

Board of Directors and Senior Management

BOARD OF DIRECTORS



ARCULLI, Ronald Joseph
GBS, JP

Independent Non-executive Chairman
Aged 68

Director since 26 April 2006
Term of office: 26 April 2006 to
2008 AGM

Memberships in HKEx's committees:
Chairman of **Executive Committee**,
Nomination Committee and
Risk Management Committee

Other major offices

Arculli Fong & Ng – senior partner
Hang Lung Properties Limited – non-executive director
HKR International Limited – non-executive director
Hongkong Electric Holdings Limited – non-executive director
Hutchison Harbour Ring Limited – non-executive director
Shanghai Century Acquisition Corporation (listed on the American Stock Exchange) – non-executive director
Sino Hotels (Holdings) Limited – non-executive director
Sino Land Company Limited – non-executive director
SCMP Group Limited – non-executive director
Tsim Sha Tsui Properties Limited – non-executive director

Past offices

The Hong Kong Jockey Club – chairman (2002-2006)
Hong Kong Equestrian Federation – president (1991-2006)
Arculli and Associates – senior partner (2000-2005)
Woo Kwan Lee & Lo – partner (retired in 2000)
AIA Capital Corp (Holdings) Limited – non-executive director (1984-2004)
Sun Hung Kai Securities Limited – executive director (1974-1975) and non-executive director (1988-1996)
SHK Unit Trust Managers Limited – non-executive director (1988-1996)

Public service

Executive Council of the HKSAR – non-official member
Health and Medical Development Advisory Committee – vice-chairman
The Hong Kong Mortgage Corporation Limited – non-executive director
Consultative Committee on the Core Arts and Cultural Facilities of the West Kowloon Cultural District – member, and convenor of the Financial Matters Advisory Group
Non-official Justices of the Peace Selection Committee – member
Legislative Council – elected member for the Real Estate and Construction Functional Constituency (1991-2000)
Provisional Legislative Council – member (1997-1998)
Legislative Council – appointed member (1988-1991)
SFC – non-executive director (1997-2000)
Standing Committee on Disciplined Services Salaries and Conditions of Service – member (1987-1999)
Advisory Committee on Corruption of ICAC – member (1989-1996)
Ocean Park Corporation Board – member (1983-1996)
Air Transport Licensing Authority – member (1984-1994)
Advisory Committee of SFC – member (1989-1993)
Complaints Committee of ICAC – member (1989-1993)
Deposit-Taking Companies Advisory Committee – member (1981-1993)
Judicial Service Commission – member (1978-1981)

Community activities and others

The Duke of Edinburgh Award International Foundation, UK – chairman
Asia Art Archive Limited – non-executive director
University of Colorado Denver's Institute of International Business – global adviser
Sir Edward Youde Memorial Fund Council – chairman (1988-1999)
Court of The University of Hong Kong – member (1988-1991)
Lingnan College Board of Governors – governor (1988-1991)

Professional qualification and experience

Barrister (Hong Kong and England & Wales)
Barrister and Solicitor (Victoria, Australia)
Solicitor (Hong Kong)
Honorary Doctor of Social Science (City University of Hong Kong)



CHOW Man Yiu, Paul
SBS, JP

Executive Director, Chief Executive
Aged 60

Director since 1 May 2003
Ex-officio member of the Board

Membership in HKEx's committee:
Member of **Executive Committee**

Other major offices

World Federation of Exchanges – director, and chairman of the working committee
SEHK, HKFE, HKSCC, SEOCH and HKCC – chairman

Past offices

HSBC Asset Management (Hong Kong) Limited – chief executive, Asia Pacific ex-Japan Region (1997-2003)
SEHK – chief executive (1991-1997)
HKSCC – director (1990-1997) and chief executive (1990-1991)

Public service

Hong Kong Cyberport Management Company Limited – director
Digital 21 Strategy Advisory Committee – member
Advisory Committee of SFC – member
Standing Committee on Company Law Reform – member

Community activities and others

Council and Court of The University of Hong Kong – member
The Community Chest of Hong Kong – director
Council of HKICPA – appointed member (Dec 2004-Dec 2006)
Hong Kong Investment Fund Association – chairman (2000-2001)

Professional qualification and experience

Distinguished fellow (Hong Kong Computer Society)
Bachelor of Science in Mechanical Engineering, Diploma in Management Studies and MBA (The University of Hong Kong)
Diploma in Finance (Distinction) (The Chinese University of Hong Kong)

Mr Chow has over 35 years of business and management experience in a wide range of industries from information technology, securities, banking to fund management.

