

三、股本變動和主要股東持股情況

1. 報告期內，公司股份總數及股份結構均未發生變動。
2. 報告期末，公司股東總數為28601戶，其中有28,432戶A股股東及169戶H股股東。
3. 主要股東持股情況：

股東名稱或姓名	Name of shareholder	股票種類 Types of shares	持股數 Number of shares held	佔總股數 比例(%) Shareholding percentage
西安交大產業(集團)總公司	Xian Jiaotong University Industrial (Group) Incorporation	A股 A shares	71,052,146	29.00
香港中央結算(代理人)有限公司	HKSCC Nominees Limited	H股 H shares	63,197,999	25.89
雲南省人民政府	Yunnan Provincial People's Government	A股 A shares	31,345,554	12.89
昆明精華公司	Kunming Jinghua Company	A股 A shares	17,609,700	7.19
田雲華	Tian Yunhua	A股 A shares	441,000	0.18
唐晟	Tang Sheng	A股 A shares	248,000	0.10
王芳	Wang Fang	A股 A shares	196,412	0.08
蔣工聖	Jiang Gong Sheng	A股 A shares	192,000	0.08
胡永進	Hu Yong Jin	A股 A shares	190,000	0.08
鴻陽基金	Hong Yang Funding	A股 A shares	187,800	0.08

除以上披露之股東之外，於二零零二年六月三十日止，根據中國《股票發行與交易管理暫行條例》第60條及《公開發行證券的公司信息披露內容與格式準則第3號半年度報告》規定，其他股東之持股量並未達到需要報告之數量；而根據香港《證券(公開權益)條例》第16(1)條規定，本公司並無獲悉其他人士擁有本公司已發行股本10%或以上之權益。前10名股東中，持有公司股份達5%以上(含5%)股份的股東有4戶，即西安交通大學產業(集團)總公司，所持股份類別為國有法人股；雲南省人民政府，所持股份類別為國家股；昆明精華公司，所持股份類別為法人股，其所持股份均為非流通股份，且所持股份未發生變動、質押、凍結或托管的情況。HKSCC Nominees Limited為社會公眾股(H股)。

前10名股東中，未流通股股東之間無關聯關係，公司不知曉未流通股股東與社會公眾股股東及社會公眾股股東之間是否存在關聯關係。

4. 報告期內，公司控股股東沒有發生變化。

III. DETAILS OF SHARE CAPITAL STRUCTURE AND SHAREHOLDINGS OF SUBSTANTIAL SHAREHOLDERS

1. There is no change in the total number of shares nor shareholding structure during the period.
2. As at 30th June, 2002, there are total 28,601 shareholders, in which 28,432 shareholders hold H shares and 169 shareholders hold H shares.
3. Shareholdings of substantial shareholders

Save as disclosed above, as at 30th June, 2002, there were no other shareholders whose shareholdings exceed the amount requires to be disclosed pursuant to Article 60 of "Administration of the Issuing and Trading of Shares Provisional Regulation" and Issue No.3 of "The Content and Format of Disclosure of Information by Listed Companies (the Content and Format of Interim Report)" of the PRC. And in accordance with Section 16(1) of the Securities (Disclosure of Interests) Ordinance of Hong Kong, the Company was not aware of any interests representing 10% or more of the Company's issued share capital. Among the top ten shareholders, there are 4 shareholders held more than 5% of the Company's issued share capital. They are Xian Jiaotong University Industrial (Group) Incorporation, which holds domestic legal person shares, Yunnan Provincial People's Government, which holds state-owned shares, Kunming Jinghua Company, which holds legal person shares. None of these non-trading shares was pledged or frozen. HKSCC Nominee Limited holds public-owned shares (H shares).

Among the top ten shareholders, the Company was not notified any connected relationship between the public owned shares shareholders, non-trading shares shareholders and state-owned shares shareholders.

4. There were no movements in the shareholdings of the Company in the period.