,000	-	(101,800)	-
China Merchants Securities Co Ltd	-	67,900	-	(2,000)	65,900

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
China Merchants Shekou Industrial Zone Holdings Co Ltd	-	61,800	-	-	61,800
China National Chemical Engineering Co Ltd	46,500	45,100	-	(46,500)	45,100
China National Nuclear Power Co Ltd	-	113,800	-	-	113,800
China Railway Construction Corp Ltd	108,400	-	-	(108,400)	-
China Railway Group Ltd	192,000	182,900	-	(192,000)	182,900
China Railway Tielong Container Logistics Co Ltd	-	13,300	-	(13,300)	-
China Resources Sanjiu Medical & Pharmaceutical Co Ltd	-	10,000	-	-	10,000
China Shenhua Energy Co Ltd	77,700	14,700	-	(32,100)	60,300
China South Publishing & Media Group Co Ltd	16,900	10,000	-	(13,800)	13,100
China Southern Airlines Co Ltd	81,000	-	-	(81,000)	-
China State Construction Engineering Corp Ltd	494,700	99,900	-	(217,500)	377,100
China Vanke Co Ltd	124,500	14,700	-	(54,100)	85,100
China Yangtze Power Co Ltd	-	129,800	-	(9,100)	120,700
Chongqing Department Store Co Ltd	6,500	-	-	(6,500)	-
Chongqing Zongshen Power Machinery Co Ltd	-	12,500	-	-	12,500
Chow Tai Seng Jewellery Co Ltd	-	5,200	-	-	5,200
CITIC Securities Co Ltd	163,400	8,800	-	(172,200)	-
CNHTC Jinan Truck Co Ltd	-	4,900	-	-	4,900
CSG Holding Co Ltd	33,000	6,200	-	(13,700)	25,500
Daqin Railway Co Ltd	140,100	-	-	(140,100)	-
Dashang Co Ltd	3,900	-	-	(3,900)	-
DeHua TB New Decoration Materials Co Ltd	-	9,000	-	-	9,000

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Fangda Special Steel Technology Co Ltd	-	19,700	-	-	19,700
FAWER Automotive Parts Co Ltd	-	12,800	-	-	12,800
Financial Street Holdings Co Ltd	28,100	21,800	-	(28,100)	21,800
Focus Media Information Technology Co Ltd	-	185,100	-	-	185,100
Fujian Longking Co Ltd	-	15,600	-	-	15,600
Fujian Longma Environmental Sanitation Equipment Co Ltd	7,900	3,000	-	(3,300)	7,600
Fuyao Glass Industry Group Co Ltd	-	20,500	-	(20,500)	-
G-bits Network Technology Xiamen Co Ltd	1,000	100	-	(1,100)	-
Gemdale Corp	-	45,300	-	-	45,300
GF Securities Co Ltd	69,700	54,100	-	(69,700)	54,100
Great Wall Motor Co Ltd	28,400	-	-	(28,400)	-
Greenland Holdings Corp Ltd	-	66,700	-	-	66,700
Guangdong Ellington Electronics Technology Co Ltd	-	5,800	-	(5,800)	-
Guangdong Tapai Group Co Ltd	-	13,000	-	-	13,000
Guangdong Xinbao Electrical Appliances Holdings Co Ltd	8,600	2,400	-	(5,200)	5,800
Guangxi Guiguan Electric Power Co Ltd	55,700	-	-	(55,700)	-
Guangxi Liuzhou Pharmaceutical Co Ltd	4,800	-	1,000	(5,800)	-
Guangzhou Automobile Group Co Ltd	-	16,900	-	-	16,900
Guangzhou Baiyunshan Pharmaceutical Holdings Co Ltd	-	12,700	-	-	12,700
Guotai Junan Securities Co Ltd	-	82,400	-	-	82,400