Mr Chow also acts as director of various subsidiaries of HKEx.



CHA May-Lung, Laura
SBS, JP

INED
Aged 57

Director since 26 April 2006
Term of office: 26 April 2006 to 2008 AGM

Memberships in HKEx's committees:
Chairman of **Panel Member Nomination Committee** and member of **Investment Advisory Committee**

Other major offices

The Hongkong and Shanghai Banking Corporation Limited – non-executive deputy chairman
Bank of Communications Co Ltd – non-executive director
Baoshan Iron & Steel Co Ltd (listed on the Shanghai Stock Exchange) – non-executive director
Johnson Electric Holdings Limited – non-executive director
Tata Consultancy Services Limited – non-executive director

Past offices

HSBC Investment Asia Holdings Limited – non-executive chairman (2005-2007)
SFC – deputy chairman (1998-2001), executive director (1994-2001), senior director (1993-1994) and assistant director (1991-1993)

Public service

Executive Council of the HKSAR – non-official member
International Advisory Council of CSRC – vice-chairman
Advisory Committee on Corruption of ICAC – chairman
CSRC – vice-chairman (2001-2004)

Community activities and others

Court of The Hong Kong University of Science and Technology – honorary member
Hong Kong Sinfonietta Limited – governor
Supervisory Board of The Cross Straits Youths Exchange Foundation Limited – vice-chairman
Council of The Hong Kong University of Science and Technology – member (1998-2006)

Professional qualification and experience

Bachelor of Arts (University of Wisconsin, USA)
Juris Doctor (University of Santa Clara, USA)
State Bar of California (USA)



CHENG Mo Chi, Moses
GBS, JP

INED
Aged 57

Director since 26 April 2006
Term of office: 26 April 2006 to
2008 AGM

Memberships in HKEx's committees:
Member of **Audit Committee** and
Remuneration Committee

**Other major
offices**

P. C. Woo & Co – senior partner
Beijing Capital International Airport Company Limited
– non-executive director
China COSCO Holdings Company Limited – non-executive director
China Mobile Limited – non-executive director
China Resources Enterprise, Limited – non-executive director
City Telecom (H.K.) Limited – non-executive director
Galaxy Entertainment Group Limited – non-executive director
Guangdong Investment Limited – non-executive director
Kader Holdings Company Limited – non-executive director
Liu Chong Hing Investment Limited – non-executive director
Shui On Construction and Materials Limited – non-executive director
Tian An China Investments Company Limited – non-executive director

Past offices

SEHK – chairman (2005-2006) and deputy chairman (2003-2005) of the Listing Committee and the GEM Listing Committee, council member (1997-2000) and convenor of the Disciplinary Committee (1997-2000)
COL Capital Limited – non-executive director (1999-2004)
Pokfulam Development Company Limited – non-executive director (1994-2004)
Kingway Brewery Holdings Limited – non-executive director (1997-2004)
Quality HealthCare Asia Limited – non-executive director (1997-2004)
Stockmartnet Holdings Ltd – non-executive director (2001-2003)

Public service

FRC – member
Betting and Lotteries Commission – chairman
Citizens Advisory Committee on Community Relations of ICAC – chairman
Advisory Committee on Corruption of ICAC – member
Aviation Development Advisory Committee – member
Executive Committee of the Commission on Strategic Development – member
Consultative Committee on the Core Arts and Cultural Facilities of the West Kowloon Cultural District – member of the Financial Matters Advisory Group
Education Commission – member (1996-2006)
Legislative Council – appointed member (1991-1995)

**Community
activities
and others**

The Hong Kong Institute of Directors – honorary president and founder chairman
Council of Association of China-Appointed Attesting Officers Limited – member
Council of The Hong Kong Academy for Performing Arts – deputy chairman
Hong Kong Sheng Kung Hui (Anglican Church of Hong Kong) – chancellor
Opera Hong Kong Limited – chairman (2003-2007)
Council and Court of Hong Kong Baptist University – chairman (1997-2006)

**Professional
qualification
and experience**

Solicitor (Hong Kong, England, Victoria of Australia, Singapore)
Notary Public
China-Appointed Attesting Office



