

DIRECTORS

Executive Directors

Mr. Lam Kwok Hing, aged 38, is the Chairman of the Group. He is responsible for the implementation of the overall strategic planning and direction and steering of the overall development of the Group. Mr. Lam is the founder of the Group and is a dealing director of the subsidiaries, Karl-Thomson Securities Company Limited and Karl-Thomson Commodities Company Limited. He has over twenty one years of experience in the securities, futures and options industry. He has been a member of the Hong Kong Securities Institute and is a registered dealer under both the Securities Ordinance and the Commodities Trading Ordinance. He is also the Deputy Chairman and Managing Director of Asia Tele-Net and Technology Corporation Limited, a company whose shares are listed on the SEHK. Prior to the establishment of the Group, Mr. Lam had worked in a local securities house for nine years.

Mr. Nam Kwok Lun, aged 43, is the Deputy Chairman and Managing Director of the Group. He is responsible for overall strategic planning and operations and in charge of the execution and further development of the Group's expansion plan. He is a co-founder of the Group and is also a dealing director of the subsidiaries, Karl-Thomson Securities Company Limited and Karl-Thomson Commodities Company Limited. He is in charge of the stockbroking, futures and options broking and the securities margin financing business. Mr. Nam has over thirteen years of experience in the securities, futures and options and securities margin financing industry. He has been a member of the Hong Kong Securities Institute and is a registered dealer under both the Securities Ordinance and the Commodities Trading Ordinance. Prior to the establishment of the Group, Mr. Nam was a director of a property development company.



Independent Non-executive Directors

Mr. Ng Chi Kin David, aged 41, is a professional accountant with over eighteen years of experience and a fellow member of the Hong Kong Society of Accountants, and member of the Australian Society of Certified Practising Accountants, the Hong Kong Institute of Company Secretaries and an associate member of the Institute of Chartered Secretaries and Administrators in the United Kingdom.

Mr. Chen Wei-Ming Eric, aged 38, is a director of several general trading companies in Hong Kong. Mr. Chen holds a Bachelor of Science degree in Business Administration from the Boston University in Massachusetts, USA and is engaged in the chemical trading business.

SENIOR MANAGEMENT

Ms. Wu Mang Ching Agnes, aged 36, is the Deputy General Manager of the Group and the Director of Karl-Thomson Securities Company Limited and KTG Trade Limited. She oversees the daily operations and is responsible for supervising the research team of the Group. She holds a Bachelor of Arts degree in Economics from the University of Leicester in the United Kingdom and a Master of Science in Finance degree from the City University of Hong Kong. She possesses over eight years of experience in the field of equity research and more than six years of experience in investment management. She is currently a program host of Metro Finance radio and provides comments regularly on television and in financial pages of newspapers and websites as a equity market commentator. She gives seminars and tuitions on equity analysis occasionally for various organizations and academic institutions. She joined the Group in February 2000.

Ms. Lui Choi Yiu Angela, aged 28, is the Financial Controller and Company Secretary of the Group and the Director of Karl Thomson Financial Advisory Limited. She is responsible for overall financial planning and management of the Group. She is also a compliance officer and she oversees the compliance matters of the Group. Ms. Lui graduated with a Bachelor of Science degree in Accounting from Azusa Pacific University in California, USA and is currently a member of the American Institute of Certified Public Accountants and an associate member of the Hong Kong Society of Accountants. Prior to joining the Group, Ms. Lui had over three years of experience in the field of financial and operational audits in one of the leading international certified public accounting firms and over one year of experience in the accounting, finance and management of securities, futures and options broking industry. She is also experienced in compliance of rules and regulations governing the securities, futures and options industry. She joined the Group in June 2000.

Mr. Chan Lap Kwan, aged 28, is the manager of the Group and Director of Karl-Thomson Securities Company Limited and Karl Thomson Investment Services Limited. He is responsible for the daily operations, administration and management of the Group. He holds a Bachelor of Business (Banking and Finance) degree from University of South Australia. He has more than five years of experience in the securities, futures and options industry and is a registered dealer under the Securities Ordinance and a registered dealer's representative under the Commodities Trading Ordinance. He joined the Group in July 1997.

Mr. Sung Wing Yiu, aged 45, is the Director of Karl-Thomson Securities Company Limited, KTG Trade Limited and Karl Thomson Investment Services Limited. He is responsible for the management and development of internet trading services, securities, futures and other derivatives business of the Group. He holds a Bachelor of Social Science degree in Government & Public Administration from the Chinese University of Hong Kong and a Master of Business Administration degree from the University of Hong Kong. He is also an associate member of the Institute of Chartered Secretaries and Administrators in the United Kingdom. Mr. Sung has over fifteen years of experience in the securities and futures industry and held senior positions in regional financial institutions in Hong Kong. He joined the Group in February 2001.