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Haier Smart Home Co Ltd	86,200	130,600	-	(147,800)	69,000
Haisco Pharmaceutical Group Co Ltd	-	2,300	-	(2,300)	-
Hang Zhou Great Star Industrial Co Ltd	-	12,800	-	(12,800)	-
Hangcha Group Co Ltd	-	13,000	-	-	13,000
Hangxiao Steel Structure Co Ltd	34,800	5,700	-	(16,900)	23,600
Hangzhou Binjiang Real Estate Group Co Ltd	42,000	-	-	(42,000)	-
Hangzhou First Applied Material Co Ltd	2,800	-	-	(2,800)	-
Hefei Meiya Optoelectronic Technology, Inc	6,300	-	-	(6,300)	-
Heilongjiang Agriculture Co Ltd	19,200	-	-	(19,200)	-
Henan Shuanghui Investment & Development Co Ltd	23,300	5,000	-	(9,600)	18,700
Henan Zhongyuan Expressway Co Ltd	24,200	-	-	(24,200)	-
Hisense Home Appliances Group Co Ltd	12,100	54,700	-	(58,900)	7,900
Hongda Xingye Co Ltd	-	28,400	-	-	28,400
Huadong Medicine Co Ltd	-	12,800	-	(12,800)	-
Huafa Industrial Co Ltd Zhuhai	39,900	-	-	(39,900)	-
Huatai Securities Co Ltd	101,800	25,000	-	(126,800)	-
Huayu Automotive Systems Co Ltd	-	28,800	-	-	28,800
Hubei Energy Group Co Ltd	46,000	43,300	-	(19,000)	70,300
Hubei Jumpcan Pharmaceutical Co Ltd	-	4,700	-	(4,700)	-
Huolinhe Opencut Coal Industry Corp Ltd of Inner Mongolia	15,500	-	-	(15,500)	-
Industrial & Commercial Bank of China Ltd	508,400	209,600	-	(718,000)	-
Industrial Bank Co Ltd	183,500	24,000	-	(207,500)	-

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Inner Mongolia Junzheng Energy & Chemical Industry Group Co Ltd	-	61,700	-	-	61,700
Inner Mongolia Yili Industrial Group Co Ltd	123,500	60,000	-	(123,500)	60,000
Jason Furniture Hangzhou Co Ltd	4,800	900	-	(4,600)	1,100
Jiangsu Expressway Co Ltd	13,300	9,000	-	(13,300)	9,000
Jiangsu Linyang Energy Co Ltd	-	18,900	-	-	18,900
Jiangsu Yanghe Brewery Joint-Stock Co Ltd	-	9,100	-	(9,100)	-
Jiangsu Yangnong Chemical Co Ltd	4,300	-	-	(4,300)	-
Jiangxi Wannianqing Cement Co Ltd	-	7,000	-	(7,000)	-
Jinke Properties Group Co Ltd	-	31,200	-	(31,200)	-
Jinyu Bio-Technology Co Ltd	-	24,000	-	-	24,000
Joyoung Co Ltd	7,200	500	-	(7,700)	-
KingClean Electric Co Ltd	2,100	-	-	(2,100)	-
Kingfa Sci & Tech Co Ltd	41,700	-	-	(41,700)	-
Lao Feng Xiang Co Ltd	3,400	600	-	(1,700)	2,300
Liaoning Wellhope Agri-Tech JSC Ltd	-	4,000	-	(4,000)	-
Lomon Billions Group Co Ltd	-	14,800	-	(14,800)	-
Loncin Motor Co Ltd	27,700	-	-	(27,700)	-
Luzhou Laojiao Co Ltd	-	11,000	-	(11,000)	-
Markor International Home Furnishings Co Ltd	33,400	-	-	(33,400)	-
Metallurgical Corp of China Ltd	-	104,500	-	(104,500)	-
Midea Group Co Ltd	62,500	28,800	-	(62,800)	28,500
MLS Co Ltd	10,300	12,900	-	(10,300)	12,900
New Hope Liuhe Co Ltd	49,600	3,600	-	(53,200)	-
Ningbo Sanxing Medical Electric Co Ltd	-	10,100	-	(10,100)	-