CHEUNG Kin Tung, Marvin
SBS, JP

INED
Aged 59

Director since 12 April 2005
Term of office: 12 April 2005 to
2007 AGM

Memberships in HKEx's committees:
Chairman of **Remuneration
Committee** and member of
Audit Committee

**Other major
offices**

Hang Seng Bank Limited – non-executive director
HKR International Limited – non-executive director
Supervisory Committee of Tracker Fund of Hong Kong – chairman

Past offices

Shui On Construction and Materials Limited – non-executive director
(2004-2005)
SEHK – chairman of the Listing Committee and the GEM Listing Committee
(2003-2005)
KPMG Hong Kong (1969-2003: partner (1974-2003), chairman and
chief executive officer (1996-2003))

Public service

Executive Council of the HKSAR – non-official member
Exchange Fund Advisory Committee – member
Airport Authority Hong Kong – board member
Barristers Disciplinary Tribunal Panel – member
Greater Pearl River Delta Business Council – member
Operations Review Committee of ICAC – member
Witness Protection Review Board – member
Legislative Council – appointed member (1991-1995)
Urban Council – appointed member (1985-1995)

**Community
activities
and others**

International Accounting Standards Committee Foundation – trustee
Council of The Hong Kong University of Science and Technology
– vice-chairman
Council of The Open University of Hong Kong – member
HKICPA – president (1990)

**Professional
qualification
and experience**

Fellow (HKICPA and Institute of Chartered Accountants in England & Wales)
Honorary Doctorate in Business Administration
(Hong Kong Baptist University)



FAN Hung Ling, Henry
SBS, JP

INED
Aged 58

Director since 15 November 2003
Term of office: 12 April 2005
(re-appointed) to 2007 AGM

Memberships in HKEx's committees:
Chairman of **Investment
Advisory Committee** and
member of **Risk Management
Committee**

**Other major
offices**

CITIC Pacific Limited – managing director
Cathay Pacific Airways Limited – deputy chairman

Past office

Hong Kong Dragon Airlines Limited – director (1990-2006)

Public service

Executive Council of the HKSAR – non-official member
Takeovers and Mergers Panel of SFC – deputy chairman
Takeovers Appeal Committee of SFC – member
Exchange Fund Advisory Committee – member
Advisory Committee on Admission of Quality Migrants and Professionals
– chairman
Health and Medical Development Advisory Committee – member
**Advisory Committee on Post-Office Employment for Principal Officials
under the Accountability System** – member
**Standing Committee on Disciplined Services Salaries and Conditions of
Service** – chairman
Standing Committee on Judicial Salaries and Conditions of Service – member
Standing Committee on Directorate Salaries and Conditions of Service
– member

**Community
activities
and others**

The University of Hong Kong – treasurer, and chairman of Finance Committee
Council of The Hong Kong Management Association – deputy chairman
Hong Kong-United States Business Council – member
**Zhejiang Province Committee of the Chinese People's Political
Consultative Conference** – member
Zhejiang Association of Enterprises with Overseas Chinese Investment
– deputy chairman

**Professional
qualification
and experience**

Barrister-at-Law (Hong Kong and England & Wales)
Attorney and counsellor at Law (California, USA)
Honours degree in Economics and Business Management
(The University of Hong Kong)
Bachelor of Laws (University of Beijing, China)



FONG Hup
MH

INED
Aged 62

Director since 16 April 2003
Term of office: 12 April 2005
(re-appointed) to 2007 AGM

Memberships in HKEx's committees:
Deputy chairman of
Audit Committee,
member of **Executive Committee**,
Nomination Committee,
Panel Member Nomination
Committee, **Remuneration**
Committee and **Risk**
Management Committee

Other major offices

Deloitte Touche Tohmatsu – senior adviser
Supervisory Committee of Tracker Fund of Hong Kong – member

Past offices

Deloitte Touche Tohmatsu – senior assurance and advisory partner (1997-2002)
Kwan Wong Tan & Fong – senior partner (1976-1997)
SEHK – member of the Council (1997-2000) and the Listing Committee (1993-1996 and 2000-2003)
HKSCC – director (1997-2000)
SEOCH – director (1997-2000)

Public service

Securities and Futures Appeals Tribunal – member
Process Review Panel for SFC – member (2000-2006)

Professional qualification and experience

Fellow (HKICPA and Institute of Chartered Accountants in England & Wales)
Bachelor of Business Administration (Boston University, USA)

Mr Fong has over 35 years of experience in the accounting profession and in the securities industry.



