

STATEMENT OF DISCIPLINARY ACTION

Exchange's Disciplinary Action against Two Former Directors of TOMO Holdings Limited (Stock Code: 6928)

SANCTIONS

The Stock Exchange of Hong Kong Limited (**Exchange**)

IMPOSES A DIRECTOR UNSUITABILITY STATEMENT and **CENSURE** against the following former directors of TOMO Holdings Limited (**Company**):

- (1) **Ms Ma Xiaoqiu**, former executive director and chairlady; and
- (2) **Mr Jin Lailin**, former independent non-executive director.

(each referred to below as a **Director**)

The Director Unsuitability Statement is a statement that, in the Exchange's opinion, each of the Directors is unsuitable to occupy a position as director or within senior management of the Company or any of its subsidiaries.

SUMMARY OF FACTS

Under Rules 3.09C and 3.20 of the Listing Rules, the Directors are obliged to (i) cooperate in any investigation conducted by the Listing Division (**Division**) or the Securities and Futures Commission (**Commission**); (ii) promptly and openly answer any questions addressed to the Directors; and (iii) provide up-to-date contact information to the Exchange for a period of three years from the date on which each Director ceases to be a director, failing which any documents / notices sent by the Exchange or the Commission to the last known address on record shall be deemed to have been served on the Director.

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As part of an investigation into, amongst other things, whether the Directors had discharged their respective duties and obligations under the Listing Rules, the Division sent investigation and reminder letters to each of them. Neither of the Directors responded to the Division's enquiries.

Separately, as part of an investigation into, amongst other things, whether the Company and/or its directors had committed offences contrary to the Securities and Futures Ordinance, the Commission sent investigation notices and reminder emails to each of the Directors. Neither of the Directors responded to the Commission's enquiries. Upon the Commission's referral, the Division sent investigation and reminder letters to each of the Directors in respect of their failure to respond to the Commission's investigation. However, neither of the Directors responded to the Division's enquiries.

LISTING COMMITTEE'S FINDINGS

The Listing Committee found as follows:

- (1) Both of the Directors breached the Listing Rules by failing to cooperate with the Division and the Commission in their respective investigations.
- (2) The Directors' failure to discharge their responsibilities under the Listing Rules was serious.

The Listing Committee reminds directors that their obligation to provide information reasonably requested by the Exchange or the Commission does not lapse after an issuer ceases to be listed on the Exchange or after they cease to be directors of a listed issuer.

CONCLUSION

The Listing Committee decided to impose the sanctions set out in this Statement of Disciplinary Action.

For the avoidance of doubt, the Exchange confirms that the above sanctions apply only to the Directors, and not to the Company or any other past or present directors of the Company.

Hong Kong, 12 August 2025