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Ningbo Zhoushan Port Co Ltd	-	57,800	-	-	57,800
Oceanwide Holdings Co Ltd	42,100	-	-	(42,100)	-
ORG Technology Co Ltd	-	30,500	-	-	30,500
Ping An Insurance Group Co of China Ltd	40,300	-	-	(40,300)	-
Poly Developments & Holdings Group Co Ltd	-	108,000	-	(108,000)	-
RiseSun Real Estate Development Co Ltd	40,900	-	-	(40,900)	-
SAIC Motor Corp Ltd	-	64,000	-	(1,200)	62,800
SDIC Power Holdings Co Ltd	-	49,600	-	(49,600)	-
Seazen Holdings Co Ltd	21,200	700	-	(21,900)	-
Shandong Buchang Pharmaceuticals Co Ltd	13,410	-	-	(13,410)	-
Shandong Chenming Paper Holdings Ltd	-	19,500	-	(19,500)	-
Shandong Hi-speed Co Ltd	-	11,400	-	-	11,400
Shandong Linglong Tyre Co Ltd	11,300	1,000	-	(12,300)	-
Shandong Sun Paper Industry JSC Ltd	-	22,700	-	(22,700)	-
Shanghai Construction Group Co Ltd	104,900	81,400	-	(104,900)	81,400
Shanghai Flyco Electrical Appliance Co Ltd	-	700	-	(700)	-
Shanghai Hanbell Precise Machinery Co Ltd	-	3,800	-	-	3,800
Shanghai International Port Group Co Ltd	76,400	3,600	-	(80,000)	-
Shanghai Kehua Bio-Engineering Co Ltd	-	7,500	-	-	7,500
Shanghai Lujiazui Finance & Trade Zone Development Co Ltd	20,720	3,900	-	(8,620)	16,000
Shanghai Mechanical & Electrical Industry Co Ltd	-	5,800	-	-	5,800

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Shanghai Oriental Pearl Group Co Ltd	-	37,400	-	-	37,400
Shanghai Pudong Development Bank Co Ltd	-	175,300	-	(175,300)	-
Shanghai Pudong Road & Bridge Construction Co Ltd	20,900	-	-	(20,900)	-
Shanghai Shimao Co Ltd	-	26,300	-	(5,800)	20,500
Shanghai Tongji Science & Technology Industrial Co Ltd	13,500	-	-	(13,500)	-
Shanghai Tunnel Engineering Co Ltd	44,400	34,400	-	(44,400)	34,400
Shanghai Yuyuan Tourist Mart Group Co Ltd	-	28,400	-	-	28,400
Shanghai Zhangjiang High-Tech Park Development Co Ltd	-	11,300	-	(11,300)	-
Shenergy Co Ltd	57,800	37,000	-	(23,800)	71,000
Shengyi Technology Co Ltd	26,800	-	-	(26,800)	-
Shenzhen Gas Corp Ltd	15,500	10,500	-	(15,500)	10,500
Shenzhen Jinjia Group Co Ltd	20,700	16,000	-	(20,700)	16,000
Shenzhen Overseas Chinese Town Co Ltd	-	60,000	-	(60,000)	-
Shenzhen Tagen Group Co Ltd	-	19,100	-	(19,100)	-
Shenzhen Topband Co Ltd	-	16,000	-	-	16,000
Sichuan Road & Bridge Co Ltd	-	40,600	-	(6,100)	34,500
Sieyuan Electric Co Ltd	14,300	-	-	(14,300)	-
Skyworth Digital Co Ltd	11,600	-	-	(11,600)	-
TangShan Port Group Co Ltd	69,800	13,100	-	(28,800)	54,100
TBEA Co Ltd	87,500	2,900	-	(90,400)	-
TCL Corp	-	252,300	-	-	252,300
Tecon Biology Co Ltd	-	18,300	-	(4,700)	13,600
Tibet Summit Resources Co Ltd	-	4,000	-	(4,000)	-
Titan Wind Energy Suzhou Co Ltd	24,000	-	-	(24,000)	-
Toly Bread Co Ltd	-	2,800	-	(2,800)	-
Tongling Jingda Special Magnet Wire Co Ltd	-	19,600	-	(19,600)	-
Wasu Media Holding Co Ltd	-	10,400	-	-	10,400
Weichai Power Co Ltd	-	87,600	-	-	87,600