KWOK Chi Piu, Bill

INED
Aged 54

Director since 3 April 2000
Term of office: 12 April 2005
(re-elected) to 2008 AGM

Memberships in HKEx's committees:
Member of **Executive**
Committee, **Panel Member**
Nomination Committee and
Risk Management Committee

Other major offices

Wocom Holdings Limited – managing director
Wing On International Holdings Limited – executive director
Wing On Company International Limited – non-executive director
HSBC Gyuertzeller Bank AG – non-executive director
HSBC Private Bank (Suisse) SA – non-executive director
Bloomberg L.P. – member of Asia Pacific Advisory Board

Past offices

HKFE – director (1991-2000) and vice-chairman (1997-2000)

Public service

Takeovers and Mergers Panel of SFC – member
Takeovers Appeal Committee of SFC – member
Securities and Futures Appeals Tribunal – member
Tourism Strategy Group of Tourism Commission – member
Investor Compensation Company Limited – director (2003-2006)
Investor Education Advisory Committee of SFC – member (2005-2006)

Community activities and others

Hong Kong Securities Institute – director (current and 1997-2003) and chairman (1999-2001)
Executive Committee of Asian Securities Analysts Federation Inc – chairman
Investigation Panel A of HKICPA – member
Council of China Mergers & Acquisitions Association – member

Professional qualification and experience

Bachelor of Science (Chemistry) and **Bachelor of Arts (Economics)**
(Stanford University, USA)
Doctor of Philosophy (Biochemistry) (University of Chicago, USA)

Dr Kwok has over 20 years of experience in the securities and futures industry.



LEE Kwan Ho, Vincent Marshall

INED
Aged 51

Director since 3 April 2000
Term of office: 12 April 2005
(re-elected) to 2008 AGM

Memberships in HKEx's committees:
Member of **Audit Committee,**
Executive Committee,
Nomination Committee,
Panel Member Nomination
Committee and
Remuneration Committee

Other major office

Tung Tai Group of Companies – managing director

Past offices

HSBC group, Hong Kong & Vancouver – senior banker (1981-1990)
Coopers and Lybrand, Los Angeles & Boston – Certified Public Accountant (1978-1981)

Public service

Central Policy Unit of the Government of the HKSAR – part-time member
Securities and Futures Appeals Tribunal – member
Correctional Services Children's Education Trust Investment Advisory Board – member
Brewin Trust Fund Committee – member
Academic and Accreditation Advisory Committee of SFC – member (2002-2006)

Community activities and others

The Institute of Securities Dealers Limited – chairman
Canadian International School of Hong Kong Limited – founding member and chairman

Professional qualification and experience

Fellow (HKICPA)
Graduated Magna Cum Laude in Accounting and International Finance (University of Southern California, USA)
Master of Economics (London School of Economics and Political Science, UK)
Certified Public Accountant (State of California, USA)
Fellow (The Hong Kong Institute of Directors)

Mr Lee has over 25 years of experience in the securities and futures industry and has extensive experience in banking, corporate finance and investment.



LOH Kung Wai, Christine

INED
Aged 51

Director since 26 April 2006
Term of office: 26 April 2006 to
2009 AGM

Membership in HKEx's committee:
Member of **Remuneration Committee**

Other major offices

Civic Exchange – chief executive officer and co-founder

Past offices

Philipp Brothers Hongkong Limited – managing director (1980-1990)
Phibro Energy Hong Kong Limited – managing director (1990-1991)
CIM Co Limited – director (strategic development) (1992-1994)

Public service

Advisory Committee of SFC – member
G8+5 Climate Change Dialogue – international advisory member
Legislative Council – member (1992-1997 and 1998-2000)

Community activities and others

Court of The Hong Kong University of Science and Technology – member
Association for Sustainable and Responsible Investment in Asia – non-executive director
Community Business Limited – non-executive director
Asia Society's International Council (USA) – member
Council of The Hong Kong University of Science and Technology – member (1999-2006)

Professional qualification and experience

Bachelor of Law (University of Hull, UK)
Master of Law, Chinese and Comparative Law (City University of Hong Kong)
Doctor of Law, honoris causa (University of Hull, UK)
Author of the book, "Getting Heard: A Hong Kong Citizen's Handbook" (2002)
Co-author and editor of the books, "Building Democracy: Creating Good Government in Hong Kong" (2003) and **"At the Epicentre: Hong Kong and the SARS Outbreak"** (2004)
Named as "Global Leader for Tomorrow" (World Economic Forum, 1994)
Named as "Star of Asia" (BusinessWeek magazine, 1998 and 2000)
Named as "Entrepreneur of the Year" (Women of Influence, Amcham Hong Kong, 2003)
Named as "Woman of the Year" (Hong Kong Business, 2006)
Won the Peter Bryce award for civic work (Pacific Circle Consortium, 2004)

Dr Loh has over 25 years of experience in a wide range of industries from commodities trading, strategic planning and politics.