Mr. Ngan Chak Keung Ricky, aged 29, is the Director of Karl Thomson Investment Consultants Limited and the President of Global Investment Strategy of Karl-Thomson Securities Company Limited. He is responsible for conducting global economic research and individual stock research. He is also responsible for the supervision of fund management, financial advisory services and financial planning team. He graduated with a Bachelor of Business Administration degree in Finance, Investment and Banking Management from the University of Wisconsin in Madison, USA. He is also the Chartered Financial Analyst under the Association for Investment Management and Research. Prior to joining the Group, Mr. Ngan has over five years of experience in the field of foreign exchange, financial planning and asset management industry. He joined the Group in July 2001.

Mr. Chan Dao Chong, aged 54, is the manager of the Kwun Tong branch and is responsible for the daily operations and implementation of the internal control procedures of the Kwun Tong branch. He has more than eleven years of experience in the securities, futures and options industry and is a registered dealer's representative under both the Securities Ordinance and the Commodities Trading Ordinance. He joined the Group in 1991.



Mr. Chu Wai Man, aged 46, is the manager of the Shatin branch and is responsible for the daily operations and implementation of the internal control procedures of the Shatin branch. He has more than twelve years of experience in the securities, futures and options industry. He is a registered dealer's representative under both the Securities Ordinance and the Commodities Trading Ordinance. He joined the Group in 1990.

INVESTMENT BANKING GROUP

Mr. Chow Ka Wo Alex, aged 35, is the Director of Karl Thomson Financial Advisory Limited. He is responsible for the Group's corporate finance and structured finance business including IPO, equity linked financing, project finance, securitization, bonds and financial engineering advisory. Mr. Chow holds a Bachelor of Arts degree in Applied Mathematics and Economics from the University of California, Berkeley in USA and a Master of Arts degree in Economics from Cornell University in New York, USA. In 1999, he founded the boutique investment advisory firm and through the acquisition by the Group in March 2002, the boutique investment advisory firm became Karl Thomson Financial Advisory Limited. Prior to founding the boutique investment advisory firm, Mr. Chow worked with ABN AMRO Bank, Peregrine, and Nomura, involving in major equity and capital market transactions in Asia. He joined the Group in March 2002.

Mr. Jeremy Wong, aged 30, is the Director of Karl Thomson Financial Advisory Limited. He is responsible for the Group's corporate finance business. He graduated with a Bachelor of Arts in Economics at the University of Western Ontario in Canada. Mr. Wong was one of the founders of the boutique investment advisory firm which has been acquired by the Group in March 2002. Prior to founding the boutique investment advisory firm, he had over three years of experience in the securities trading industry with Yamaichi and DBS Securities. He joined the Group in March 2002.

Mr. Chu Chi Ping, aged 37, is the Director of Karl Thomson Asset Management Limited and Karl Thomson Capital Limited. He is responsible for developing asset management in Greater China and supervising overseas fund management business. He holds a Higher Diploma from Tung Nan Institute of Technology of Taiwan. Mr. Chu was the senior officer of the subsidiaries of American Express Bank Limited and Citibank Limited and he was also appointed as the executive director of few Taiwan and Hong Kong listed companies. Mr. Chu had twelve years of experience in the field of asset management in financial and large-scale corporations. He had been the financial adviser of Tuntex Corporation and Chien Tai Cement PNC 85-floor skyscraper in Taiwan and was responsible for the financial arrangement of NT\$18 billion for infrastructure projects by contractor in Jung Yung Ho Rapid Transit Line in Taiwan. He joined the Group in July 2001.

TECHNOLOGIES DEVELOPMENT GROUP

Mr. Jeff Jeffreys, aged 40, is the Chief Technical Officer of the Group. He is responsible for overall planning and management of the internet trading platform and network infrastructure of the Group. He graduated with a Bachelor of Arts degree in Micro Computer Technology from University of London in the United Kingdom and a Higher Diploma in Electronic Control & Robotic Engineering from Salford University in the United Kingdom. Prior to joining the Group, Mr. Jeffreys had over eleven years of experience in product development, system and software specifications, web server management, process identification and improvement in international software development companies and financial institutions in Hong Kong. He joined the Group in January 2001.

Mr. Goh Kuo Tai, aged 30, is the Assistant Chief Technical Officer of the Group. He is responsible for the system infrastructure design and the implementation of the internet trading platform of the Group. He holds a Bachelor of Science degree in Computer Science from the National University of Malaysia and he is a qualified Microsoft Certified System Engineer (MCSE) and Microsoft Certified Professional plus Internet (MCP+i). Mr. Goh has over six years of experience in the network support, programming, firewall security, internet and intranet system administration and development. Prior to joining the Group, Mr. Goh involved in the implementation of ISP project by Maxis Communications Berhad, a leading mobile telecommunication company in Malaysia and he also involved in the implementation of internet project with Telekom Malaysia. He joined the Group in March 2001.