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Weifu High-Technology Group Co Ltd	-	10,700	-	(2,100)	8,600
Wens Foodstuffs Group Co Ltd	87,600	4,800	10,880	(103,280)	-
Wuchan Zhongda Group Co Ltd	46,500	46,200	-	(46,500)	46,200
Xiamen C & D, Inc	-	31,100	-	-	31,100
Xiamen Comfort Science & Technology Group Co Ltd	-	6,700	-	-	6,700
Xiamen Intretech, Inc	-	2,500	-	-	2,500
Xiamen Kingdomway Group Co	-	4,500	-	-	4,500
Xinjiang Goldwind Science & Technology Co Ltd	-	65,200	-	(7,000)	58,200
Xinyangfeng Agricultural Technology Co Ltd	-	11,900	-	-	11,900
Yifan Pharmaceutical Co Ltd	17,000	1,400	-	(18,400)	-
Yintai Gold Co Ltd	-	23,600	6,800	-	30,400
Yixintang Pharmaceutical Group Co Ltd	-	4,100	-	(4,100)	-
Yonghui Superstores Co Ltd	-	56,000	-	(56,000)	-
Youngor Group Co Ltd	40,900	10,400	-	(51,300)	-
Yunnan Baiyao Group Co Ltd	-	10,100	-	(700)	9,400
Zhejiang China Commodities City Group Co Ltd	64,100	-	-	(64,100)	-
Zhejiang Hangmin Co Ltd	14,445	-	-	(14,445)	-
Zhejiang Jingxin Pharmaceutical Co Ltd	15,600	-	-	(15,600)	-
Zhejiang Meida Industrial Co Ltd	6,900	-	-	(6,900)	-
Zhejiang NHU Co Ltd	-	18,800	-	(18,800)	-
Zhejiang Runtu Co Ltd	-	10,100	-	(10,100)	-
Zhejiang Wanliyang Co Ltd	-	12,200	-	-	12,200
Zhejiang Weixing New Building Materials Co Ltd	-	11,500	-	-	11,500
Zhejiang Yankon Group Co Ltd	23,500	15,900	-	(23,500)	15,900
Zhejiang Yongtai Technology Co Ltd	16,600	-	-	(16,600)	-
Zhengzhou Yutong Bus Co Ltd	31,300	-	-	(31,300)	-
Zhongtian Financial Group Co Ltd	99,000	-	-	(99,000)	-
Zhuzhou Kibing Group Co Ltd	43,500	7,100	-	(21,200)	29,400

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC CSI Select 100 ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Zibo Qixiang Tengda Chemical Co Ltd	-	12,900	-	-	12,900
Zijin Mining Group Co Ltd	327,000	61,000	-	(140,700)	247,300

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
China Government Bond Series 1714	10,000,000	-	-	-	10,000,000
China Government Bond Series 1718	10,000,000	-	-	-	10,000,000
China Government Bond Series 1807	10,000,000	-	-	-	10,000,000
China Government Bond Series 1820	10,000,000	-	-	-	10,000,000
China Government Bond Series 1821	10,000,000	-	-	-	10,000,000
China Government Bond Series 1822	10,000,000	-	-	(10,000,000)	-
China Government Bond Series 1823	10,000,000	-	-	-	10,000,000
China Government Bond Series 1915	-	10,000,000	-	-	10,000,000
China Government Bond Series INBK	-	10,000,000	-	-	10,000,000