STRICKLAND, John Estmond
GBS, JP

INED
Aged 67

Director since 3 April 2000*
Term of office: 31 March 2004
(elected) to 2007 AGM

Membership in HKEx's committee:
Chairman of **Audit Committee**

Other major offices

Esquel Holdings Inc – non-executive director
Integrated Distribution Services Group Limited – non-executive director
Yoma Strategic Holdings Ltd (listed on the Singapore Exchange)
– non-executive director

Past offices

Airport Authority Hong Kong – board member (1999-2005)
The Hongkong and Shanghai Banking Corporation Limited – chairman (1996-1998)
HSBC Hong Kong & London (1966-1969 and 1971-1998)
Mass Transit Railway Corporation – board member (1989-1992)

Public service

Hong Kong Cyberport Management Company Limited – chairman
Operations Review Committee of ICAC – member

Community activities and others

Council of The University of Hong Kong – member
The Outward Bound Trust of Hong Kong Limited – president
The Community Chest of Hong Kong – director
Committee of The Boys' and Girls' Clubs Association of Hong Kong – member
Committee of Hong Kong Youth Hostels Association – member
Salvation Army Advisory Board – member
Council of Hong Kong Adventure Corps – member
International Council of Asia Society – member

Professional qualification and experience

Degree in Physics (Jesus College, University of Cambridge, UK)
Honorary Doctorate in Business Administration (City University of Hong Kong)
Honorary Doctorate in Technology (The Hong Kong Polytechnic University)
Honorary fellow (Hong Kong Computer Society, The Hong Kong Institute of Bankers, The Hong Kong Management Association and The University of Hong Kong)

* Prior to his appointment as Director on 3 April 2000 by the Financial Secretary under the Exchanges and Clearing Houses (Merger) Ordinance (repealed), Mr Strickland was also a member of the Preparatory Board since 8 July 1999. Mr Strickland is currently an Elected Director.



WEBB, David Michael

INED
Aged 41

Director since 15 April 2003
Term of office: 26 April 2006
(re-elected) to 2009 AGM

Memberships in HKEx's committees:
Member of **Investment Advisory Committee and Nomination Committee**

Other major office

Webb-site.com – editor

Past offices

Wheelock Capital Limited – director (1994-1998)
BZW Asia Limited – director (1993-1994)

Public service

Takeovers and Mergers Panel of SFC – member
Takeovers Appeal Committee of SFC – member
Public Shareholders Group of SFC – member

Community activity and others

Hong Kong Mensa – chairman (1998-2000)

Professional qualification and experience

Honours degree in Mathematics (University of Oxford, UK)
Full member (Hong Kong Securities Institute)
Established and funded "Project Poll" which successfully required blue chip companies to count their votes on a poll and stop using the show-of-hands system (2003)
Established "Project Vampire" (Vote Against Mandate for Placings, Issues by Rights Excepted) (2003)
Named as "Star of Asia" in the "Opinion Shapers" category (BusinessWeek magazine, 2000)
Named as "Global Leader for Tomorrow" (World Economic Forum, 2001)
Named as one of the "Global 100" who shape finance (CFO Magazine, 2002)
Named as a member of the "Young Global Leaders" (World Economic Forum, 2005)

From 1986 to 1991, Mr Webb was a corporate financier in London. Prior to 1986, he was a best-selling author of games and books for the first generation of home computers. He has published wide-ranging articles on the regulatory framework of Hong Kong's securities markets.



