



Chronology of Events



2004

Jan

- 5 Hong Kong Exchanges and Clearing Limited (HKEx) held a listing promotion conference in Changchun, the capital of Jilin province
- 14 HKEx submitted its views on the Government's consultation document on proposals to enhance the regulation of listing in Hong Kong
- 30 HKEx announced amendments to the Main Board and Growth Enterprise Market (GEM) Listing Rules relating to corporate governance issues
- HKEx published consultation conclusions on proposed amendments to the Main Board Listing Rules relating to initial listing criteria and continuing listing obligations

Feb

- 25 February - 9 March HKEx conducted several seminars in Hong Kong and Beijing for listed companies and market practitioners to promote a better understanding of Main Board and GEM Listing Rule Amendments aimed at raising corporate governance standards and market quality
- 26 Standard and Poor's reaffirmed HKEx's corporate governance score of 8.3 out of a possible 10

Mar

- 8 HKEx commenced dissemination of real-time odd lot information from the securities market to information vendors
- 25 Major securities and derivatives market systems, including those for trading, market data and clearing and settlement, reached the milestone of 100 per cent uptime for all systems for 12 consecutive months
- 26 The Government published its conclusions on its consultation on proposals to enhance the regulation of listing in Hong Kong. The document said The Stock Exchange of Hong Kong Limited (the Stock Exchange) would continue to receive listing applications at the frontline and administer the listing process
- HKEx discontinued trading in MSCI China Free Index Futures

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Apr

29

HKEx introduced a revamped version of the HKEx website featuring enriched content and a new design for easy navigation

31

HKEx shareholders elected John Strickland and re-elected Oscar Wong as Directors at the Annual General Meeting, and approved a resolution to declare a Special Dividend of HK\$1.68 per share (the payment date for the Special Dividend was 14 April 2004). The Government reappointed Tim Freshwater, Charles Lee and Lo Ka Shui as Public Interest Directors

2

HKEx's Board of Directors reappointed Charles Lee as Chairman of the Board

6

HKEx introduced DCASS, the Derivatives Clearing and Settlement System, and the derivatives component of CCMS, the Common Collateral Management System

8

Approval was obtained from the China Securities Regulatory Commission to station representatives from HKEx's Beijing Representative Office in the Mainland cities of Guangzhou and Shanghai

27-28

Chief Executive Paul Chow attended investment seminars in Tokyo to promote HKEx and Hong Kong's financial markets

30

The Stock Exchange reappointed all available members of the Listing Committees of the Main Board and GEM except for one member who did not seek reappointment. Marvin Cheung and Moses Cheng were reappointed Chairman and Deputy Chairman of the Committees respectively

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2004 May

- 4 The Stock Exchange invited comments on draft sponsor and independent financial adviser rule amendments
- 10 The Government's first securitisation bonds were listed on the Stock Exchange
- 12 HKEx announced its 2004 first quarter results
- 12-14 HKEx and the Hong Kong Monetary Authority jointly hosted the Asia-Pacific Central Securities Depository Group's 6th Cross-training Seminar
- 14 HKEx revised its organisational structure

- 17 Gerald Greiner joined HKEx as Deputy Chief Operating Officer
- 28-29 HKEx held a listing promotion conference in the municipality of Chongqing
- 31 HKEx published consultation conclusions on a proposed operational model for a scripless securities market
- Zhang Dejiang, member of the Political Bureau of the Central Committee of the Communist Party of China and the Secretary of the Guangdong Provincial Party Committee, visited HKEx and the Stock Exchange's Trading Hall

Jun

- 14 HKEx introduced H-shares Index Options, four additional stock options and four additional stock futures
- 21 Patrick Conroy was appointed as the chief executive of the Stock Exchange and Gerald Greiner was appointed as the chief executive of the Hong Kong Futures Exchange Limited
- 21-24 Chief Executive Paul Chow attended an investor forum in New York and met investors in New York and in London to promote HKEx, its markets and the listing of Mainland enterprises in Hong Kong