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

ICBC CICC USD Money Market ETF

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Agricultural Bank of China Ltd 0.00% due 24/6/2020	-	15,000,000	(15,000,000)	-	-
Agricultural Bank of China Ltd 0.00% due 20/07/2020	-	1,000,000	(1,000,000)	-	-
Agricultural Bank of China Ltd 0.00% due 23/07/2020	-	6,000,000	(6,000,000)	-	-
Bank of China Ltd 0.00% due 18/03/2020	2,000,000	-	(2,000,000)	-	-
Bank of Communications Co Ltd 0.00% due 23/11/2020	-	25,000,000	(25,000,000)	-	-
Bank of Communications Co Ltd 2.31% due 24/02/2020	10,000,000	-	(10,000,000)	-	-
Bank of Communications Co Ltd 2.32% due 20/07/2020	900,000	-	(900,000)	-	-
China Construction Bank Corp 0.00% due 21/02/2020	5,000,000	-	(5,000,000)	-	-
CICC Financial Products Ltd 1.00% due 16/03/2021	-	4,000,000	-	-	4,000,000
CICC Financial Products Ltd 1.05% due 20/05/2021	-	5,000,000	-	-	5,000,000
CICC Financial Products Ltd 1.05% due 20/05/2021	-	7,000,000	-	-	7,000,000
CSI Financial Products Ltd 1.30% due 13/01/2021	-	10,000,000	-	-	10,000,000
CICC Financial Products Ltd 1.67% due 29/01/2021	-	15,000,000	-	-	15,000,000
CICC Financial Products Ltd 1.70% due 25/01/2021	-	10,000,000	-	-	10,000,000
CICC Financial Products Ltd 2.00% due 14/09/2020	-	4,000,000	(4,000,000)	-	-
CICC Financial Products Ltd 2.50% due 24/04/2020	-	6,500,000	(6,500,000)	-	-
CICC Financial Products Ltd 2.55% due 17/04/2020	-	4,500,000	(4,500,000)	-	-
CICC Financial Products Ltd 2.70% due 9/01/2020	10,000,000	-	(10,000,000)	-	-
CICC Financial Products Ltd 2.80% due 19/11/2020	7,000,000	-	(7,000,000)	-	-
CICC Financial Trading Ltd 0.00% due 15/12/2020	-	-	-	-	-

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

ICBC CICC USD Money Market ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
CICC Financial Trading Ltd 0.73% due 17/12/2020	-	10,000,000	(10,000,000)	-	-
CICC Financial Trading Ltd 0.85% due 16/11/2020	-	10,000,000	(10,000,000)	-	-
CICC Financial Trading Ltd 0.92% due 29/03/2021	-	10,000,000	-	-	10,000,000
CICC Financial Trading Ltd 0.82% due 24/02/2021	-	10,000,000	-	-	10,000,000
CICC Financial Trading Ltd 0.92% due 22/02/2021	-	10,000,000	-	-	10,000,000
CICC Financial Trading Ltd 0.95% due 15/12/2020	-	20,000,000	(20,000,000)	-	-
CICC Financial Trading Ltd 1.02% due 17/03/2020	-	10,000,000	-	-	10,000,000
CICC Financial Trading Ltd 1.75% due 23/11/2020	-	10,000,000	(10,000,000)	-	-
CICC Financial Trading Ltd 2.48% due 27/05/2020	-	10,000,000	(10,000,000)	-	-
CICC Financial Trading Ltd 2.55% due 24/02/2020	8,000,000	-	(8,000,000)	-	-
CICC Financial Trading Ltd 2.70% due 24/03/2020	10,000,000	-	(10,000,000)	-	-
CMB International Global Products Limited 0.83% due 04/03/2021	-	3,000,000	-	-	3,000,000
CMB International Global Products Limited 1.60% due 08/09/2020	-	28,000,000	(28,000,000)	-	-
Guotai Junan Financial Products Ltd 1.05% due 19/01/2021	-	20,000,000	-	-	20,000,000
Guotai Junan Financial Products Ltd 1.05% due 28/01/2021	-	10,000,000	-	-	10,000,000
Guotai Junan Financial Products Ltd 1.30% due 16/10/2020	-	20,000,000	(20,000,000)	-	-
Guotai Junan International Holdings Ltd 1.10% due 27/01/2021	-	20,000,000	-	-	20,000,000

Statements of movements in portfolio holdings for the year ended 31 December 2020 (Unaudited) (continued)

ICBC CICC USD Money Market ETF (continued)

<i>Investments</i>	<i>Beginning holding balance as at 31 December 2019</i>	<i>Additions</i>	<i>Corporate Actions</i>	<i>Disposals</i>	<i>Closing holding balance as at 31 December 2020</i>
Guotai Junan International Holdings Ltd 1.10% due 09/04/2021	-	20,000,000	-	-	20,000,000
Guotai Junan Financial Products Ltd 1.25% due 10/02/2021	-	10,000,000	-	-	10,000,000
Haitong International Securities Group Ltd 1.10% due 09/02/2021	-	30,000,000	-	-	30,000,000
Huatai International Financial Products Limited 0.90% due 16/06/2021	-	10,000,000	-	-	10,000,000
Huatai International Financial Products Limited 1.10% due 25/03/2021	-	10,000,000	-	-	10,000,000
Huatai International Financial Products Limited 1.40% due 15/12/2021	-	10,000,000	-	-	10,000,000
Huatai International Financial Products Limited 1.55% due 24/09/2020	-	10,000,000	(10,000,000)	-	-
Huatai International Financial Products Limited 1.60% due 05/10/2020	-	20,000,000	(20,000,000)	-	-
Huatai International Financial Products Limited 1.80% due 15/12/2021	-	10,000,000	(10,000,000)	-	-
Industrial & Commercial Bank 0.00% due 26/06/2020	-	10,000,000	(10,000,000)	-	-
Shanghai Pudong Development Bank Co Ltd 2.35% due 24/02/2020	10,000,000	-	(10,000,000)	-	-