WONG Sai Hung, Oscar

INED
Aged 51

Director since 15 April 2003
Term of office: 31 March 2004
(re-elected) to 2007 AGM

Memberships in HKEx's committees:
Deputy chairman of **Investment
Advisory Committee**, member of
Nomination Committee and
**Panel Member Nomination
Committee**

**Other major
offices**

China Enterprise Fund Management Limited – executive director
Revelation Fund Management Limited – executive director
One Asset Management Limited (Thailand) – non-executive director
ARN Asian Enterprise Fund Limited (listed on the Irish Stock Exchange)
– non-executive director

Past offices

BOCI-Prudential Asset Management Limited – chief executive officer
(2001-2005)
Prudential Portfolio Managers Asia – regional managing director
(1999-2000)
INVESCO Asia Limited – deputy chief executive (1998)
LGT Asset Management Limited (1977-1998), including LGT, Hong Kong
(1977-1986), involved in managing equity portfolios with investments in the
Japanese and other Asia Pacific markets; seconded to run an investment
management joint venture in Taipei and assisted in starting other similar
investment management joint ventures of LGT in Thailand,
Indonesia and the Mainland China (1987-1990); deputy managing
director of LGT (1990-1994); responsible for LGT's mutual fund
marketing operations in Canada, a business which he helped to establish
in 1994 (1994-1997); head of LGT's Asian operations (1998)

**Community
activity**

Investigation Panel A of HKICPA – member

**Professional
qualification
and experience**

Higher Diploma in Business Studies (Marketing)
(The Hong Kong Polytechnic University)

Mr Wong has over 25 years of experience in the fund management industry.

Other memberships held by Directors in various board committees and consultative panels of the Group are available on HKEx's corporate website.

SENIOR MANAGEMENT



CONROY, Patrick Kevin

Chief Operating Officer
Aged 54

Joined in August 2003
(resigned on 9 February 2007)

Other major office

SEHK – chief executive (resigned on 9 February 2007)

Past offices

The International Bank for Reconstruction and Development (The World Bank) – director of the financial sector development and global partnership departments (1998-2003)
Australian Stock Exchange – adviser for special projects (1997-1998)
SFC – senior director of the supervision of markets division and other executive positions in market supervision, research and policy (1991-1997)
Chicago Stock Exchange – chief legal officer, corporate business ventures (1982-1991)

Professional qualification and experience

Bachelor of Arts in English Literature (DePaul University, USA)
Juris Doctor (The John Marshall School of Law, USA)



GREINER, Gerald Dale

Chief Operating Officer
(effective 16 February 2007)
Aged 50

Joined in May 2004

Other major offices

SEHK – chief executive (effective 16 February 2007)
HKFE – chief executive

Past offices

HKEx – Deputy Chief Operating Officer and Head of Exchange Division (2004-2007)
SFC – senior director of the supervision of markets division (1989-2004)
US Securities and Exchange Commission – various senior executive positions (1984-1989)

Professional qualification and experience

Bachelor of Science in Economics (Allegheny College, USA)
Juris Doctor (University of Toledo College of Law, USA)



FOK Kwong Man, Lawrence

Head of Business Development
Aged 57

Joined in February 1992

Past offices

SEHK – senior executive director of regulatory affairs group (1998); executive director of the listing division (1997); director of the listing division (1994-1997); assistant director of the listing division (1992-1994)
SFC – assistant director of corporate finance (1989-1992)

Professional qualification and experience

Bachelor of Science in Engineering (The University of Hong Kong)
Master of Business Administration (Columbia University, USA)
Master of Arts (Economics) and Master of Science (Statistics) (Stanford University, USA)

Mr Fok has over 20 years of experience in financial services and securities regulatory work. Before joining SEHK and SFC, he also worked for the Office of the Commissioner for Securities and Commodities Trading of the Government (1983-1985) and other private organisations in areas of corporate finance advisory work, securities dealing, venture capital investment, Mainland China trade and investment management.



KAO May Loy, Mary

Chief Counsel and
Head of Legal Services
Aged 57

Joined in July 1990

Past offices

International Bank of Asia Limited – general counsel, head of the legal affairs group (1985-1990)
Sun Hung Kai Group – general counsel, head of the legal and secretarial department (1981-1985)
American International Assurance Company, Limited – assistant general counsel, assistant vice president and secretary (1978-1981)
In private practice as a barrister (1975-1978)

Professional qualification and experience

Bachelor of Laws (The University of Hong Kong)
Bachelor of Civil Law (University of Oxford, UK)
Diploma in Chinese Law (University of East Asia, Macau)
Barrister-at-Law (Hong Kong and England & Wales)
Barrister and solicitor (the Australian Capital Territory)

Ms Kao has about 30 years' legal experience in the financial services industry and significant experience in corporate regulatory matters.