Performance record (Unaudited)

1 Price record

a) CICC CSI Select 100 ETF

	<i>Highest net asset value per unit RMB</i>	<i>Lowest net asset value per unit RMB</i>
During the period ended		
30 June 2021	11.99	10.59
31 December 2020	11.23	8.73
31 December 2019 ¹	10.36	7.64

b) CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

	<i>Highest net asset value per unit RMB</i>	<i>Lowest net asset value per unit RMB</i>
During the period ended		
30 June 2021	110.88	109.06
31 December 2020	110.45	106.70
31 December 2019 ²	106.67	102.72

c) ICBC CICC USD Money Market ETF

	<i>Highest net asset value per unit USD</i>	<i>Lowest net asset value per unit USD</i>
Listed Class		
During the period ended		
30 June 2021	1,028.68	1,026.41
31 December 2020	1,026.33	1,013.29
31 December 2019 ³	1,013.16	1,000.23

Unlisted Class A

During the period ended		
30 June 2021	1,026.62	1,025.10
31 December 2020	1,025.04	1,013.12
31 December 2019 ⁴	1,012.99	1,010.70

Unlisted Class I

During the period ended		
30 June 2021	1,027.97	1,025.94
31 December 2020	1,025.87	1,013.23
31 December 2019 ⁴	1,013.10	1,010.70

Performance record (Unaudited) (continued)

1 Price record (continued)

d) CICC HKD Money Market ETF

	<i>Highest net asset value per unit HKD</i>	<i>Lowest net asset value per unit HKD</i>
Listed Class During the period ended 30 June 2021 ⁵	1,001.36	1,000.00
Unlisted Class I During the period ended 30 June 2021 ⁶	1,001.04	1,001.01

¹ The financial period of CICC CSI Select 100 ETF extended from 30 November 2018 to 31 December 2019

² The financial period of CICC Bloomberg China Treasury 1-10 Years ETF extended from 7 December 2018 to 31 December 2019

³ The financial period of ICBC CICC USD Money Market ETF (Listed Class) extended from 14 June 2019 to 31 December 2019

⁴ The financial period of ICBC CICC USD Money Market ETF (Unlisted Class A and Unlisted Class I) extended from 18 November 2019 to 31 December 2019

⁵ The financial period of CICC HKD Money Market ETF (Listed Class) from 30 November 2020 to 30 June 2021.

⁶ The financial period of CICC HKD Money Market ETF (Unlisted Class I) from 2 December 2020 to 30 June 2021.

2 Total net asset value and net asset value per unit

a) CICC CSI Select 100 ETF

	<i>Total net asset value RMB</i>	<i>Net asset value per unit RMB</i>
As at 30 June 2021	48,848,307	10.86
31 December 2020 (Audited)	48,938,930	10.88
31 December 2019 (Audited)	76,306,857	10.17

Performance record (Unaudited) (continued)

2 Total net asset value and net asset value per unit (continued)

b) CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

	<i>Total net asset value</i>	<i>Net asset value per unit</i>
	RMB	RMB
As at		
30 June 2021	77,612,818	110.88
31 December 2020 (Audited)	81,867,844	109.16
31 December 2019 (Audited)	74,671,958	106.67

c) ICBC CICC USD Money Market ETF

	<i>Total net asset value</i>	<i>Net asset value per unit</i>
	USD	USD
Listed Class		
As at		
30 June 2021	398,097,770	1,028.68
31 December 2020 (Audited)	448,508,150	1,026.33
31 December 2019 (Audited)	186,421,252	1,013.16
Unlisted Class A		
As at		
30 June 2021	11,189,078	1,026.62
31 December 2020 (Audited)	17,020,316	1,025.04
31 December 2019 (Audited)	3,868,223	1,012.99
Unlisted Class I		
As at		
30 June 2021	59,134,371	1,027.97
31 December 2020 (Audited)	76,703,249	1,025.87
31 December 2019 (Audited)	51,019,042	1,013.10

Performance record (Unaudited) (continued)

2 Total net asset value and net asset value per unit (continued)

d) CICC HKD Money Market ETF

Listed Class	<i>Total net asset value</i> HKD	<i>Net asset value per unit</i> HKD
As at 30 June 2021	476,646,327	1,001.36
Unlisted Class I		
As at 30 June 2021	32,062,332	1,001.04

3 Performance of the Sub-Fund and the underlying index

For the period from 1 January 2021 to 30 June 2021

	<i>Performance</i>
CICC CSI Select 100 ETF	(0.19%)
CSI CICC Select 100 Index	0.81%
CICC Bloomberg China Treasury 1-10 Years ETF	1.57%
Bloomberg China Treasury 1-10 Years Index	1.87%
ICBC CICC USD Money Market ETF Listed Class	0.23%
ICBC CICC USD Money Market ETF Unlisted Class A	0.15%
ICBC CICC USD Money Market ETF Unlisted Class I	0.20%
CICC HKD Money Market ETF Listed Class	0.11%
CICC HKD Money Market ETF Unlisted Class I	0.08%

Investors should note that investments involve risks and not all investment risks are predictable. Prices of Sub-Funds' units may go up as well as down and past performance information presented is not indicative of future performance. Investors should read the Prospectus of the Trust including the full text of the risk factors stated therein (such as the arrangement in the event that each Sub-Fund is delisted) in detail before making any investment decision.

Investment limitation and prohibitions under SFC Code (Unaudited)

As at 30 June 2021

Pursuant to the SFC Code, it allows the Sub-Fund to invest up to 30% of Sub-Fund's total net asset value in Government and other public securities of the same issue. As at 30 June 2021, the CICC Bloomberg China Treasury 1-10 Years ETF invests in seven government and other public securities that individually accounted for more than 10% but less than 30% of the net asset value of the CICC Bloomberg China Treasury 1-10 Years ETF as at 30 June 2021.

For the period ended 30 June 2021

CICC Bloomberg China Treasury 1-10 Years ETF (Previously known as CICC Bloomberg Barclays China Treasury 1-10 Years ETF)

<i>Investments</i>	<i>% of Net Assets</i>
Government Bonds	
Mainland China	
China Government Bond Series 1714 3.47% due 13/07/2022	13.03%
China Government Bond Series 1718 3.59% due 03/08/2027	13.33%
China Government Bond Series 1820 3.60% due 06/09/2025	13.29%
China Government Bond Series 1821 3.17% due 11/10/2021	12.93%
China Government Bond Series 1823 3.29% due 18/10/2023	13.08%
China Government Bond Series 1915 3.13% due 21/11/2029	12.87%
China Government Bond Series INBK 2.68% due 21/05/2030	12.41%

There were no constituent securities held by CICC CSI Select 100 ETF, ICBC CICC USD Money Market ETF and CICC HKD Money Market ETF that individually accounted for more than 10% of the underlying index as at 30 June 2021.

Basis of preparation

These financial statements have been prepared in accordance with International Financial Reporting Standards ("IFRSs") as issued by the International Accounting Standards Board ("IASB"), and interpretations issued by the International Financial Reporting Interpretations Committee of the IASB and the relevant disclosure provisions of the Trust Deed and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the SFC (the "SFC Code"). These financial statements have applied the same accounting policies and methods of computation as are applied in the annual reports.

They have been prepared under the historical cost basis, except for financial assets classified at fair value through profit or loss that have been measured at fair value. These financial statements are presented in Chinese yuan ("RMB"), United State dollars ("USD" or "US\$") and Hong Kong dollars ("HKD" or "HK\$") and all values are rounded to the nearest RMB, USD and HKD except when otherwise indicated.