LAW Man Wai, Henry

Head of Corporate Communications
Aged 52

Joined in January 1988

Past offices

Ogilvy and Mather Public Relations (Hong Kong) Limited – senior consultant and group head of the public relations section for financial institutions and listed companies (1982-1988)
Michael Stevenson Limited – advertising and public relations executive (1974-1982)

Professional qualification and experience

Mr Law worked in advertising, marketing and corporate communications profession for over 30 years and has extensive experience in communications in financial institutions and utilities.



SHING Shin Cheung, Stewart

Head of Clearing
Aged 59

Joined in December 2003

Other major office

HKSCC – chief executive

Past offices

South China Brokerage Company Limited – executive director and chief executive (2001-2003)
HKEx – first head of clearing business unit (2000)
HKSCC – chief executive (1996-2000); director of planning and development and deputy chief executive (1991-1996)

Community activities and others

Examinations Committee of Hong Kong Securities Institute – member
Professional Education Committee of Hong Kong Securities Institute – member (2005)
The Hong Kong Association of Online Brokers representing South China Brokerage Company Limited – chairman (2002-2003)
The International Securities Services Association – board member (1999)
Asia-Pacific Central Securities Depository Group – founding member (1997)

Professional qualification and experience

Fellow (HKICPA)
Master of Business Administration (University of New South Wales, Australia)

Mr Shing has more than 30 years of securities operations and business management experience. He has worked in several major international and local financial institutions where he has been responsible for planning and development, securities operations, system development and auditing.

Mr Shing has served as a member of working groups for various regulatory bodies and stockbroking associations in Hong Kong.



TSIM Tak Chee, Archie

Chief Financial Officer and
Head of Finance and Administration
Aged 48

Joined in October 2004

Past offices

The Hong Kong and Shanghai Banking Corporation Limited – managing director for corporate finance and advisory, Asia-Pacific (1997-2004)
SEHK – deputy head of corporate finance in the listing division (1992-1997)

Professional qualification and experience

Fellow (HKICPA and Association of Chartered Certified Accountants)
Bachelor of Science in Management Sciences (University of Manchester, UK)

Mr Tsim has more than 15 years' experience in corporate finance.



WILLIAMS, Richard George

Head of Listing
Aged 44

Joined in October 2002

Past offices

Financial Services Authority, UK – head of listing policy and compliance (2000-2002)
London Stock Exchange (“LSE”) – head of listing policy (1999-2000)

Professional qualification and experience

Fellow (Institute of Chartered Accountants in England & Wales)
Bachelor of Arts in Economics and Economic History (University of Warwick, UK)

Before moving to the LSE, Mr Williams was with PricewaterhouseCoopers.

**Past offices**

Australia's Westpac Banking Corp – consultant application engineer (1988-1992)
Australian Stock Exchange – project leader (1987-1988)
SEHK – computer development manager (1985-1987)

Professional qualification and experience

Full member (Hong Kong Computer Society and Hong Kong Securities Institute)
Bachelor of Science in Computer Science and Chemistry (The University of Hong Kong)
Graduate Management Qualification (University of New South Wales, Australia)
Executive Master of Business Administration (The Chinese University of Hong Kong)

WONG Kwok Kuen, Alfred

Chief Technology Officer and
 Head of Information Technology
 Aged 50

Joined in November 1992

COMPANY SECRETARY**Past office**

Hysan Development Company Limited – company secretary (1988-2000)

Community activities and others

Company Secretaries Panel of The Hong Kong Institute of Chartered Secretaries (“HKICS”) – member
Professional Development Committee of HKICS – member
Divisional Appeal Tribunal of HKICS – member

Professional qualification and experience

Fellow (HKICS, The Institute of Chartered Secretaries and Administrators, and Association of Chartered Certified Accountants)
Associate (HKICPA, Institute of Chartered Accountants in England & Wales)
Master of Science (Business Administration) (University of Bath, UK)
Master of Laws in Corporate and Financial Laws (The University of Hong Kong)

MAU Kam Shing, Joseph

Company Secretary and
 Head of Secretarial Services
 Aged 48

Joined in June 2000

Messrs Gerald D Greiner, Lawrence K M Fok and Stewart S C Shing, and Ms Mary M L Kao also hold position as a director in one or more of the Group's subsidiaries. Prior to the resignation of Mr Patrick K Conroy on 9 February 2007, he also acted as a director of all subsidiaries of HKEx.